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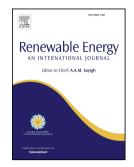
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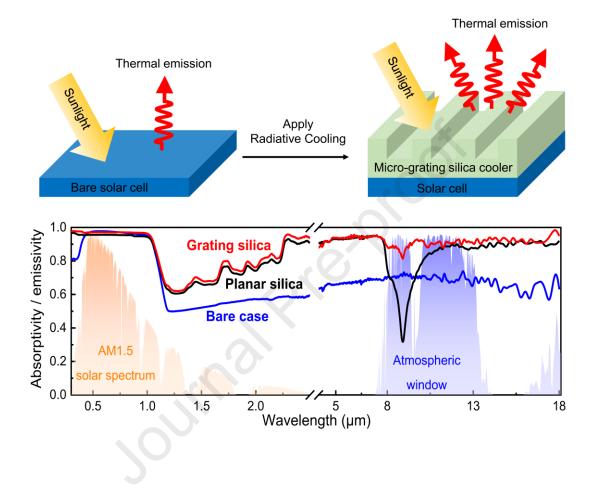
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# **Graphical Abstract**



## Radiative cooling of solar cells with micro-grating photonic cooler

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### 7 Abstract

1

Radiative cooling of solar cells has been proposed in recent years and has elicited much interest 8 from fields of materials science to engineering science. Herein, a silica micro-grating photonic cooler 9 is proposed, designed, and fabricated to radiatively cool solar cells. It is shown that the micro-grating 10 silica can not only improve the thermal emissivity of the bulk silica to over 0.9 required for enhanced 11 radiative cooling of solar cells but also exhibits a slight anti-reflection effect for sunlight. The outdoor 12 experiment demonstrates that the proposed cooler can passively reduce the temperature of the 13 commercial silicon cell by 3.6°C when applied on the top of the cell under solar irradiance range from 14 approximately 830 W·m<sup>-2</sup> to 990 W·m<sup>-2</sup>, even though the cell already possesses a strong thermal 15 emissivity of 0.67 and such a cooler slightly enhance the light trapping effect of the cell. This work 16 provides an alternative way to design the solar-transparent infrared-emissive cooler for enhanced 17 radiative cooling of solar cells and shows its cooling potential. 18

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Keywords: Radiative cooling; Solar cells, Atmospheric window, Thermal emission, Photonic crystal

### 26 1. Introduction

Photovoltaic conversion has been recognized as one of the promising renewable energy techniques 27 to eliminate the environmental issues (e.g., carbon emission and global warming) associated with the 28 massive use of fossil fuels. Solar cells are the core of photovoltaic conversion, which can generate 29 clean electricity from the sunlight directly. However, the power conversion efficiency of solar cells is 30 limited at a level of approximately 20-30%. Besides, the potential conversion efficiency of a single 31 junction solar cell was predicted to be approximately 30% based on the detailed balance analysis [1]. 32 Importantly, a silicon solar cell absorbs incident sunlight strongly with an effective absorptivity over 33 0.9 [2], so nearly 60% of incident sunlight is dissipated into heat and then heats up the solar cell, which 34 consequently harms the solar cell's power conversion performance and reduces its lifetime and 35 reliability. Thus, effective cooling of solar cells is crucially required for photovoltaic conversion. 36

Currently, widely used cooling approaches for solar cells are relevant to conduction and 37 convection, such as using metal heat sinks, forced cooling air/water [3,4], water immersing/spraying 38 [5], and heat pipe cooling [6]. However, most of these methods generally require extra energy input or 39 customized cooling structures, which increase the complexity of the system as well as increase the cost. 40 Apart from conduction and convection, radiation is also a vital heat transfer mode for efficient cooling. 41 Radiative cooling has been exactly one of the interesting passive cooling methods, which cools objects 42 by pumping their heat into the cold universe in the form of thermal radiation, mainly relying on the 43 transparency of the atmospheric window from 8 to 13µm [7–13]. A variety of spectrally selective 44 coolers have been developed and demonstrated to provide efficient cooling effects, such as multilayer 45 films [14,15], photonic coolers [16,17], metamaterials [18,19], porous polymers [20,21], and advanced 46 paints [22-24]. which attracts much attention from the fields of material science and engineering. 47

