



一类具有梯度项的拟线性椭圆型方程 的 Liouville 型定理

吴天

中国科学技术大学 数学科学学院

2024 年 12 月 1 日



- ① 若干类带半线性项方程解的分类问题
- ② 不变张量技术在半线性方程中的应用
- ③ 带梯度项方程解的分类问题



① 若干类带半线性项方程解的分类问题

② 不变张量技术在半线性方程中的应用

③ 带梯度项方程解的分类问题



设 $1 < m < n$, u 为 \mathbb{R}^n 上的方程

$$\Delta_m u + u^p = 0$$

的正解. 若 $1 < p < m^* - 1 = \frac{mn}{n-m} - 1$, 方程无解.

- B. Gidas, J. Spruck [Comm. Pure Appl. Math., 1981]

$$m = 2 \text{ 且 } 1 < p < 2^* - 1 = \frac{n+2}{n-2}.$$

- J. Serrin, H. Zou [Acta Math., 2002]

$$1 < m < n \text{ 且 } 1 < p < m^* - 1 = \frac{mn}{n-m} - 1.$$

【注】临界指标 $p = m^* - 1$ 时希望得到解的完全分类, 需要加能量有限条件, 或对 m 的范围有要求. 代表性工作有:
Caffarelli-Gidas-Spruck、Sciunzi、欧乾忠.



设 (M^n, g) 是闭流形, $n \geq 3$, $\text{Ric} \geq (n-1)g$, u 为

$$\Delta u - \lambda u + u^\alpha = 0$$

的正解. 当 $1 < \alpha \leq \frac{n+2}{n-2}$ 且 $0 < \lambda \leq \frac{n}{\alpha-1}$ 时, 要么只有常数解, 要么只能在 $\alpha = \frac{n+2}{n-2}$, $\lambda = \frac{n(n-2)}{4}$, 且 (M^n, g) 等距同构与 (\mathbb{S}^n, g_c) 时有非平凡解

$$u(x) = \left(\frac{\sqrt{n(n-2)}}{2 \cosh t + 2(\sinh t) \langle a, x \rangle} \right)^{\frac{n-2}{2}}, \quad t \geq 0, \quad a \in \mathbb{S}^n.$$



- M. Obata [J. Differential Geom., 1971]

$\alpha = \frac{n+2}{n-2}$ 且 $0 < \lambda \leq \frac{n(n-2)}{4}$, 还给出了 $\lambda = \frac{n(n-2)}{4}$ 时流形的刚性.

- M. F. Bidaut-Véron, L. Véron [Invent. Math., 1991]

$1 < \alpha < \frac{n+2}{n-2}$, 且 $0 < \lambda \leq \frac{n}{\alpha - 1}$.

【注】 $\left. \frac{n}{\alpha - 1} \right|_{\alpha = \frac{n+2}{n-2}} = \frac{n(n-2)}{4}$.



① 若干类带半线性项方程解的分类问题

② 不变张量技术在半线性方程中的应用

③ 带梯度项方程解的分类问题



旧方法：直接分部积分

在方程 $\Delta u - \lambda u + u^\alpha = 0$ 两侧同时乘以 $u^\alpha \Delta u$, 那么

$$I_1 + I_2 + I_3 = 0,$$

其中 $I_1 := \int u^\alpha (\Delta u)^2, I_2 := \int -\lambda u^{\alpha+1} \Delta u, I_3 := \int u^{\alpha+p} \Delta u.$

$$\begin{aligned} I_1 &= \int [(u^\alpha \Delta u u_j)_j - (u^\alpha \Delta u)_{,j} u^j] \\ &= \int [-\alpha u^{\alpha-1} |\nabla u|^2 \Delta u - u^\alpha u_{ij}^i u^j + u^\alpha R_{ij} u^i u^j] \\ &= \int \left[u^\alpha \sum_{i,j=1}^n |u_{ij}|^2 + \alpha u^{\alpha-1} u_{ij} u^i u^j - \alpha u^{\alpha-1} |\nabla u|^2 \Delta u + u^\alpha R_{ij} u^i u^j \right]. \end{aligned}$$



旧方法：直接分部积分

为了配方过程计算到最佳，引进 $E_{ij} = u_{ij} - \frac{\Delta u}{n} g_{ij}$, 则

$$\sum_{i,j=1}^n |E_{ij}|^2 = \sum_{i,j=1}^n |u_{ij}|^2 - \frac{1}{n} (\Delta u)^2.$$

将 u_{ij} 换做 E_{ij} , 继续计算 I_1 :

$$\begin{aligned} I_1 = & \int \left[u^\alpha \sum_{i,j=1}^n |E_{ij}|^2 + \alpha u^{\alpha-1} E_{ij} u^i u^j \right. \\ & \left. - \frac{n-1}{n} \alpha u^{\alpha-1} |\nabla u|^2 \Delta u + u^\alpha R_{ij} u^i u^j \right] + \frac{1}{n} I_1, \end{aligned}$$

于是可以解出

$$\begin{aligned} I_1 = & \frac{n}{n-1} \int \left[u^\alpha \sum_{i,j=1}^n |E_{ij}|^2 + \alpha u^{\alpha-1} E_{ij} u^i u^j \right. \\ & \left. - \frac{n-1}{n} \alpha u^{\alpha-1} |\nabla u|^2 \Delta u + u^\alpha R_{ij} u^i u^j \right]. \end{aligned}$$



类似地，利用散度定理直接做分部积分，并代入方程，得

$$\begin{aligned} I_2 + I_3 &= \lambda(\alpha + 1) \int u^\alpha |\nabla u|^2 - (\alpha + p) \int u^{\alpha+p-1} |\nabla u|^2 \\ &= \lambda(1-p) \int u^\alpha |\nabla u|^2 + (\alpha + p) \int u^{\alpha-1} |\nabla u|^2 \Delta u. \end{aligned}$$

考虑 $\frac{n-1}{n}(I_1 + I_2 + I_3) = 0$, 于是

$$\begin{aligned} 0 &= \int \left[u^\alpha \sum_{i,j=1}^n |E_{ij}|^2 + \alpha u^{\alpha-1} E_{ij} u^i u^j + \frac{n-1}{n} \lambda(1-p) u^\alpha |\nabla u|^2 \right. \\ &\quad \left. + \frac{n-1}{n} p u^{\alpha-1} |\nabla u|^2 \Delta u + u^\alpha R_{ij} u^i u^j \right]. \end{aligned}$$



对 $\int u^{\alpha-1} |\nabla u|^2 \Delta u$ 项做分部积分：

$$\begin{aligned} & \int u^{\alpha-1} |\nabla u|^2 \Delta u \\ = & - \int [(\alpha-1)u^{\alpha-2}|\nabla u|^4 + 2u^{\alpha-1}u_{ij}u^i u^j] \\ = & - \int \left[2u^{\alpha-1}E_{ij}u^i u^j + \frac{2}{n}u^{\alpha-1}|\nabla u|^2 \Delta u + (\alpha-1)u^{\alpha-2}|\nabla u|^4 \right], \end{aligned}$$

于是可以解出

$$\int u^{\alpha-1} |\nabla u|^2 \Delta u = -\frac{n}{n+2} \int [2u^{\alpha-1}E_{ij}u^i u^j + (\alpha-1)u^{\alpha-2}|\nabla u|^4].$$



