

# 2018年秋季学期 微分流形期中考试

## 2018 Fall Mid-term Exam: Differential Manifolds

整理人: 章俊彦 zhangjy9610@gmail.com

主讲教师: 王作勤(Zuoqin Wang)

### Problem 1 (20 points, 4 points each)

Let  $M$  be a smooth manifold. Write down the definitions of the following conceptions.

- (1) What does a smooth function  $f : M \rightarrow \mathbb{R}$  mean?
- (2) What does a smooth map  $f : M \rightarrow M$  mean?
- (3) When we say  $N$  is a smooth submanifold of  $M$ , what do we mean?
- (4) When we say  $X$  is a smooth vector field on  $M$ , what do we mean?
- (5) A smooth  $k$ -dimensional distribution  $\mathcal{V}$  on  $M$  is...
- (6) A smooth action of a Lie group  $G$  on  $M$   $\tau : G \rightarrow \text{Diff}(M)$  is...

### Problem 2 (20 points, 2 points each)

TRUE or FALSE.

- ( ) If a smooth manifold  $M$  is connected, it must be path-connected;
- ( ) If  $(\phi, U, V)$  is a smooth chart on a smooth manifold  $M$ , then  $\phi : U \rightarrow V$  is a diffeomorphism;
- ( ) If  $N$  is a smooth submanifold of  $M$  and  $S$  is a smooth submanifold of  $N$ , then  $S$  is a smooth submanifold of  $M$ ;
- ( ) The set of critical values of any smooth map is of measure zero;
- ( ) If  $M_1, M_2$  are smooth submanifold of  $M$ , then so is  $N_1 \cap M_2$ ;
- ( )  $S^2 \times S^2$  cannot be embedded into  $\mathbb{R}^5$ ;
- ( ) Any smooth vector field on  $\mathbb{R}P^n$  is complete;
- ( ) If  $f : M \rightarrow N$  is a submersion, then  $\mathcal{V}_p := \ker(df_p)$  defines an involutive distribution on  $M$ ;
- ( ) Each smooth manifold admits at most one Lie group structure;
- ( ) If a Lie group  $G$  acts on  $M$  smoothly, then any orbit  $G \cdot m$  is an immersed submanifold of  $M$ ;
- ( ) Let  $X, Y \in \Gamma^\infty(TM)$  be complete vector fields on  $M$ . If the Lie derivative of  $X$  along  $Y$  is zero, then the Lie derivative of  $Y$  along  $X$  is also zero.

**Problem 3 (20 points, 4 points each)**

Write down the solutions. No detail is needed.

(1) Let  $f : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be the map  $f(x, y) = (x^3 - y, xy^2)$ , then  $df_{(x,y)} =$ \_\_\_\_\_;

(2) All the critical points of  $f : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  defined by  $f(x, y) = (x^3 - y, xy^2)$  is \_\_\_\_\_;

(3) Let  $X = e^x \partial_x$  is a vector field on  $\mathbb{R}$ . Find the equation of the maximal integral curve  $\gamma$  of  $X$  with  $\gamma(0) = 0$ :\_\_\_\_\_;

(4) Let  $X = y\partial_z - x^2y^2\partial_x$ ,  $Y = z\partial_y$  be vector fields on  $\mathbb{R}^3$ , then  $[X, Y] =$ \_\_\_\_\_;

(5) The lie algebra  $\mathfrak{h}$  of the Heisenberg group

$$H = \left\{ \begin{bmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{bmatrix} : a, b, c \in \mathbb{R} \right\}$$

is \_\_\_\_\_;

**Problem 4 (15 points)**

Let  $M$  be a smooth manifold and  $f \in C^\infty(M)$ . Suppose  $\mathcal{L}_X f = 0$  holds for all  $X \in \Gamma^\infty(TM)$ . Can we conclude that  $f$  is a constant function? Prove your conclusion.

**Problem 5 (15 points)**

Let  $S^n = \{(x^1, \dots, x^{n+1}) : \sum_{i=1}^{n+1} (x^i)^2 = 1\}$  be the unit sphere in  $\mathbb{R}^{n+1}$ . Define a function  $f$  on  $S^n$  by

$$f(x^1, \dots, x^{n+1}) = \sum_{i=1}^{n+1} a_i (x^i)^2,$$

where  $a_1, \dots, a_{n+1} \in \mathbb{R}$ .

- (1) Prove  $f$  is a smooth function on  $S^n$ ;
- (2) Find all the critical values of  $f$ ;
- (3) Suppose  $a_1 = \dots = a_k - a < b = a_{k+1} = \dots = a_{n+1}$ . Take any  $c \in (a, b)$ . What is the manifold  $f^{-1}(c)$ ? Describe it in a geometric way: What manifold that we are familiar with is it diffeomorphic to?