Recently, the idea of radiative cooling of solar cells has been proposed and investigated, and many 48 reported researches have proved its possibility and demonstrated the cooling effect for solar cells in 49 real-world conditions [25–29]. Bare silicon cells were theoretically and experimentally demonstrated 50 to be cooled effectively after covering radiative coolers. For example, Zhu et al. designed a pyramid-51 structure-based cooler [27] and air holes-based photonic cooler [28] for radiative cooling of bare 52 silicon cells, which shows that the bare cell can be passively cooled by over 10°C under 1 sun 53 conditions. Besides, pyramid-based photonic coolers are also good candidates for radiative cooling of 54 solar cells [30-32], such as polydimethylsiloxane texture coolers [32]. If solar cells are under 55 56 concentrated sunlight, the cooling effect will be further amplified. Peter et al. [33] demonstrated that the GaSb cell under 13 suns concentration can be radiatively cooled by 10°C, which increases the 57 open-circuit voltage by 5.7% and the lifetime increase is also predicted to be 40%. Moreover, the same 58 group proposed a new structure for radiative cooling of concentrating photovoltaic based on GaSb cell, 59 which experimentally demonstrates a temperature drop of 36°C for PV cell, corresponding to a 31% 60 increase of open-circuit voltage and 4-15 times predicted lifetime extension [34]. 61

In this paper, we propose a silica micro-grating photonic cooler (refer to as "Grating silica" 62 hereinafter) for enhanced radiative cooling of solar cells. A micro-grating structure with a periodicity 63 of 7 µm is fabricated by the etching process. The duty ratio of the grating silica is 0.2 and the etching 64 depth is 10 µm. Optical characterization shows that the grating silica is highly transparent to sunlight 65 and has strong thermal emission in the mid-infrared wavelength regions with an average emissivity of 66 0.9. The outdoor experiment demonstrates that the commercial silicon cell can be cooled by over 3.6°C 67 after adding the grating silica on the top. To further explore the potential of radiative cooling of solar 68 cells, model analysis is conducted by considering the effect of cooler, ambient parameters, and solar 69

70 cells.

### 71 2. Results and discussion

### 72 2.1. The design, fabrication, and characterization of the cooler

The basic approach to enhance radiative cooling of solar cells is to place a radiative cooler on the 73 top of the solar cell. In order to satisfy the requirement of photovoltaic conversion and radiative cooling, 74 75 the radiative cooler needs to meet the following spectral criteria (Fig. 1a): First, the radiative cooler needs to have high solar transmittance for photovoltaic conversion, so materials with optical lossless 76 properties are preferred for the radiative cooler. Second, the radiative cooler should exhibit strong 77 thermal emission for enhanced radiative cooling, thus mid-infrared lossy materials with non-zero 78 extinction coefficients are good candidates. Here, we propose a grating silica for enhanced radiative 79 cooling of solar cells (Fig. 1b). Silica material is solar transparent and has high thermal emissivity in 80 81 the thermal radiation band, which has been used in previous studies for sub-ambient radiative cooling [35,36]. The micro-grating structure is designed to overcome the impedance mismatch at the interface 82 between the silica and ambient air and then reduce the strong reflectivity of the planar silica layer 83 within the atmospheric window, corresponding to an emissivity improvement. 84

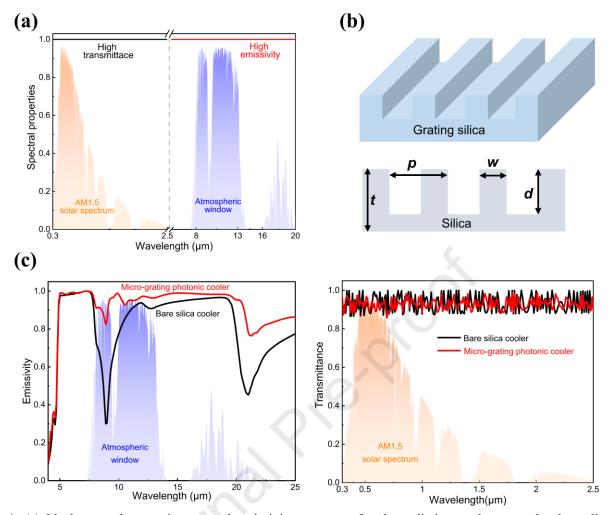
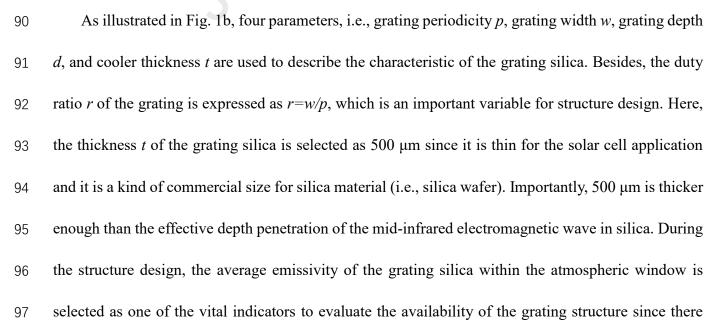


