

语音及语言信息处理国家工程实验室

# **OCR and HWR Applications**











## **Development Driven Research (DDR)**











## Project 1: OCR Translation and Search Using Windows Phone





Jun Du, Qiang Huo, Lei Sun, Jian Sun, "Snap and Translate Using Windows Phone," ICDAR 2011





## Our Demo





#### System Architecture



## User-Intention Guided Text Detection (I)

- Fast
- Accurate
- Interactive
- Controllable









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### **Related Tech-Transfer**

• TechFest 2011

Most of people want to get this app

- Transfer to OCR Product Team

   Impact on the UI design of Microsoft Translator app
- Transfer to Bing Dictionary Team (Shipped)
   OCR-based mouse hovering translation feature
- Transfer to China Incubation Group

   OCR-based search feature in MyHealth365 Project



#### My First Ship-it Award









#### Word Lens



- iPhone App
- Augmented Reality
- Limited Application Scenarios
  - Simple background
  - Word look-up





## **Microsoft Translator WP App**



- Three Modes
  - Text
  - Image (OCR: local engine)
  - Speech (ASR: cloud service)



- Translation
  - Local dictionary for most common phases
     Cloud service



## Project 2: Discriminative Linear Regression for OCR Adaptation

Jun Du, Qiang Huo,

"A discriminative linear regression approach to adaptation of multiprototype based classifiers and its applications for Chinese OCR," Pattern Recognition, 2013







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## **Prototype-based Classifier**

- Prototypes for each character class
- Discriminant functions

$$g_i(\boldsymbol{x}; \boldsymbol{\lambda}_i) = -\min_k \| \boldsymbol{x} - \boldsymbol{m}_{ik} \|^2$$

Decision

$$r(\boldsymbol{x}; \boldsymbol{\Lambda}) = \arg \max_{i} g_i(\boldsymbol{x}; \boldsymbol{\lambda}_i)$$
.









MCE Objective Function

$$l(\boldsymbol{X}; \boldsymbol{\Lambda}) = \frac{1}{R_1} \sum_{r=1}^{R_1} \frac{1}{1 + \exp[-\alpha d(\boldsymbol{x}_r; \boldsymbol{\Lambda}) + \beta]}$$

• Sample Separation Margin

$$d(\boldsymbol{x}_r; \boldsymbol{\Lambda}) = \frac{-g_p(\boldsymbol{x}_r; \boldsymbol{\lambda}_p) + g_q(\boldsymbol{x}_r; \boldsymbol{\lambda}_q)}{2 \parallel \boldsymbol{m}_{p\hat{k}} - \boldsymbol{m}_{q\overline{k}} \parallel}$$

$$\hat{k} = \arg\min_{k} || x_{r} - m_{pk} ||^{2}$$

$$q = \arg\max_{i \in \mathcal{M}_{r}} g_{i}(x_{r}; \boldsymbol{\lambda}_{i}) \qquad g_{i}(x; \boldsymbol{\lambda}_{i}) = -\min_{k} || x - m_{ik} ||^{2}$$

$$\bar{k} = \arg\min_{k} || x_{r} - m_{qk} ||^{2}$$

$$\bar{\mathbf{k}} = \arg\min_{k} || x_{r} - m_{qk} ||^{2}$$

$$\bar{\mathbf{k}} = \Xi \mathbf{k}$$



## **Model-Space Adaptation**

Linear Transformation

$$\hat{m}_{ik} = \mathcal{F}(m_{ik}; \Theta) = A_{e_i}m_{ik} + b_{e_i}$$

MCE Objective Function

$$l(\boldsymbol{Y};\boldsymbol{\Lambda},\boldsymbol{\Theta}) = \frac{1}{R_2} \sum_{r=1}^{R_2} \frac{1}{1 + \exp[-\alpha d(\boldsymbol{y}_r;\boldsymbol{\Lambda},\boldsymbol{\Theta}) + \beta]}$$