旧方法：直接分部积分

代入前面的积分恒等式，得

$$0 = \int \left[u^\alpha \sum_{i,j=1}^n |E_{ij}|^2 + \left(\alpha - \frac{2(n-1)}{n+2} p \right) u^{\alpha-1} E_{ij} u^i u^j \right. \\ \left. - \frac{n-1}{n+2} p (\alpha-1) u^{\alpha-2} |\nabla u|^4 + u^\alpha R_{ij} u^i u^j - \frac{n-1}{n} \lambda (p-1) u^\alpha |\nabla u|^2 \right].$$

为了配方达到最佳，令 $L_{ij} = \frac{u_i u_j}{u} - \frac{1}{n} \frac{|\nabla u|^2}{u} g_{ij}$ ，则

$$\sum_{i,j=1}^n |L_{ij}|^2 = \frac{n-1}{n} \frac{|\nabla u|^4}{u^2}.$$

注意到 $E_i^i = 0$ ，因此 $u^{\alpha-1} E_{ij} u^i u^j = u^\alpha E_{ij} L^{ij}$. 将上式右端的前三项配方：



$$\begin{aligned} & u^\alpha \sum_{i,j=1}^n |E_{ij}|^2 + \left(\alpha - \frac{2(n-1)}{n+2} p \right) u^{\alpha-1} E_{ij} u^i u^j \\ & - \frac{n-1}{n+2} p (\alpha-1) u^{\alpha-2} |\nabla u|^4 \\ & = u^\alpha \sum_{i,j=1}^n \left| E_{ij} + \left(\frac{\alpha}{2} - \frac{n-1}{n+2} p \right) L_{ij} \right|^2 \\ & - \left[\frac{n-1}{n} \left(\frac{\alpha}{2} - \frac{n-1}{n+2} p \right)^2 + \frac{n-1}{n+2} p (\alpha-1) \right] u^{\alpha-2} |\nabla u|^4, \end{aligned}$$



其中 $u^{\alpha-2}|\nabla u|^4$ 项系数为关于 α 开口向下的二次函数

$$-\frac{n-1}{n} \left[\frac{\alpha^2}{4} + \frac{p\alpha}{n+2} + \frac{(n-1)^2}{(n+2)^2} p^2 - \frac{np}{n+2} \right],$$

它在对称轴 $\alpha = -\frac{2p}{n+2}$ 处达到最大值 $\frac{n-1}{n+2}p \left(1 - \frac{n-2}{n+2}p\right)$.

根据上述讨论，取定 $\alpha = -\frac{2p}{n+2}$ ，那么

$$0 = \int \left[u^{-\frac{2p}{n+2}} \sum_{i,j=1}^n \left| E_{ij} - \frac{np}{n+2} L_{ij} \right|^2 + \frac{n-1}{n+2} p \left(1 - \frac{n-2}{n+2} p\right) \times u^{-\frac{2p}{n+2}-2} |\nabla u|^4 + u^{-\frac{2p}{n+2}} R_{ij} u^i u^j - \frac{n-1}{n} \lambda(p-1) u^{-\frac{2p}{n+2}} |\nabla u|^2 \right].$$



取 $E_{ij} = u_{ij} + c \frac{u_i u_j}{u} - \frac{1}{n} \left(\Delta u + c \frac{|\nabla u|^2}{u} \right) g_{ij}$, 则

$$\begin{aligned} E_{ij, \quad i} &= \frac{n-2}{n} c \frac{E_{ij} u^i}{u} + \frac{n-1}{n} \left(p + \frac{n+2}{n} c \right) \frac{\Delta u}{u} u_j \\ &\quad - \frac{n-1}{n} c \left(1 + \frac{n-2}{n} c \right) \frac{|\nabla u|^2}{u^2} u_j + R_{ij} u^i - \frac{n-1}{n} (p-1) \lambda u_j. \end{aligned}$$

根据不变张量技术, 取 $c = -\frac{np}{n+2}$, 有:

$$E_{ij, \quad i} = -\frac{n-2}{n+2} p \frac{E_{ij} u^i}{u} + \frac{n-1}{n+2} p \left(1 - \frac{n-2}{n+2} p \right) \frac{|\nabla u|^2}{u^2} u_j.$$



$$\begin{aligned} (E_{ij}u^j)_{,i} &= \sum_{i,j} |E_{ij}|^2 + \frac{2p}{n+2} \frac{E_{ij}u^i u^j}{u} + \frac{n-1}{n+2} p \left(1 - \frac{n-2}{n+2} p\right) \frac{|\nabla u|^4}{u^2} \\ &\quad + R_{ij}u^i u^j - \frac{n-1}{n}(p-1)\lambda |\nabla u|^2. \end{aligned}$$

引进 u 的幂次，将 $\frac{E_{ij}u^i u^j}{u}$ 消掉：

$$\begin{aligned} u^{\frac{2p}{n+2}} (u^{-\frac{2p}{n+2}} E_{ij}u^j)_{,i} &= \sum_{i,j} |E_{ij}|^2 + \frac{n-1}{n+2} p \left(1 - \frac{n-2}{n+2} p\right) \frac{|\nabla u|^4}{u^2} \\ &\quad + R_{ij}u^i u^j - \frac{n-1}{n}(p-1)\lambda |\nabla u|^2. \end{aligned}$$

一般的半线性方程



中国科学技术大学

University of Science and Technology of China

$\Delta u + f(u) = 0$, 其中 $f = -f_1 + f_2$, $f_1 \in C^1(\mathbb{R}_+)$,
 $f_2 \in C^2(\mathbb{R}_+; \mathbb{R}_+)$. 取

$$E_{ij} = u_{ij} + c \frac{f'_2(u)}{f_2(u)} u_i u_j - \frac{1}{n} \left(\Delta u + c \frac{f'_2(u)}{f_2(u)} |\nabla u|^2 \right) g_{ij},$$

$$\begin{aligned} E_{ij,i} &= \frac{n-2}{n} c \frac{f'_2(u)}{f_2(u)} E_{ij} u^i + \frac{n-1}{n} \left(1 + \frac{n+2}{n} c \right) \frac{f'_2(u)}{f_2(u)} \Delta u u_j \\ &\quad + \frac{n-1}{n} c \left[\frac{f''_2(u)}{f_2(u)} - \left(\frac{n-2}{n} c + 1 \right) \left(\frac{f'_2(u)}{f_2(u)} \right)^2 \right] |\nabla u|^2 u_j \\ &\quad + R_{ij} u^i + \frac{n-1}{n} \left(f'_1(u) - \frac{f'_2(u)}{f_2(u)} f_1(u) \right) u_j. \end{aligned}$$



取 $c = -\frac{n}{n+2}$, 那么

$$\begin{aligned} & f_2(u)^{\frac{2}{n+2}} [f_2(u)^{-\frac{2}{n+2}} E_{ij} u^j],^i \\ &= \sum_{i,j=1}^n |E_{ij}|^2 + \frac{n-1}{n+2} \left[\frac{4}{n+2} \left(\frac{f'_2(u)}{f_2(u)} \right)^2 - \frac{f''_2(u)}{f_2(u)} \right] |\nabla u|^4 \\ & \quad + R_{ij} u^i u^j + \frac{n-1}{n} \left(f'_1(u) - \frac{f'_2(u)}{f_2(u)} f_1(u) \right) |\nabla u|^2. \end{aligned}$$

【注】相应于此恒等式的解的分类结果，见
麻希南-吴天 [中国科学：数学, 2024].