Fig. 1. (a) Ideal spectral transmittance and emissivity spectrum for the radiative cooler to cool solar cells. (b) Schematic of the proposed grating silica. Section-view of the grating silica with periodicity p, grating width w, grating depth d, and cooler thickness t, is presented as reference. (c) Simulated mid-infrared emissivity spectrum and solar transmittance spectrum of the planar silica and grating silica.

85



exists a huge emissivity drop for bulk silica due to its negative permittivity. Besides, the average 98 transmittance of the grating silica within the wavelength range from 0.3 to 1.1µm is another essential 99 indicator because the effective optical efficiency of the cooler is the most important part of photovoltaic 100 power generation. Rigorous coupled-wave analysis (RCWA) is applied to calculate the spectral 101 responses of the grating silica, including reflectivity, transmittance, and emissivity [37]. The optical 102 constant of the silica was obtained from the reference (Fig. S1) [38]. For calculation and optimization, 103 considering the wavelength region of interest is  $8-13\mu m$ , the range of the p is selected to be between 5 104 to 15  $\mu$ m, and the grating depth d is chosen to be between 5 to 25  $\mu$ m. Besides, the duty ratio of the 105 106 grating is within 0.1 to 0.9 with a step of 0.1. After considering the above optical requirements and the complexity of the grating structure, parameter combination (p, r, d) is selected as  $(7 \,\mu\text{m}, 0.2, 10 \,\mu\text{m})$ 107 and the spectral response of the grating silica and 500-µm-thick planar silica are presented in Fig. 1c. 108 The planar silica has a strong emissivity drop within the atmospheric window and the micro-grating 109 structure well improves the emissivity and exhibits an average emissivity of approximately 0.9. 110 Importantly, the predicted transmittance of the planar silica and grating silica is nearly the same, which 111 112 indicates that the micro-grating structure does not degrade the optical property of the silica in the solar radiation band. 113

114 For experimental demonstration, the designed grating silica is fabricated (Fig. 2a) and 115 characterized. Fig. 2b shows the top and cross-section views of the grating silica, which matches well 116 with the designed structure. The spectral emissivity of the silicon cell with grating silica (i.e., grating 117 silica in Fig 2c), silicon cell with planar silica (i.e., planar silica in Fig 2c), and bare silicon cell (i.e., 118 bare case in Fig 2c) are measured. The absorptivity ( $\alpha_{0.3-1.1}$ ) of the cell w/o silica on the top within the 119 wavelength band of 0.3-1.1 µm is nearly the same (Fig. 2c) with an average absorptivity of

approximately 0.95 and a slight absorption improvement of the cell after adding grating silica (Fig. 2d) 120 due to its anti-reflection effect is observed. The I-V testing results also prove the existence of the anti-121 122 reflection effect (Fig. S2). In the mid-infrared wavelength band, the emissivity of the cell with grating silica is the highest with an average emissivity of 0.91, while the emissivity of the bare cell and the 123 cell with planar silica are 0.67 and 0.81, respectively. Importantly, the emissivity drop of the planar 124 silica near 9 µm is almost eliminated by the designed grating photonic structure, which is a good feature 125 for radiative cooling of solar cells. Meanwhile, the infrared photos (Fig. 2e) also reveal the thermal 126 emissivity properties of the bare cell, the cell with planar silica, and the cell with grating silica due to 127 the positive correlative relationship between the apparent temperature of the surface and its emissivity. 128 To further demonstrate the emissivity improvement of the grating silica, the stagnation temperatures 129 of the silicon cell, the cell with grating silica, and the cell with planar silica are measured under a 130 controlled solar simulator (Fig. S3), which shows the cell with grating silica is always the coldest 131 among all three samples and its stagnation temperature is 10.0°C and 2.5°C lower than those of bare 132 cell case and the cell with planar silica. 133