**Problem 6 (20 points)**

For a smooth map  $f : M \rightarrow M$ , a point  $p \in M$  satisfying  $f(p) = p$  is said to be a fixed point of  $f$ . We say  $f$  is a Lefschetz map if for each  $p \in \text{Fix}(f)$ , 1 is not an eigenvalue of  $df_p : T_p M \rightarrow T_p M$ . For such an  $f$ , we define its local Lefschetz number  $L_p(f)$  at  $p \in \text{Fix}(f)$  to be  $\text{sgn}(\det(df_p - Id))$ .

Answer the following questions:

- (1) Let  $r_\theta : S^2 \rightarrow S^2$  be the map “rotate  $S^2$  by angle  $\theta \neq 2k\pi$ ”, defined by

$$r_\theta(x^1, x^2, x^3) = (x^1 \cos \theta - x^2 \sin \theta, x^1 \sin \theta + x^2 \cos \theta, x^3).$$

Prove that  $r_\theta$  is a Lefschetz map.

(2) Let  $V$  be a vector space and  $L : V \rightarrow V$  is a linear map. Let  $\Delta = \{(v, v) : v \in C\}$  be the diagonal in  $V \times V$ , and  $\Gamma_L := \{(v, Lv) : v \in V\}$  be the graph of  $L$ . Prove that  $\Gamma_L$  intersects  $\Delta$  transversally iff 1 is not an eigenvalue of  $L$ .

(3) If  $M$  is compact and  $f$  is a Lefschetz map. Show that  $\text{Fix}(f)$  is finite.

(4) Define the Lefschetz number of  $f$  by

$$L(f) = \sum_{p \in \text{Fix}(f)} L_p(f).$$

Compute  $L(r_\theta)$ .

**Problem 7 (15 points)**

Let  $M$  be a connected smooth manifold. Prove that for any  $p, q \in M$ , there is a  $\phi \in \text{Diff}(M)$  so that  $\phi(p) = q$ .

**Problem 8 (15 points)**

Let  $M, N$  be smooth manifolds.

(1) Write down the definition of submersion;

(2) Let  $\phi : M \rightarrow N$  be a smooth map. Write down the definition of " $X \in \Gamma^\infty(TM)$  is  $\phi$ -related to  $Y \in \Gamma^\infty(TN)$ ".

(3) Suppose  $\phi : M \rightarrow N$  is a submersion. Prove that for any  $Y \in \Gamma^\infty(TN)$ , there exists  $X \in \Gamma^\infty(TM)$  which is  $\phi$ -related to  $Y$ .

**Problem 9 (20 points)**

In what follows we cite a proof of **Cartan's closed subgroup Theorem**. Find the mistakes (maybe more than one) in this proof and your brief explanation.

**Theorem** Any closed subgroup  $H$  of a Lie group  $G$  is a Lie subgroup.

**Proof:** W.L.O.G we assume  $H$  is connected. Then

$$\mathfrak{h} := \{X \in \mathfrak{g} \mid \exp_G(tX) \in H \forall t \in \mathbb{R}\}$$

is a vector subspace of  $\mathfrak{g}$ .  $\mathfrak{h}$  is a Lie subalgebra of  $\mathfrak{g}$  since (the formula below is correct)

$$\exp_G(tX) \exp_G(tY) \exp_G(-tX) \exp_G(-tY) = \exp_G(t^2[X, Y] + O(t^3)).$$

So there is a unique connected Lie subgroup  $H' \leq G$  with Lie algebra  $\mathfrak{h}$ . Let  $\mathfrak{s}$  be a complementary subspace of  $\mathfrak{h}$  in  $\mathfrak{g}$  such that  $\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{s}$ . Let  $U, V$  be two sufficiently small convex neighbourhoods of 0 in  $\mathfrak{h}$  and in  $\mathfrak{s}$  respectively, such that  $\exp_G|_{U \times V}$  is a diffeomorphism to its image.

We claim that  $H \cap \exp_G(U \times V) = \exp_G U$ . In fact, suppose  $\exp_G(X + Y) \in H$ ,  $X \in U$  and  $Y \in V$ . Then

$$\exp_G(Y) = \lim_{n \rightarrow \infty} \left( \exp_G \frac{X + Y}{n} \exp_G \frac{-X}{n} \right)^n$$

is in  $H$  since  $H$  is closed. Hence  $Y \in \mathfrak{h} \cap \mathfrak{s} = \{0\}$ . Consequently,  $\exp_G U$  is an open set in  $H$ . On the other hand,  $\exp_G U$  is an open set in  $H'$  since  $\exp_G|_{\mathfrak{h}} = \exp_{H'}$ . Therefore  $H = \cup_n (\exp_G U)^n = H'$  as both are connected.