设 (M^{2n+1}, θ) 是无挠, Ricci 曲率具有正下界, 严格拟凸, 可定向的超曲面型闭 CR 流形:

- CR 结构: $\mathbb{C}TM$ 的一个复子丛 $T^{(1,0)}M$ 满足 $T^{(1,0)} \cap T^{(0,1)} = 0$, 其中 $T^{(0,1)} := \overline{T^{(1,0)}}$.
- 超曲面型: $\dim_{\mathbb{R}} M = 2n + 1$ 且 $\dim_{\mathbb{C}} T^{(1,0)}M = n$.
- 严格拟凸: 存在一个切触形式 θ , 使得其 Levi 形式 $\langle \cdot, \cdot \rangle_\theta$ 是正定的. 切触形式是零化 $T^{(1,0)}M \oplus T^{(0,1)}M$ 的实的 1-形式, $\langle V, W \rangle_\theta := -2\sqrt{-1}d\theta(V \wedge \bar{W})$, 记 $h_{\bar{i}\bar{j}} = \langle Z_i, Z_j \rangle_\theta$.
- 可定向、闭的概念同实流形相同.
- (Webster) 挠率张量: A_{ij} , 曲率张量: $R_{i\bar{j}k\bar{l}}$, Ricci 曲率张量: $R_{\bar{i}\bar{j}} := R_{i\bar{k}j\bar{k}}^k$, 不妨 $R_{\bar{i}\bar{j}} \geq (n+1)h_{\bar{i}\bar{j}}$.



- 记 $T^{(1,0)}M = \text{span}\{Z_i\}_{i=1}^n$, T 是 Reeb 向量场, 即 $\theta(T) = 1$, $d\theta(T, X) = 0$, $\forall X \in TM$. 那么

$$TM = T^{(1,0)}M \oplus T^{(0,1)}M \oplus \text{span}\{T\}.$$

$\{Z_i\}_{i=1}^n$, $\{Z_{\bar{i}}\}_{i=1}^n$, T 是左不变向量场.

- 记 $f_{,i} = Z_i f$, $f_{,\bar{i}} = Z_{\bar{i}} f$, $f_{,0} = Tf$. 协变导数交换公式:

$$f_{,ij} = f_{ji}, f_{,i\bar{j}} - f_{,\bar{j}i} = 2\sqrt{-1}h_{i\bar{j}}f_{,0},$$

$$f_{,0i} - f_{,i0} = A_{ij}f_{,j}^i, f_{,ijk} - f_{,ikj} = 2\sqrt{-1}h_{jk}f_{,i0} + R_{i\bar{j}k}^l f_{,l}.$$

- 记 $|\nabla_b f|^2 = f_{,i} f^{,i}$, $\Delta_b f = \frac{1}{2}(f_{,i}^i + f_{,i}^i)$, 那么

$$f_{,i}^i = \Delta_b f + n\sqrt{-1}f_{,0}.$$



Heisenberg 群 \mathbb{H}^n 相当于在 $\mathbb{C}^n \times \mathbb{R}$ 上配备群乘法：

$$(z, t) \circ (z', t') = (z + z', t + t' + 2 \operatorname{Im} z \cdot \bar{z}').$$

- $Z_i := \frac{\partial}{\partial z_i} + \sqrt{-1}\bar{z}_i \frac{\partial}{\partial t}, Z_{\bar{i}} := \frac{\partial}{\partial \bar{z}_i} - \sqrt{-1}z_i \frac{\partial}{\partial t}, T := \frac{\partial}{\partial t}$.
- 是一个平坦 ($R_{i\bar{j}k\bar{l}} = 0$), 无挠, 严格拟凸, 可定向的超曲面型非紧 CR 流形.
- Cauchy-Riemann 流形的齐性维数 $Q = 2n + 2$.



设 (M^{2n+1}, θ) 是无挠，严格拟凸，可定向的超曲面型闭 CR 流形， $Ric_{\bar{i}\bar{j}} \geq (n+1)h_{\bar{i}\bar{j}}$ ，

$$\Delta_b u - \lambda u + u^\alpha = 0, \quad u > 0.$$

其中 $\alpha = \frac{n+2}{n} = \frac{Q+2}{Q-2}$ 为临界指标， $Q = 2n+2$ 为齐性维数。

- 王晓东 [Math. Res. Lett., 2015]

$\alpha = \frac{n+2}{n}$ 且 $0 < \lambda \leq \frac{n^2}{4}$ 时，要么只有常数解，要么只能在 $\lambda = \frac{n^2}{4}$ ，且 (M^{2n+1}, θ) 等距同构与 $(\mathbb{S}^{2n+1}, \theta_c)$ 时有非平凡解

$$u(z) = c_{n,s} |\cosh s + (\sinh s)\langle z, \xi \rangle|^{-n}, \quad s \geq 0, \quad \xi \in \mathbb{S}^{2n+1}.$$

- 王晓东 [Math. Z., 2022] 给出新证明。



Theorem (麻希南-欧乾忠-吴天, 博士学位论文, 2024)

设 (M^{2n+1}, θ) 是无挠, 严格拟凸, 可定向的超曲面型闭 CR 流形, $Ric_{\bar{i}\bar{j}} \geq (n+1)h_{\bar{i}\bar{j}}$,

$$\Delta u - \lambda u + u^\alpha = 0, \quad u > 0,$$

那么当 $1 < \alpha < \frac{n+2}{n}$ 且 $0 < \lambda \leq \frac{n}{2(\alpha-1)}$ 时, $u \equiv \lambda^{\frac{1}{\alpha-1}}$.

- 这是王晓东 [Math. Z., 2022] Conjecture 1, 我们完整地解决了它.

$$\left. \frac{n}{2(\alpha-1)} \right|_{\alpha=\frac{n+2}{n}} = \frac{n^2}{4}.$$



Corollary (麻希南-欧乾忠-吴天, 博士学位论文, 2024)

设 (M^{2n+1}, θ) 是无挠, 严格拟凸, 可定向的超曲面型闭 CR 流形, $Ric_{\bar{i}\bar{j}} \geq (n+1)h_{\bar{i}\bar{j}}$, 那么当 $2 < q < \frac{2Q}{Q-2} = \frac{2n+2}{n}$ 时,

$$\frac{4(q-2)}{Q-2} \int_M |\nabla_b u|^2 + \int_M |u|^2 \geq \text{vol}(M)^{1-\frac{2}{q}} \left(\int_M |u|^q \right)^{\frac{2}{q}}.$$

不等式取等当且仅当 u 为常数.

- Rupert L. Frank, Elliott H. Lieb [Ann. Math, 2012] CR 球面.
- q 相当于 $\alpha + 1$, 齐性维数 $Q = 2n + 2$.



$$L_{\bar{i}\bar{j}} = \frac{u_i u_{\bar{j}}}{u} - \frac{|\nabla_b u|^2}{u} h_{\bar{i}\bar{j}}, \quad \mathcal{R} = R_{\bar{i}\bar{j}} u^i u^{\bar{j}} - \frac{2(n+1)}{n} (\alpha - 1) \lambda |\nabla_b u|^2 \geqslant 0.$$