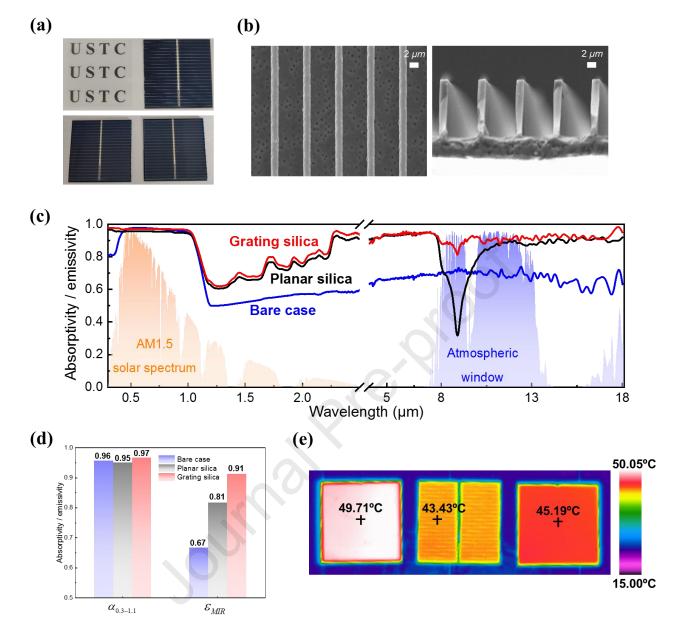


Fig. 2. (a) Optical images of grating silica, bare silicon cell, the cell with planar silica, and the cell with grating silica. (b) Top-view and cross-section view scanning electron microscope (SEM) images of the grating silica. (c) Measured solar absorptivity and thermal emissivity of the bare cell, the cell with planar silica, and the cell with grating silica. (d) Average absorptivity within the 0.3-1.1  $\mu$ m and average emissivity in the mid-infrared wavelength band. (e) Infrared images of the bare cell, the cell with planar silica, and the cell with grating silica at a testing temperature of 52.5°C.

### 141 2.2. Modeling steady-state temperature of the solar cell

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To analyze the radiative cooling effect of the grating silica on the solar cell, a thermal model is used for steady-state temperature prediction. We consider that the temperature of the solar cell with the grating silica is uniform since the integrated structure is thin and this assumption has also been

used and approved. The energy balance of the solar cell is relevant to sunlight, atmosphere, ambient
air, and the integrated solar cell (Fig. 3a). According to the first law of thermodynamics, the energy
governing equation is expressed as:

$$Q_{rad}(T_c) - Q_{sun} - Q_{atm}(T_a) + Q_{non_rad}(T_c - T_a) = 0$$
(1)

149 where  $T_c$  is cell temperature and  $T_a$  is ambient temperature,  $Q_{rad}(T_c)$  is the radiative heat power of the 150 solar cell w/o the grating silica and can be expressed as [39]:

151 
$$Q_{rad}(T_c) = A \cdot 2\pi \int_0^\infty \int_0^{\frac{\pi}{2}} I_{BB}(\lambda, T_c) \varepsilon(\lambda, \theta) \cos\theta \sin\theta \, d\theta d\lambda$$
(2)

where  $I_{BB}$  is the spectral radiance density of a blackbody,  $\varepsilon(\lambda, \theta)$  is the spectral angular emissivity of the solar cell w/o the grating silica, A is the area of the solar cell. The absorbed solar power  $Q_{sun}$  can be expressed as:

$$Q_{sun} = A \cdot G\alpha \tag{3}$$

where *G* is the total solar power flux and  $\alpha$  is the AM 1.5 weighted solar absorptivity of the solar cell w/o the grating silica. The absorbed atmospheric heat power  $Q_{atm}(T_a)$  can be expressed as [39]:

158 
$$Q_{atm}(T_a) = A \cdot 2\pi \int_0^\infty \int_0^{\frac{\pi}{2}} I_{BB}(\lambda, T_a) \varepsilon(\lambda, \theta) \varepsilon_{atm}(\lambda, \theta) \cos\theta \sin\theta \, d\theta d\lambda \tag{4}$$

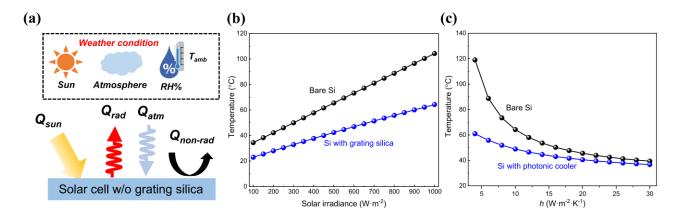
159 where  $\varepsilon(\lambda, \theta)$  is the spectral angular emissivity of the atmosphere and can be estimated using a 160 correlation [14]  $\varepsilon(\lambda, \theta)=1-\tau(\lambda, 0)^{1/\cos\theta}$ ,  $\tau(\lambda, 0)$  is the transmittance of the atmosphere in the zenith 161 direction. The heat flux caused by convection and conduction heat transfer modes, represented as 162  $P_{non_rad}(T_c, T_a)$ , can be described using an overall heat transfer coefficient  $h_c$ :

163 
$$Q_{non_rad}(T_c, T_a) = A \cdot h_c(T_c - T_a)$$
(5)

164 During simulation, the spectral atmospheric transmittance is obtained from the MODTRAN [40]. 165 Besides, ambient temperature is set as 300 K, and the overall heat transfer coefficient is set to be 6 166  $W \cdot m^{-2} \cdot K^{-2}$ , corresponding to the wind speed of approximately 2 m·s<sup>-1</sup>. Notably, a 200-µm-thick silicon

is selected as the solar cell in this section to purely evaluate the radiative cooling performance of the grating silica and the solar absorption and thermal emission of the cell w/o the grating silica are theoretically simulated (Fig. S4).

Bare silicon cell is heated up by the sunlight under various solar irradiances and operates at 77.5°C 170 above ambient temperature under 800 W·m<sup>-2</sup> solar irradiance (Fig. 3b). However, the solar cell with 171 the grating silica added operates at 37.5°C above ambient temperature under 800 W $\cdot$ m<sup>-2</sup>, showing that 172 the grating silica can passively cool the solar cell by 40°C using radiative cooling. It is noted that such 173 a cooling effect may be overestimated since the bare silicon cell has little thermal emission within the 174 mid-infrared band, but it does demonstrate the feasibility of the radiative cooling of solar cells. For 175 above-ambient heat dissipation conditions, the non-radiative heat transfer mode also plays an essential 176 role. As shown in Fig. 3c, the temperature of the solar cell w/o the grating silica decreases dramatically 177 178 with the increase of h, and the temperature difference of the solar cell with and without the grating silica also reduces. At  $h = 4 \text{ W} \cdot \text{m}^{-2} \cdot \text{K}^{-2}$ , the temperature of the solar cell w/o the grating silica is 119.0°C 179 and 61.0°C, indicating that the grating silica results in a cell temperature reduction of 58°C. When h180 increases to 20 W·m<sup>-2</sup>·K<sup>-2</sup>, the solar cell w/o the grating silica operates at 45.6°C and 40.5°C, 181 respectively, corresponding to the temperature difference of 5.1°C. This scenario is caused by the 182 relative change of thermal resistance during the convection and radiation coupled heat transfer process. 183



184

Fig. 3. (a) Energy balance of the silicon cell w/o the grating silica, which involves absorbed solar power  $Q_{sun}$ , radiated thermal emission power  $Q_{rad}$ , absorbed thermal emission power from the atmosphere  $Q_{atm}$ , and non-radiative power  $Q_{non-rad}$ . (b)-(c) Predicted solar cell temperature under different solar irradiance and h.