Sample Separation Margin

$$d(\boldsymbol{y}_r; \boldsymbol{\Lambda}, \boldsymbol{\Theta}) = \frac{-g_p(\boldsymbol{y}_r; \hat{\boldsymbol{\lambda}}_p) + g_q(\boldsymbol{y}_r; \hat{\boldsymbol{\lambda}}_q)}{2 \parallel \hat{\boldsymbol{m}}_{p\hat{k}} - \hat{\boldsymbol{m}}_{q\overline{k}} \parallel}$$





#### **Feature-Space Adaptation**

• Linear Transformation

 $oldsymbol{x}_r ~=~ \mathcal{F}(oldsymbol{y}_r; oldsymbol{\Theta}) = oldsymbol{A} oldsymbol{y}_r + oldsymbol{b}$ 

MCE Objective Function

$$l(\boldsymbol{Y};\boldsymbol{\Lambda},\boldsymbol{\Theta}) = \frac{1}{R_2} \sum_{r=1}^{R_2} \frac{1}{1 + \exp[-\alpha d(\boldsymbol{y}_r;\boldsymbol{\Lambda},\boldsymbol{\Theta}) + \beta]}$$

Sample Separation Margin

$$d(\boldsymbol{y}_r; \boldsymbol{\Lambda}, \boldsymbol{\Theta}) = \frac{-g_p(\boldsymbol{x}_r; \boldsymbol{\lambda}_p) + g_q(\boldsymbol{x}_r; \boldsymbol{\lambda}_q)}{2 \parallel \boldsymbol{m}_{p\hat{k}} - \boldsymbol{m}_{q\overline{k}} \parallel}$$



## **Rprop Optimization**

• Update the step size adaptively

$$S = \frac{\partial l(\mathcal{X}, \mathcal{L}; \mathbf{\Lambda}^{(t-1)})}{\partial m_{ikd}} \cdot \frac{\partial l(\mathcal{X}, \mathcal{L}; \mathbf{\Lambda}^{(t)})}{\partial m_{ikd}}$$

$$\Delta_{ikd}^{(t)} = \begin{cases} \min\left(\eta^{+}\Delta_{ikd}^{(t-1)}, \Delta_{\max}\right) & \text{if } S > 0\\ \max\left(\eta^{-}\Delta_{ikd}^{(t-1)}, \Delta_{\min}\right) & \text{if } S < 0\\ \Delta_{ikd}^{(t-1)} & \text{else} \end{cases}$$

 $0 < \eta^- < 1 < \eta^+$ 





#### **Font-Style Adaptation**



Fig. 6. Performance (character recognition error rate in % on each testing set) comparison of the baseline classifier and different approaches for supervised adaptation to *each of 25 new font styles*.



#### Low-Quality Text Adaptation



Fig. 9. Performance (character recognition error rate in % on testing set) comparison of different approaches for supervised adaptation with different number of adaptation samples of low-quality text (Baseline recognition error rate is 46.98%).

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#### Project 3: Snake: a WP8 HWR engine





Jun Du, Qiang Huo, Kai Chen

"Designing compact classifiers for rotation-free recognition of large vocabulary online handwritten Chinese characters," ICASSP, 2012



#### **Motivation**



- Requirement of WP8 HWR in China Markets
  - Support GB18030 (27533 China Characters)
  - Robust to rotational distortion
- The Status of HWR Product Team
  - The original team is reorganized to STC
  - The new team have no capability to build the engine



### **Training Stage**





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## Split VQ Compression

- Split D-dimensional prototype vectors into Q streams
- Sub-vectors in different streams are quantized by VQ with different codebooks





#### **Fast-Match Tree**





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## **Recognition Stage**





#### A Magic for Rotation Normalization



Starting point of each stroke Averaged starting point over all strokes
Ending point of each stroke Averaged ending point over all strokes



## Footprint: 3.4M Footprint: 8.8M



Recognizer #1: rotation-sensitive

Recognizer #2: rotation-free



#### Rotation-Sensitive vs. Rotation-Free

## Comparison with Mango (WP7.5) System

	Current System	Mango System
Vocabulary	27533 Characters	6763 Characters
Footprint	6MB+	2MB
Accuracy	94.5%	94.2%
Recognition Time Per Character	7ms	11ms



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## Summary

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- A Chinese HWR solution for WP8 Apollo release
  - Rotation-free
  - Fast
  - Compact
  - Accurate
  - Large vocabulary



