

作业 (2选1).

1) 研究 \$\phi^3\$ 理论, \$d=6+\epsilon\$ 维的 RG flow.

$$\langle \delta S \rangle = \text{diagram 1} + \text{diagram 2} + \text{diagram 3} \quad \text{无贡献. } \langle \delta S \rangle = 0$$

$$\langle \delta S^2 \rangle = \text{diagram 4} \quad m^2 \text{修正 (负的)}$$

$$\langle \delta S^3 \rangle = \text{diagram 5} \quad \lambda \text{修正}$$

$m^2 < 0$: $\mathcal{L} = (\partial\phi)^2 + m^2\phi^2 + \lambda\phi^3$
 $\langle \phi \rangle \neq 0$
 SSB (自发磁化)

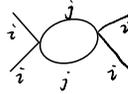


$m^2 > 0$: $\langle \phi \rangle = 0$

2) $\phi^4 \Rightarrow$ 多分量

$$\phi^2 = \frac{1}{N} \phi_i^2$$

$$\mathcal{L} = \frac{1}{2}(\partial\phi)^2 + m^2\phi^2 + \lambda\phi^4 + V \frac{1}{N} \phi_i^4$$



下节课: Bosonization. $\begin{cases} 1+1. \partial_t, \partial_x \text{ (Sine-Gordon)} \\ 2+0. \partial_x, \partial_y \text{ (BKT)} \end{cases} \quad \mathcal{L} = \frac{1}{2}(\partial\phi)^2 - \lambda \cos(\beta\phi)$

(3.31)

- 3个R: ① Regularization: 正规化 $\longrightarrow 1 + \frac{1}{2} + \frac{1}{3} + \dots = -\frac{1}{12}$
 ② Renormalization: 重整化
 ③ Renormalization Group: 重整化群

$$\int_0^{+\infty} \frac{d^d k}{k^2 + m^2}$$

1) cutoff

$$\int_0^{\Lambda} \frac{d^d k}{k^2 + m^2} \rightarrow \Omega$$

2) 维度正规化

$$\int_0^{+\infty} \frac{d^d k}{k^2 + m^2} \rightarrow \int_0^{+\infty} \frac{k^{1-\epsilon} dk}{k^2 + m^2}$$

作业中的: $d = 4 - \epsilon$ or $6 - \epsilon$
 $\epsilon \sim \frac{1}{\ln \Lambda}$

正规化: (局部) 消除求和的发散.

② 重整化: $m^2 = m_0^2 + \delta m^2 \propto \frac{1}{\Lambda}$ $\lambda = \lambda_0 + \text{loop}$

有限, 观测值 无穷大 无穷大

$\lim_{\Lambda \rightarrow \infty} e(\Lambda)$
 e^* 不是真实值, 而是低能的有效值

③ 重整化群 Ising model: $k_{n+1} = R(k_n)$
 半群. $k_{n+1} = R(R(k_{n-1})) = R^2(k_{n-1})$
 R 是一个操作, 没有逆.

群: $g_i g_j = g_k$
 Abelian Group: $R_a R_b = R_{ab}$ $k' = R_a(k)$
 $e^{ia\theta} e^{ib\theta} = e^{i(a+b)\theta}$ $k'' = R_b(k') = R_b(R_a(k)) = R_{ab}(k)$

* ϵ 与 Λ 的关系:

eg: 两台仪器: $\Lambda_1 \ll \Lambda_2$
 \downarrow \downarrow
 ν_1 ν_2

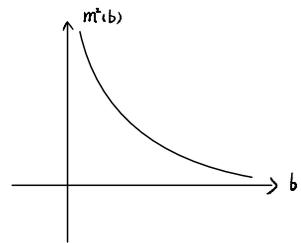
$\nu_2 = \nu_1 + f(\Lambda_2)$
 不可能出现: $\ln \Lambda_2, \Lambda_2 \dots$
 可能: $\frac{1}{\ln \Lambda_2}, \frac{1}{\Lambda_2} \dots$
 $\Lambda \rightarrow \infty, f(\Lambda)$ 不发散.

如何确定 ϵ ? so far as I know, 无法确定.