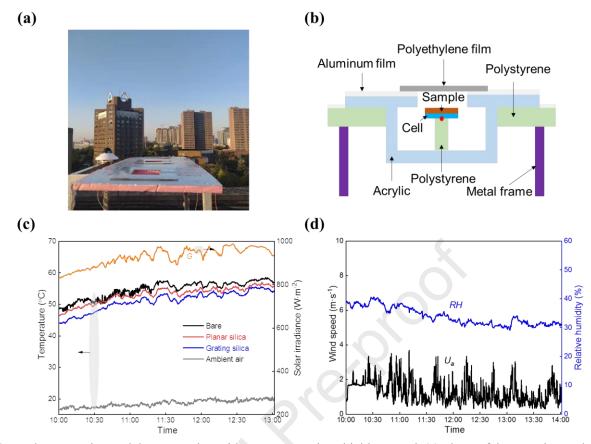
### 188 **2.3.** Outdoor radiative cooling of solar cells

To further evaluate the radiative cooling performance of the grating silica on solar cells, an outdoor 189 experimental demonstration is conducted on April 03, 2022. The experimental setup described in Fig. 190 4a and 4b mainly consists of an acrylic chamber, polystyrene materials, polyethylene (PE) film, 191 aluminum (Al) foils, and a metal frame. The solar cell w/o the cooler is placed on top of polystyrene 192 that has low thermal conductivity and then fixed in the acrylic chamber. The top surfaces of the 193 chamber and polystyrene are all covered by Al foils to reduce their parasitic solar absorption and 194 thermal radiation. The PE film is used to decouple the sample with ambient air so that the radiative 195 cooling effect of the grating silica can be investigated individually. 196

The temperatures of the bare silicon cell, the cell with planar silica, and the cell with grating silica 197 are measured and presented in Fig. 4c with ambient air temperature and solar irradiance plotted as 198 references, and the measured data is also applied for the model validation (Fig.S5). During the testing, 199 solar irradiance varies from approximately 830 W·m<sup>-2</sup> to 990 W·m<sup>-2</sup>, and the temperature of the cell 200 with grating silica is always the lowest, while the temperature of the bare cell is maintained at the 201 highest condition. Specifically, the arithmetic averaged temperature of the cell with grating silica is 202 3.6°C lower than that of the bare cell even though the AM1.5 weighted solar absorptivity of the former 203 is obviously greater than that of the latter (Fig. 2c), indicating that the grating silica is a good candidate 204 of the transparent cooler for enhanced radiative cooling of solar cells. Moreover, the cell with grating 205 silica is also 2.0°C cooler on average than that of the cell with planar. Compared with the reported 206 207 results of temperature reduction (e.g. over 10°C after using the cooler [27]) on this topic, a temperature reduction of 3.6°C may be overshadowed, but it needs to be highlighted that the bare cell used in this 208

work is a commercial silicon cell that already has a strong thermal emission rather than bare or doped silicon whose emissivity is maintained at a relatively low level. If the strong reflection of near-infrared light is considered for the cooler, the cooling performance of the cooler will be further improved, and adding multilayer solar splitting film is one of the possible methods [41].

Notably, solar cells are exposed to ambient air directly on the photovoltaic applications level, so 213 radiation heat transfer and convection heat transfer of solar cells occur simultaneously. Thus, the 214 temperature of different samples with the silicon solar cell is also measured without PE cover (Fig. 215 S6), which shows the temperature of the solar cell with grating silica is also lower than that of the bare 216 217 silicon cell with an arithmetic averaged temperature reduction of approximately 1.8°C, indicating the enhanced radiative cooling effect of the proposed grating silica. Moreover, it should be pointed out 218 that glass cover is widely used for commercial photovoltaic modules. Here, a universal and possible 219 route for radiative cooling of PV modules is copying the micro-grating structure to the bulk glass 220 material that contains approximately 70% of silica and 30% other constituents (e.g., Na<sub>2</sub>O, CaO, MgO) 221 [42] and re-design the characteristic parameters for micro-grating structure (Fig. S7). 222



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Fig. 4. Outdoor experimental demonstration with a PE convection shield covered. (a) Photo of the experimental setup. (b) Schematic of the experimental setup. Bare silicon cell, the cell with planar silica, and the cell with grating silica are placed on top of polystyrene that has low thermal conductivity. The red point inserted in the figure is the thermocouple position. (c) Measured temperature of the bare silicon cell, the cell with planar silica, and the cell with grating silica, with ambient temperature and solar irradiance (i.e., G) plotted as references. (d) Measured wind speed (i.e.,  $U_a$ ) and relative humidity (RH) of the local environment during the testing period.

