

THE RELATIVE AUSLANDER FORMULA

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ABSTRACT. We describe the main ingredients in the proof of the relative Auslander formula.

Let \mathcal{A} be an abelian category and $\mathcal{C} \subseteq \mathcal{A}$ a full additive subcategory. Denote by $\text{mod-}\mathcal{C}$ the category of finitely presented contravariant additive functors from \mathcal{C} to the category Ab of abelian groups. Here, we recall that a contravariant additive functor $F: \mathcal{C} \rightarrow \text{Ab}$ is *finitely presented*, if there is an exact sequence of functors

$$\mathcal{C}(-, X_1) \xrightarrow{\mathcal{C}(-, f)} \mathcal{C}(-, X_0) \longrightarrow F \longrightarrow 0$$

with $X_i \in \mathcal{C}$. The morphism $f: X_1 \rightarrow X_0$ is called a *representing morphism* of F . Such a functor F is called *effaceable* if its representing morphism can be chosen to be epic in \mathcal{A} . Denote by $\text{eff-}\mathcal{C}$ the full subcategory formed by effaceable functors.

Recall that $\text{mod-}\mathcal{C}$ is an abelian category if and only if \mathcal{C} has weak kernels. We mention that the definition of effaceable functors depends on the embedding $\mathcal{C} \hookrightarrow \mathcal{A}$.

Consider the following additive functor

$$\Theta: \text{mod-}\mathcal{C} \longrightarrow \mathcal{A},$$

which sends F to $\text{Cok}(f)$, the cokernel of the representing morphism f of F in \mathcal{A} . The functor Θ is right exact, whose essential kernel equals $\text{eff-}\mathcal{C}$.

Remark 1. We use the existence of the functor Θ above to infer the following fact: a functor F in $\text{mod-}\mathcal{C}$ is effaceable if and only if all its representing morphisms are epic in \mathcal{A} .

The subcategory \mathcal{C} is said to *generate* \mathcal{A} if each object A in \mathcal{A} admits an epimorphism $X \rightarrow A$ with some $X \in \mathcal{C}$.

The following result, known as the relative Auslander formula, is due to [3, Proposition 3.4]. The original Auslander formula, dealing with the case $\mathcal{C} = \mathcal{A}$, is found in [1, p.205]; see also [2, Proposition 2.3.3].

Theorem 2. *Assume that the subcategory \mathcal{C} has weak kernels and generates \mathcal{A} . Then the functor Θ above is exact and induces an equivalence*

$$\overline{\Theta}: \frac{\text{mod-}\mathcal{C}}{\text{eff-}\mathcal{C}} \xrightarrow{\sim} \mathcal{A}.$$

Proof. The assumption implies that the inclusion $\mathcal{C} \hookrightarrow \mathcal{A}$ is weakly left exact. It follows from [2, Lemma 2.1.8] that Θ is exact. Consequently, $\text{eff-}\mathcal{C}$ is a Serre subcategory of $\text{mod-}\mathcal{C}$.

Since \mathcal{C} generates \mathcal{A} , the functor Θ and thus the induced one $\overline{\Theta}$ are dense. Recall a standard fact: any exact functor between two abelian categories, which is full, dense and faithful on objects, is necessarily an equivalence. Since $\overline{\Theta}$ is faithful on

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objects, it suffices to show that it is full. This follows immediately from the lemma below, since morphisms in the quotient category are represented by fractions. \square

Lemma 3. *Let $\mathcal{C} \subseteq \mathcal{A}$ be a full additive subcategory which generates \mathcal{A} . Suppose that $f: X_1 \rightarrow X_0$ and $g: Y_1 \rightarrow Y_0$ are two morphisms in \mathcal{C} , and that $u: \text{Cok}(f) \rightarrow \text{Cok}(g)$ is any morphism between their cokernels in \mathcal{A} . Then there exists a morphism $Z_1 \rightarrow Z_0$ in \mathcal{C} fitting into the following commutative diagram with exact rows in \mathcal{A} .*

$$\begin{array}{ccccccc}
 X_1 & \xrightarrow{f} & X_0 & \longrightarrow & \text{Cok}(f) & \longrightarrow & 0 \\
 \uparrow \cdots & & \uparrow \cdots & & \parallel & & \\
 Z_1 & \longrightarrow & Z_0 & \longrightarrow & \text{Cok}(f) & \longrightarrow & 0 \\
 \downarrow \cdots & & \downarrow \cdots & & \downarrow u & & \\
 Y_1 & \xrightarrow{g} & Y_0 & \longrightarrow & \text{Cok}(g) & \longrightarrow & 0
 \end{array}$$

Proof. This is an elementary exercise in homological algebra, using pullbacks in \mathcal{A} and the generating assumption on \mathcal{C} . \square

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