不变张量:

$$D_{ij} = u_{ij} - \alpha \frac{u_i u_j}{u},$$

$$E_{\bar{i}\bar{j}} = u_{\bar{i}\bar{j}} - \frac{n\alpha}{n+2} \frac{u_i u_{\bar{j}}}{u} - \frac{1}{n} \left(\Delta_b u + n\sqrt{-1}u_0 - \frac{n\alpha}{n+2} \frac{|\nabla_b u|^2}{u} \right) h_{\bar{i}\bar{j}},$$

$$\begin{aligned} G_i &= n\sqrt{-1}u_{0i} - \frac{n(n+1)}{n+2} \alpha \frac{\sqrt{-1}u_0 u_i}{u} - \frac{\alpha}{n+2} \frac{\Delta_b u}{u} u_i \\ &\quad + \frac{n\alpha}{n+2} \left(\frac{n+1}{n+2} \alpha - 1 \right) \frac{|\nabla_b u|^2}{u^2} u_i + (\alpha - 1) \lambda u_i. \end{aligned}$$



$$\begin{aligned}
 & \operatorname{Re}[(n-1)D_{ij}u^j + (n+2)E_{\bar{i}\bar{j}}\bar{u}^{\bar{j}}], \\
 &= (n+2) \sum_{i,j} \left| E_{\bar{i}\bar{j}} + \frac{\alpha}{n+2} L_{\bar{i}\bar{j}} \right|^2 \\
 & \quad + (n-1) \left[\sum_{i,j} |D_{ij}|^2 + \alpha \left(2 - \frac{2n^2+1}{n(n+2)} \alpha \right) \frac{|\nabla_b u|^4}{u^2} + \mathcal{R} \right].
 \end{aligned}$$

【注】 $n = 1$ 时，此恒等式退化，失去作用.



$$\begin{aligned}
 & u^{-\beta} \operatorname{Re} \left\{ u^\beta \left[\left(d_1 \frac{|\nabla_b u|^2}{u} + d_2 u^\alpha + d_3 \lambda u \right) (D_i + E_i) \right. \right. \\
 & \quad \left. \left. + n\sqrt{-1}u_0(d_4 D_i + e_4 E_i - 3G_i) \right] \right\}, \\
 & = d_1 u^{-2} \sum_{i,j,k} |D_{ij}u_{\bar{k}} + E_{i\bar{k}}u_j|^2 + d_2 u^{\alpha-1} \sum_{i,j} \left[|D_{ij}|^2 + |E_{i\bar{j}}|^2 \right. \\
 & \quad \left. + 2\alpha \left(1 - \frac{n\alpha}{n+2} \right) \frac{|\nabla_b u|^4}{u^2} \right] + d_3 \lambda \sum_{i,j} \left[\left| D_{ij} + \frac{\Delta_3}{2d_3} \frac{u_i u_j}{u} \right|^2 \right. \\
 & \quad \left. + \left| E_{i\bar{j}} + \frac{\Delta_3}{2d_3} L_{i\bar{j}} \right|^2 + \left(2\alpha \left(1 - \frac{n\alpha}{n+2} \right) - \frac{2n-1}{n} \frac{\Delta_3^2}{4d_3^2} \right) \frac{|\nabla_b u|^4}{u^2} \right] \\
 & \quad + \left[d_1 \frac{|\nabla_b u|^2}{u^2} + d_2 u^{\alpha-1} + d_3 \lambda \right] \mathcal{R} + \mathbf{Q}_1,
 \end{aligned}$$



$$d_1 = e_1 = \frac{n^2\alpha[3n+6-(n-1)\alpha]}{(2n+1)(n+2)^2}, \quad d_2 = e_2 = \frac{n\alpha}{n+2},$$

$$d_3 = e_3 = n\left(\frac{n+1}{n+2}\alpha - 1\right), \quad d_4 = \frac{n}{2n+1}\left(3 - \frac{7n+2}{n+2}\alpha\right),$$

$$e_4 = \frac{n(3+\alpha)}{2n+1}, \quad \mu = 3, \quad \beta = 1 - \alpha.$$

$$\Delta_1 = \frac{2n^2\alpha[(4n+5)\alpha - 3n - 6]}{(2n+1)(n+2)^2}\left(1 - \frac{n\alpha}{n+2}\right),$$

$$\Theta_1 = -\frac{6n^2\alpha(\alpha+n+2)}{(2n+1)(n+2)^2}\left(1 - \frac{n\alpha}{n+2}\right),$$

$$\Xi_1 = \frac{6n\alpha}{2n+1}\left(1 - \frac{n\alpha}{n+2}\right), \quad \Delta_3 = \Theta_3 = \frac{2n(\alpha-1)(2+n-n\alpha)}{2n+1}.$$



$$\begin{aligned} \mathbf{Q}_1 = & d_1 \sum_{i=1}^n |D_i|^2 + d_1 \sum_{i=1}^n |E_i|^2 + 3 \sum_{i=1}^n |G_i|^2 - d_4 \operatorname{Re} D_i G^i \\ & - e_4 \operatorname{Re} E_i G^i + \Delta_1 \frac{|\nabla_b u|^2}{u^2} \operatorname{Re} D_i u^i + \Theta_1 \frac{|\nabla_b u|^2}{u^2} E_i u^i \\ & + \Xi_1 \frac{|\nabla_b u|^2}{u^2} \operatorname{Re} G_i u^i + 2d_1 \alpha \left(1 - \frac{n\alpha}{n+2}\right) \frac{|\nabla_b u|^6}{u^4}, \end{aligned}$$

$$Q_1 = \begin{pmatrix} d_1 & 0 & -\frac{d_4}{2} & \frac{\Delta_1}{2} \\ 0 & d_1 & -\frac{e_4}{2} & \frac{\Theta_1}{2} \\ -\frac{d_4}{2} & -\frac{e_4}{2} & 3 & \frac{\Xi_1}{2} \\ \frac{\Delta_1}{2} & \frac{\Theta_1}{2} & \frac{\Xi_1}{2} & 2d_1 \alpha \left(1 - \frac{n\alpha}{n+2}\right) \end{pmatrix} > 0.$$



微分恒等式变为 Jerison-Lee 恒等式：

$$\begin{aligned}
 & u^{\frac{2}{n}} \operatorname{Re} \left\{ u^{-\frac{2}{n}} \left[\left(\frac{|\nabla_b u|^2}{u} + u^{\frac{n+2}{n}} + \lambda u \right) (D_i + E_i) \right. \right. \\
 & \quad \left. \left. - n\sqrt{-1}u_0(2D_i - 2E_i + 3G_i) \right] \right\}^i, \\
 &= u^{-2} \sum_{i,j,k} |D_{ij}u_{\bar{k}} + E_{i\bar{k}}u_j|^2 + \frac{|\nabla_b u|^2}{u^2} \mathcal{R} \\
 & \quad + (u^{\frac{2}{n}} + \lambda) \sum_{i,j} (|D_{ij}|^2 + |E_{i\bar{j}}|^2 + \mathcal{R}) \\
 & \quad + \sum_i (|G_i + D_i|^2 + |G_i - E_i|^2 + |G_i|^2).
 \end{aligned}$$

【注】王晓东 [Math. Res. Lett., 2015] 用了这个恒等式.