### 230 3. Conclusions

In this paper, a grating silica is designed and fabricated to radiatively cool solar cells. The micrograting structure has a periodicity of 7  $\mu$ m, a duty ratio of 0.2, and a vertical depth of 10  $\mu$ m. The proposed silica grating not only improves the thermal emissivity of the solar cell to approximately 0.9 but also reinforces the light trapping effect of the solar cell for power generation enhancement. When exposed to the outdoor environment, the commercial silicon cell that already has an average emissivity of nearly 0.67 can still be passively cooled by 3.6 °C after adding the grating silica on the top, showing the cooling potential of radiative cooling mechanism for thermal management of solar cells.

### 238 4. Experimental methods

- 239
- 240 *4.1. Fabrication of the cooler*

A 550-nm-thick aluminum film is deposited on a quartz substrate with a thickness of 500 µm and 241 a layer of 1-µm-thick photoresist (AZ6112) is spin-coated on the aluminum film at 3000 rpm and 242 100°C for 90 s. Then, the maskless lithography of the sample is performed using the direct-write 243 lithography machine (ATD1500, Advantools Co., Ltd.) under 66 mW, and the sample is then developed 244 by immersion in a developer (AZ 300 MIF) for 35s with a subsequent deionized water washing. Next, 245 the sample is baked at 110°C for 120s (hard baking). Next, the aluminum film is etched off by an ICP 246 etching machine (Plasma System100 ICP180, Oxford), and nearly 10-µm-thick quartz is then etched 247 off by an ICP (Plasma System100 ICP380, Oxford) at a pressure of 4.0 mTorr (gas compositions: 40 248 sccm C<sub>4</sub>F<sub>8</sub>, 10 sccm O<sub>2</sub>, 80 sccm RF). During the etching process, multiple cool-downs are required 249 to prevent overheating of the sample. 250

251

### 252 *4.2. Optical, electrical, and structural characterization*

Solar transmittance spectrums of the micro-grating silica cooler and bulk silica are measured by 253 a UV-Vis-NIR spectrometer (SolidSpec-3700 DUV, Shimadzu) equipped with a Teflon coated 254 integrating sphere. The spectral reflectivity spectrums of the samples in the MIR wavelength band are 255 measured by a Fourier transform infrared spectrometer (Nicolet iS 50, Thermo Scientific) equipped 256 with a gold-coated integrating sphere. The current-voltage (I-V) curve is measured using a solar 257 simulator (Oriel Sol3A Class AAA, Newport) with a light intensity of 1000 W/m<sup>2</sup> under AM1.5G 258 illumination. The cross-sectional morphologies of the micro-grating silica cooler are obtained by 259 scanning electron microscopy (EVO 18, Zeiss). The IR images of the bare cell, the cell with planar 260 silica, and the cell with grating silica are obtained by a radiometric thermographic system 261

- 262 (VarioCAM<sup>®</sup> hr head, InfraTec).
- 263
- 264 *4.3. Thermal performance measurements*

During the outdoor experimental testing, the experimental setup (Fig. 4a) is fixed horizontally and the temperature values of samples are measured by the T-type thermocouples that are fixed on the backside of samples with an uncertainty of  $\pm 0.5$  °C. Total solar radiation is measured by a pyranometer (TBQ-2, Jinzhou Sunshine Technology Co., Ltd) that is installed in parallel with samples with an uncertainty of  $\pm 2\%$ . Moreover, ambient temperature and relative humidity are measured using an integrated weather station (HSTL-BYXWS). All above-mentioned data are collected and recorded using a data acquisition instrument (LR8450, HIOKI).

272

### 273 Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

### 276 Acknowledgments

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### 283 Data availability

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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### Highlights

- **1.** A silica micro-grating photonic cooler is proposed to radiatively cool solar cells.
- 2. Grating silica exhibits emissivity over 0.9 and simultaneously has anti-reflection effect for sunlight.
- **3.** A temperature reduction of 3.6°C is realized for the commercial solar cell with the grating silica.

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### **Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.
 The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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