$$\begin{aligned}
& u^{\alpha-1} \operatorname{Re} \left\{ u^{1-\alpha} \left[\left(\frac{\alpha}{36} (5\alpha - 3) \frac{|\nabla_b u|^2}{u} + \frac{\alpha-1}{2} (u^\alpha + \lambda u) \right) D_1 \right. \right. \\
& + \sqrt{-1} u_0 \left((2 - \frac{4}{3}\alpha) D_1 - 3G_1 \right) \\
& + \frac{3-\alpha}{2} \left(\frac{\alpha}{3} \left(\frac{1}{2} - \frac{\alpha}{3} \right) \frac{|\nabla_b u|^2}{u^2} - \frac{\alpha}{6} u^{\alpha-1} + \left(\frac{1}{2} - \frac{\alpha}{3} \right) \lambda \right) \frac{|\nabla_b u|^2}{u} u_1 \\
& \left. \left. + \frac{3-\alpha}{2} \left(\frac{\alpha}{6} \frac{|\nabla_b u|^2}{u^2} - u^{\alpha-1} + \lambda - \frac{\sqrt{-1} u_0}{u} \right) \sqrt{-1} u_0 u_1 \right] \right\}^1, \\
& = \frac{\alpha-1}{2} \lambda \left[\left| D_{11} + \frac{7}{12} (3-\alpha) \frac{u_1 u_1}{u} \right|^2 + \frac{1}{144} (3-\alpha) (145\alpha - 147) \right. \\
& \times \frac{|\nabla_b u|^4}{u^2} + \mathcal{R} \left. \right] + \frac{\alpha-1}{2} u^{\alpha-1} \left[|D_{11}|^2 + 2\alpha \left(1 - \frac{\alpha}{3} \right) \frac{|\nabla_b u|^4}{u^2} + \mathcal{R} \right] \\
& + \frac{1}{12} (\alpha-1)(\alpha+3) \frac{|\nabla_b u|^2}{u^2} \mathcal{R} + \mathbf{Q}_2,
\end{aligned}$$



$$\begin{aligned} \mathbf{Q}_2 = & \frac{\alpha}{18}(5\alpha - 3)|D_1|^2 + 3|G_1|^2 + \left(\frac{4}{3}\alpha - 2\right) \operatorname{Re} D_1 G^1 \\ & + (3 - \alpha) \frac{|\nabla_b u|^2}{u^2} \left[\frac{\alpha}{108}(5\alpha - 3) \operatorname{Re} D_1 u^1 + \frac{\alpha}{6} \operatorname{Re} G_1 u^1 \right. \\ & \left. + \frac{\alpha}{18}(\alpha - 1)(\alpha + 3) \frac{|\nabla_b u|^4}{u^2} \right], \end{aligned}$$

$$Q_2 = \begin{pmatrix} \frac{\alpha}{18}(5\alpha - 3) & \frac{2}{3}\alpha - 1 & \frac{\alpha}{216}(3 - \alpha)(5\alpha - 3) \\ \frac{2}{3}\alpha - 1 & 3 & \frac{\alpha}{12}(3 - \alpha) \\ \frac{\alpha}{216}(3 - \alpha)(5\alpha - 3) & \frac{\alpha}{12}(3 - \alpha) & \frac{\alpha}{18}(3 - \alpha)(\alpha - 1)(\alpha + 3) \end{pmatrix}.$$

【注】当 $\alpha = 3$, 依旧会回到 Jerison-Lee 恒等式在 $n = 1$ 的情况.



$$\begin{aligned}
& u^{-\frac{1}{2}} \operatorname{Re} \left\{ u^{\frac{1}{2}} \left[\left(\frac{1}{18} \frac{|\nabla_b u|^2}{u} + \frac{\alpha - 1}{2} (u^\alpha + \lambda u) \right) D_1 \right. \right. \\
& + \sqrt{-1} u_0 \left(\frac{2}{3} D_1 - 3 G_1 \right) + \frac{3 - \alpha}{2} \left[\left(-\frac{\alpha}{6} u^{\alpha-1} + \left(\frac{1}{2} - \frac{\alpha}{3} \right) \lambda \right) \frac{|\nabla_b u|^2}{u} \right. \\
& + \left. \left. \left(-u^{\alpha-1} + \lambda - \frac{\sqrt{-1} u_0}{u} \right) \sqrt{-1} u_0 \right] u_1 \right. \\
& + \left. \left((2\alpha - 3) \left(\frac{1}{36} \alpha^2 - \frac{1}{12} \alpha + \frac{1}{40} \right) \frac{|\nabla_b u|^2}{u} \right. \right. \\
& \left. \left. - \left(\frac{1}{3} \alpha^2 - \frac{9}{8} \alpha + \frac{3}{5} \right) \sqrt{-1} u_0 \right) \frac{|\nabla_b u|^2}{u^2} u_1 \right] \right\}^1,
\end{aligned}$$



$$\begin{aligned}
&= \frac{\alpha - 1}{2} u^{\alpha-1} \left[\left| D_{11} + \frac{1}{36} (39 - 7\alpha) \frac{u_1 u_1}{u} \right|^2 \right. \\
&\quad \left. - \frac{11925\alpha^2 - 15690\alpha + 3161}{6480} \frac{|\nabla_b u|^4}{u^2} \right] \\
&\quad + \frac{\alpha - 1}{2} \lambda \left[\left| D_{11} + \frac{1}{9} (6\alpha + 1) \frac{u_1 u_1}{u} \right|^2 - \frac{90\alpha^2 - 150\alpha + 1}{81} \frac{|\nabla_b u|^4}{u^2} \right] \\
&\quad + \left[\frac{1}{18} \frac{|\nabla_b u|^2}{u^2} + \frac{\alpha - 1}{2} (u^{\alpha-1} + \lambda) \right] \mathcal{R} + \mathbf{Q}_3,
\end{aligned}$$



$$\begin{aligned} \mathbf{Q}_3 = & \frac{1}{9}|D_1|^2 + 3|G_1|^2 - \frac{2}{3}\operatorname{Re} D_1 G^1 + \frac{9}{20} \frac{|\nabla_b u|^2}{u^2} u_0^2 \\ & + \Delta'_4 \operatorname{Re} \frac{\sqrt{-1}u_0}{u} D_1 u^1 + \Xi'_4 \operatorname{Re} \frac{\sqrt{-1}u_0}{u} G_1 u^1 \\ & + \Delta'_1 \frac{|\nabla_b u|^2}{u^2} \operatorname{Re} D_1 u^1 + \Xi'_1 \frac{|\nabla_b u|^2}{u^2} \operatorname{Re} G_1 u^1 + A \frac{|\nabla_b u|^6}{u^4}, \end{aligned}$$

$$Q_3 = \begin{pmatrix} \frac{1}{9} & -\frac{1}{3} & \frac{\Delta'_4}{2} & \frac{\Delta'_1}{2} \\ -\frac{1}{3} & 3 & \frac{\Xi'_4}{2} & \frac{\Xi'_1}{2} \\ \frac{\Delta'_4}{2} & \frac{\Xi'_4}{2} & \frac{9}{20} & 0 \\ \frac{\Delta'_1}{2} & \frac{\Xi'_1}{2} & 0 & A \end{pmatrix} > 0,$$



$$\Delta'_1 = \frac{1}{270}(30\alpha^3 - 95\alpha^2 + 132\alpha - 63),$$

$$\Delta'_4 = \frac{1}{360}(360\alpha^2 - 365\alpha + 116),$$

$$\Xi'_1 = -\frac{1}{120}(40\alpha^2 + 15\alpha - 92), \quad \Xi'_4 = \alpha - \frac{5}{2},$$

$$A = \frac{1}{2160}(80\alpha^4 - 600\alpha^3 + 1468\alpha^2 - 1272\alpha + 405).$$



$$\Delta u + u^\alpha = 0, \quad u > 0, \quad \mathbb{H}^n.$$

若 $1 < \alpha < \frac{n+2}{n}$, 无解; 若 $\alpha = \frac{n+2}{n}$ 且 $u \in L^{2+\frac{2}{n}}(\mathbb{H}^n)$, 则存在 $\lambda \in \mathbb{C}$, $\mu \in \mathbb{C}^n$, $\operatorname{Im} \lambda > \frac{|\mu|^2}{4}$, 使得

$$u(z, t) = c_{n, \lambda, \mu} \left| t + \sqrt{-1}|z|^2 + z \cdot \mu + \lambda \right|^{-n},$$

方程的由来: CR-Yamabe 问题, Folland-Stein 不等式的最佳常数.

- D. Jerison, John. M. Lee [J. Amer. Math. Soc., 1988] :

$$\alpha = \frac{n+2}{n} \text{ 且 } u \in L^{2+\frac{2}{n}}(\mathbb{H}^n).$$

在这篇论文中，他们使用计算机得到了三个微分恒等式，并提出了如下问题：

- ① 为何会存在三个线性无关的微分恒等式？
- ② 是否存在一套理论框架或系统性的算法，能够找出有用的微分恒等式？

麻希南，欧乾忠，吴天 [Acta Math. Sinica, 2024] 使用不变张量技术回答了 Jerison-Lee 的这个公开问题.

- 麻希南，欧乾忠 [Adv. Math., 2023] : $1 < \alpha < \frac{n+2}{n}$.

麻希南，吴天 [中国科学：数学, 2024] 使用不变张量技术给出了新证明，本质是 CR 流形的情形 2.



$$\begin{aligned}
 & u^{\frac{2}{n}} \operatorname{Re} \left\{ u^{-\frac{2}{n}} \left[\left(\frac{|\nabla_b u|^2}{u} + u^{\frac{n+2}{n}} \right) (D_i + E_i) \right. \right. \\
 & \quad \left. \left. - n\sqrt{-1}u_0(2D_i - 2E_i + 3G_i) \right] \right\}_{,\bar{i}} \\
 &= u^{\frac{2}{n}} \sum_{i,j} (|D_{ij}|^2 + |E_{i\bar{j}}|^2) + \sum_i (|G_i|^2 + |G_i + D_i|^2 + |G_i - E_i|^2) \\
 & \quad + u^{-2} \sum_{i,j,k} |D_{ij}u_{\bar{k}} + E_{i\bar{k}}u_j|^2.
 \end{aligned}$$

这是用来做正解分类定理的恒等式.

【注】原论文的计算做了变换 $u = e^{nf}$, 这里用没做变换的语言写出.



$$\begin{aligned}
 & u^{\frac{2}{n}} \operatorname{Re} \left\{ u^{-\frac{2}{n}} \left\{ \left(n u^{\frac{n+2}{n}} - 2n^2 \sqrt{-1} u_0 \right) D_i + \left((n+2) \frac{|\nabla_b u|^2}{u} + u^{\frac{n+2}{n}} \right. \right. \right. \\
 & \quad \left. \left. \left. + 2n\sqrt{-1} u_0 \right) E_i - (n+2)n\sqrt{-1} u_0 G_i + n \left[D_j u_{\bar{j}} - E_j u_{\bar{j}} \right. \right. \\
 & \quad \left. \left. + \frac{n-1}{n^2} \left(\frac{|\nabla_b u|^4}{u^2} + u^{\frac{2}{n}} |\nabla_b u|^2 - n \frac{|\nabla_b u|^2 \cdot n\sqrt{-1} u_0}{u} \right. \right. \right. \\
 & \quad \left. \left. \left. + (n+1)u^{\frac{n+2}{n}} \cdot n\sqrt{-1} u_0 - (n+1)n^2 u_0^2 \right) \right] \frac{u_i}{u} \right\} \right\}_{,\bar{i}} \\
 &= (n+2) \frac{|\nabla_b u|^2}{u^2} \sum_{i,j} |E_{\bar{j}}|^2 + \sum_i |E_i|^2 + (n-2) \sum_i |D_i|^2 \\
 & \quad + (n+1) \sum_i |G_i + D_i|^2 + \sum_i |G_i - D_i - E_i|^2 \\
 & \quad + u^{\frac{2}{n}} \sum_{i,j} (|E_{\bar{j}}|^2 + n|D_{ij}|^2).
 \end{aligned}$$



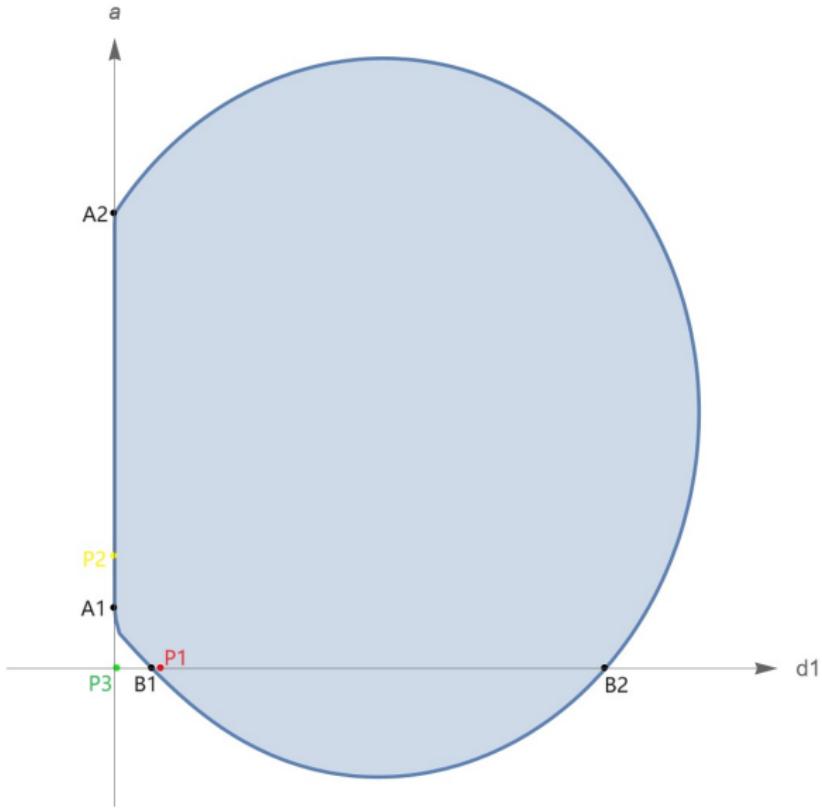
$$\begin{aligned}
 & u^{\frac{2}{n}} \operatorname{Re} \left\{ u^{-\frac{2}{n}} \left\{ \left(\frac{|\nabla_b u|^2}{u} + u^{\frac{n+2}{n}} \right) (D_i - 2E_i) \right. \right. \\
 & \quad \left. \left. - n\sqrt{-1}u_0[(3n-1)D_i - (3n+2)E_i + 3nG_i] \right\} \right\}_{,\bar{i}} \\
 &= \left[\frac{|\nabla_b u|^2}{u^2} + u^{\frac{2}{n}} \right] \sum_{i,j} (|D_{ij}|^2 - 2|E_{i\bar{j}}|^2) + \sum_i (|D_i|^2 - 2|E_i|^2 + 3n|G_i|^2) \\
 & \quad - \operatorname{Re} D_i E_{\bar{i}} + (3n-1) \operatorname{Re} D_i G_{\bar{i}} - (3n+2) \operatorname{Re} E_i G_{\bar{i}}.
 \end{aligned}$$

这个恒等式虽然不是正定的,但是可以用它对前两个恒等式做小扰动,在不破坏正定性的情况下得到新的恒等式.

正定性范围



中国科学技术大学
University of Science and Technology of China





① 若干类带半线性项方程解的分类问题

② 不变张量技术在半线性方程中的应用

③ 带梯度项方程解的分类问题



设 $1 < m < n$, $q > 0$, u 为 \mathbb{R}^n 上的方程

$$\Delta_m u + u^p |\nabla u|^q = 0$$

的正解, 希望得到在一定条件下 u 恒为常数. 定义

$$A_0(p, q) = p + q - m + 1,$$

$$A_1(p, q) = (n - m)p + (n - 1)q - (m - 1)n,$$

$$A_2(p, q) = (n - m)p + (n - 1)q - (m - 1)\left(n + \frac{m - q}{m - 1 - q}\right).$$

其中 $A_2(p, q)$ 只在 $0 < q < m - 1$ 时定义.



研究背景

- P. L. Lions [J. Analyse Math., 1985] : $m = 2$, $p = 0$ 且 $q > 1$.
- G. Caristi, E. Mitidieri [Adv. Diff. Equ., 1997] :
 $m = 2$, $A_0(p, q) > 0$ 且 $A_1(p, q) \leq 0$.
- R. Filippucci, S. I. Pokhozhaev [Proc. Steklov Inst. Math, 2001] : $A_0(p, q) > 0$ 且 $A_1(p, q) < 0$.
- R. Filippucci [Nonlinear Anal., 2009] :
 $A_0(p, q) \leq 0$ 且 $A_1(p, q) < 0$.
- M-F. B. Véron, M. García-Huidobro, L. Véron [Duke Math. J., 2019] : $m = 2$, $p \geq 0$, $0 \leq q \leq 2$,

$$G(p, q) = [(n-1)^2 q + n - 2]p^2 + b(q)p - nq^2 < 0,$$

其中 $b(q) = n(n-1)q^2 - (n^2 + n - 1)q - n - 2$.

并猜想当 $0 < q < 1$, $A_2(p, q) < 0$ 时都有 Liouville 定理.



研究背景

- 常彩虹-胡钡-张正策 [Nonlinear Anal., 2022] :

$A_0(p, q) > 0, \quad 0 < q < m, \quad p > 0$, 满足下列条件之一:

$$(1) \quad p \geq 1, \quad A_0(p, q) < \frac{4(m-1)}{n};$$

$$(2) \quad 0 < p < 1, \quad A_0(p, q) < \frac{(m-1)(p+1)^2}{np}.$$

- 麻希南-吴汪哲 [arXiv: 2311.04652] :

$m = 2, \quad A_0(p, q) > 0, \quad p > 0$, 满足下列条件之一:

$$(1) \quad 0 < q \leq \frac{1}{n-1}, \quad A_2(p, q) < 0;$$

$$(2) \quad n = 3 \text{ 且 } \frac{1}{n-1} < p < 1, \text{ 或 } n \geq 4 \text{ 且 } \frac{1}{n-1} < p < 2,$$

$$p^2 + \left(\frac{n-1}{n-2}q - \frac{n^2-3}{(n-2)^2} \right)p + \frac{1-(n-1)q}{(n-2)^2} < 0.$$



Theorem (吴天-朱华, 2024)

设 $1 < m < n$, $q > 0$, u 为 \mathbb{R}^n 上的方程

$$\Delta_m u + u^p |\nabla u|^q = 0$$

的正解, 那么当下列条件之一满足时, u 恒为常数:

① $0 < q \leq \frac{m-1}{n-1}$, $A_2(p, q) < 0$;

② $n \geq 3$, $q > \frac{m-1}{n-1}$, $p < h((n-2)q - (m-1)n + 1)$, 其中

$$h(t) = \frac{n-1}{2(n-2)(n-m)} [\sqrt{t^2 + 4(m-1)(n-m)} - t] + \frac{1}{n-2}.$$

③ $n = 2$, $q > m-1$.



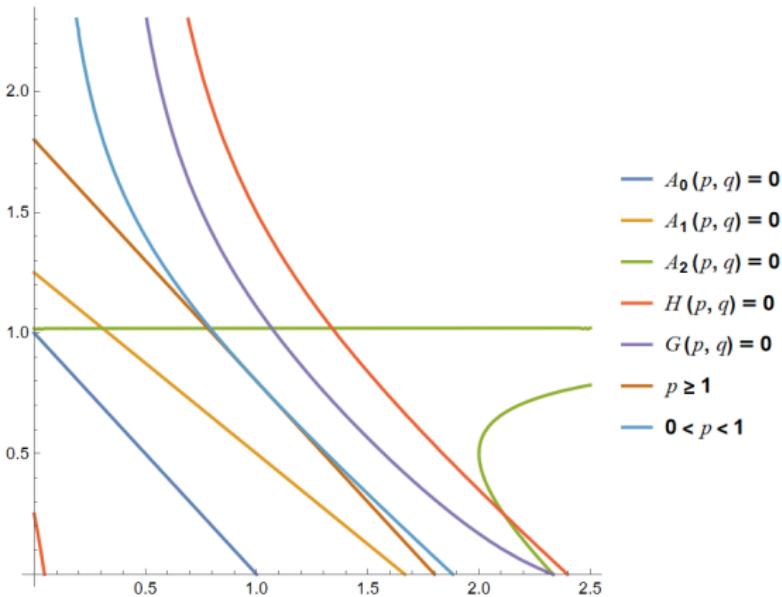
- 我们猜想：当 $0 < q < m - 1$, $A_2(p, q) < 0$ 时，应有 Liouville 型定理.
- $H(h((n-2)q - (m-1)n + 1), q) = 0$, 其中

$$\begin{aligned} H(p, q) = & (n-2)(n-m)p^2 + [(n-1)(n-2)q - (m-1)n^2 \\ & + (m-2)n + 2m - 1]p - (n-1)q + (m-1). \end{aligned}$$

当 $m = 2$ 时, $H(p, q) < 0$ 与麻希南-吴汪哲的范围一致.



$m = 2, n = 5$ 示意图





不变张量与微分恒等式

$$E_{ij} = (|\nabla u|^{m-2} u_i)_j + c_1 \frac{|\nabla u|^{m-2}}{u} u_i u_j + c_2 \frac{\Delta_m u}{|\nabla u|^2} u_i u_j$$

$$- \frac{1}{n} \left[(1 + c_2) \Delta_m u + c_1 \frac{|\nabla u|^m}{u} \right] \delta_{ij},$$

$$\begin{aligned} & u^{-\alpha} |\nabla u|^{-\beta} (u^\alpha |\nabla u|^{\beta+m-2} E_{ij} u_j)_{,i} \\ &= E_{ij} E_{ji} + \left(\alpha + \frac{(2-m)n - m - (n-1)\beta}{(m-1)n} c_1 \right) \frac{|\nabla u|^{m-2} E_{ij} u_i u_j}{u} \\ &+ \left(\frac{(n-1)q}{(m-1)n} (1 + c_2) - 2c_2 - \frac{(n-1)c_2 - 1}{(m-1)n} \beta \right) \frac{\Delta_m u E_{ij} u_i u_j}{|\nabla u|^2} \\ &+ \frac{\beta}{m-1} \frac{E_{ij} u_j E_{ki} u_k}{|\nabla u|^2} - \frac{(n-1)}{n} c_1 \left(1 + \frac{n-m}{(m-1)n} c_1 \right) \frac{|\nabla u|^{2m}}{u^2} \\ &+ \frac{(n-1)(1+c_2)}{(m-1)n^2} \{ q - [(n-1)q - (m-1)n]c_2 \} (\Delta_m u)^2 \end{aligned}$$



$$\begin{aligned} & + \frac{n-1}{n} \left[(1+c_2) \left(p + \frac{(m-2)n+m-(n-1)q}{(m-1)n} c_1 \right) \right. \\ & \left. + \frac{c_1}{m-1} \right] \frac{|\nabla u|^m \Delta_m u}{u} \\ & \geq \left(\frac{E_{ij}u_j}{|\nabla u|}, \frac{\Delta_m u u_i}{|\nabla u|}, \frac{|\nabla u|^{m-1} u_i}{u} \right) A \left(\frac{E_{ji}u_j}{|\nabla u|}, \frac{\Delta_m u u_i}{|\nabla u|}, \frac{|\nabla u|^{m-1} u_i}{u} \right)^T. \end{aligned}$$

【注】 $|\nabla u|^2 E_{ij} E_{ji} \geq \frac{n}{n-1} E_{ij} u_j E_{ki} u_k.$



不变张量与微分恒等式

$$a_{11} = \frac{n}{n-1} + \frac{\beta}{m-1}, \quad a_{12} = \frac{(n-1)q}{2(m-1)n}(1+c_2) - c_2 - \frac{(n-1)c_2 - 1}{2(m-1)n}\beta,$$

$$a_{13} = \frac{\alpha}{2} + \frac{(2-m)n - m - (n-1)\beta}{2(m-1)n}c_1,$$

$$a_{33} = -\frac{(n-1)}{n}c_1 \left(1 + \frac{n-m}{(m-1)n}c_1 \right),$$

$$a_{22} = \frac{(n-1)(1+c_2)}{(m-1)n^2} \{ q - [(n-1)q - (m-1)n]c_2 \},$$

$$a_{23} = \frac{n-1}{2n} \left[(1+c_2) \left(p + \frac{(m-2)n + m - (n-1)q}{(m-1)n}c_1 \right) + \frac{c_1}{m-1} \right].$$

$$\alpha = \frac{(n-1)\beta + (m-2)n + m}{(m-1)n} c_1,$$

$$\beta = \frac{2(m-1)nc_2 - (n-1)q(1+c_2)}{1-(n-1)c_2},$$

$$c_1 = -\frac{(m-1)n}{n-m} + \varepsilon, \quad c_2 = -\frac{q}{(m-1)n - (n-1)q} + \varepsilon.$$

$$u^{-\alpha} |\nabla u|^{-\beta} (u^\alpha |\nabla u|^{\beta+m-2} E_{ij} u_j)_{,i}$$

$$\begin{aligned} &\geq \left(\frac{\beta}{m-1} + \frac{n}{n-1} \right) \frac{E_{ij} u_j E_{ki} u_k}{|\nabla u|^2} + \frac{n-1}{n} \left(1 - \frac{n-m}{(m-1)n} \varepsilon \right) \varepsilon \frac{|\nabla u|^{2m}}{u^2} \\ &\quad + \frac{(n-1)[n(m-1-q)(1+\varepsilon) + q\varepsilon]}{(m-1)n^2} \varepsilon (\Delta_m u)^2 + \frac{n-1}{n} \left[(1+c_2) \right. \\ &\quad \left. \left(p + \frac{(m-2)n+m-(n-1)q}{(m-1)n} c_1 \right) + \frac{c_1}{m-1} \right] \frac{|\nabla u|^m \Delta_m u}{u}. \end{aligned}$$

$$\alpha = (n-2)p + \frac{(n-1)^2}{n}c_1, \beta = (n-2)q - 2(m-1), c_2 = -\frac{1}{(n-1)^2} + \varepsilon^2.$$

$$B = (b_{ij}) := \begin{pmatrix} 1 & 0 & 0 \\ -\frac{1}{(n-1)\varepsilon} & \frac{1}{\varepsilon} & 0 \\ 0 & 0 & 1 \end{pmatrix} A \begin{pmatrix} 1 & -\frac{1}{(n-1)\varepsilon} & 0 \\ 0 & \frac{1}{\varepsilon} & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

$$b_{11} = (n-2) \left(\frac{q}{m-1} - \frac{1}{n-1} \right), b_{12} = - \left[\frac{(n-1)(n-3)q}{2(m-1)n} + \frac{1}{n} \right] \varepsilon,$$

$$b_{13} = \frac{1}{2} \left\{ (n-2) \left[p + \left(1 + \frac{m-(n-1)q}{(m-1)n} \right) c_1 \right] + \frac{c_1}{(m-1)n} \right\},$$

$$b_{22} = \frac{n-1}{n} \left[1 + \varepsilon^2 - \frac{(n-1)q}{(m-1)n} \varepsilon^2 \right],$$

$$\begin{aligned}
 b_{23} &= \frac{n-1}{2n} \left[p + \frac{(m-2)n + m - (n-1)q}{(m-1)n} c_1 \right] \varepsilon, \\
 b_{33} &= -\frac{(n-1)}{n} c_1 \left[1 + \frac{n-m}{(m-1)n} c_1 \right]. \\
 -4(m-1)^2 n^2 \det \begin{pmatrix} b_{11} & b_{13} \\ b_{31} & b_{33} \end{pmatrix} \Big|_{\varepsilon=0} \\
 &= (n-1)^2 [(n-2)^2 q^2 - 2(mn-n-1)(n-2)q + (m-1)^2 n^2 \\
 &\quad + 2(m-1)n - 4m^2 + 4m + 1] c_1^2 \\
 &\quad + 2(m-1)(n-2)n \{2 + [(n-2)(n+1)p - 2]m + 2(n-1)q \\
 &\quad - [(n-2)(n-1)q + n^2 - 2n - 1]p\} c_1 + (m-1)^2 (n-2)^2 n^2 p^2.
 \end{aligned}$$

作为关于 c_1 的二次函数, 它的判别式为

$$-16(m-1)^2(n-2)^2n^2[(n-1)q - (m-1)]H(p, q) > 0.$$



$$\alpha = \left(\frac{1}{p} - \frac{2-m}{2(m-1)} - \frac{q}{(m-1)p} \right) c_1,$$

$$\beta = -2(m-1) + \frac{q}{2}\varepsilon, c_2 = -1 + \varepsilon, c_1 = k\varepsilon.$$

取 $\frac{A}{\varepsilon} = (c_{ij})$, 那么 $c_{11} = \frac{q}{2(m-1)}$, $c_{12} = \frac{(4-\varepsilon)q}{8(m-1)} - \frac{1}{2}$,

$$c_{13} = \left(\frac{1}{2p} - \frac{(4+p\varepsilon)q}{8(m-1)p} \right) k, c_{22} = \frac{q - (2m-2-q)(1-\varepsilon)}{4(m-1)},$$

$$c_{23} = \frac{p}{4} + \frac{2 + (3m-4-q)\varepsilon}{8(m-1)}k, c_{33} = - \left(\frac{1}{2} + \frac{(2-m)k\varepsilon}{4(m-1)} \right) k.$$



$$\begin{aligned} & 32(m-1)^3 p^2 \det(c_{ij}) \\ &= [4m^3 - p^2 q - 4p(1+q)^2 - 4(q+1)^3 - 4m^2(3+p+3q) \\ &\quad + 4m(q+1)(3+2p+3q)]k^2 \\ &\quad + 2(m-1)p^2 q[2m-p-2(q+1)]k - (m-1)^2 p^4 q, \end{aligned}$$

作为关于 k 的二次函数，它的判别式为

$$16(m-1)^3 p^4 q(q-m+1)A_0(p,q) > 0.$$



中国科学技术大学
University of Science and Technology of China

谢谢大家!

吴天

中国科学技术大学 数学科学学院

2024 年 12 月 1 日