$m^2(b)$
 不做微扰计算
 $S = \int dk \frac{k^2 + m^2}{z} \phi_k^* \phi_k + \int dk_1 dk_2 dk_3 \phi \phi \phi \lambda$

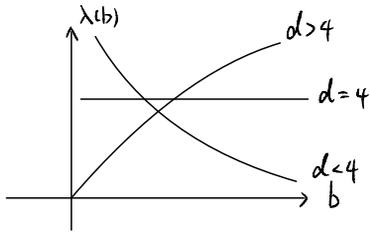
令 $k = bk'$

$\phi(k) = \phi(bk') = z \phi'(k')$
 $b^{d+2} z = 1 \rightarrow z = b^{-d-2}$
 $b^d m^2 z^2 = m^2(b) = m^2 b^{-2}$



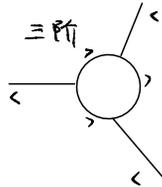
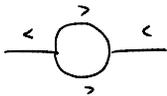
$\epsilon_k = \sqrt{k^2 + m^2}$
 $k \rightarrow 0, m$ 贡献最大

$$\lambda(b) = z^4 b^{3d} \lambda = \lambda b^{d-4}$$

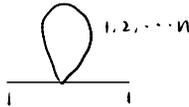


① $\phi^3 = \text{阶}$

作业:



② ϕ^4 多分量.



Project: 50% 1人
2人
3人 重复论文推导.

DDL: 6月份.

Bosonization (玻色化).

几个 model 之间的关系

BKT 相变 (2D, 经典) ——— vortex excitation

$$H = \frac{1}{2}(\partial_x \phi)^2 + (\partial_y \phi)^2 + A \cos \phi$$

今天: 1D quantum: Sine-Gordon model

$$H = \frac{1}{2} [(\partial_t \phi)^2 - (\partial_x \phi)^2] + A \cos \phi \quad \text{— 时间 vertex}$$

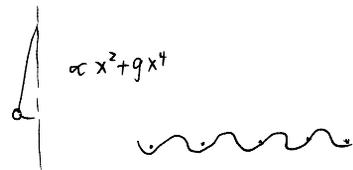
↖ 不是振幅, 而是相位.

$$(x, y) \leftrightarrow (t, x)$$

Quantum d -dim $\xleftrightarrow{\text{dual}}$ $d+1$ -dim Classical

$$1 = \frac{1}{2}(\partial \phi)^2 - \frac{m^2}{2} \phi^2 - \frac{\lambda}{4!} \phi^4$$

$\phi(x)$ 表示 x 处的振幅.



相位: $\phi \sim \phi + \text{const.}$

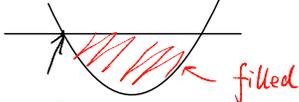
$$\Rightarrow \cos \phi.$$

(无法做 Taylor 展开)

Application of Sine-Gordon model:

* 物理上: $(1+1) = d = 2$, 复数中的 conformal transform / FT.

* Id. for Fermion \longrightarrow Dirac equation. \Leftrightarrow Interacting Dirac eq.



附近展开: 1d Dirac eq.

* Sidney Coleman (1970s)

Sine-Gordon $\overset{\text{dual}}{\Leftrightarrow}$ Thirring model

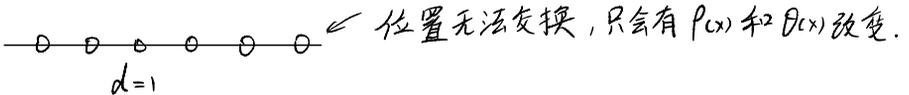
* 物理实验上存在 (碳纳米管)

* QHE edge state

Nanotube, Nanowire, Ultracold Atom.

理论上证明: ① $d \geq 3$, Fermion / Boson

② $d = 1, 2 \Rightarrow$ Anyon (Non-abelian)



\Rightarrow 不同的统计都对应 Bosonic 激发.
- 一种流体.

$$\frac{\partial p}{\partial t} + \frac{\partial j}{\partial x} = 0$$

适用于任意粒子的物理

Refs: ① C. Kane. note

② Sachdev. PPT / 讲义 or QFT 书

③ Haldane. 1979-1981 论文

④ Cazalilla. Bosonize liquid Cold atomic gases, 2004.

⑤ Senecild: An introduction to Bosonization. 1999

⑥ Shanker 书 chap 17-18.

几个例子:

① C. Kane note.  ---

$$L = \frac{m}{2} \sum_i \dot{X}_i^2 - \frac{k}{2} (X_i - X_{i+1})^2$$

引入场论时提到.

$$X_i = \sqrt{a} \phi(x_{i,t}).$$

$$L = \int dx \frac{m}{2} \left(\frac{\partial \phi}{\partial t}\right)^2 - \frac{k^2 a^2}{2} \left(\frac{\partial \phi}{\partial x}\right)^2 \rightarrow \text{EOM: } m^2 \frac{\partial^2 \phi}{\partial t^2} = k^2 a^2 \frac{\partial^2 \phi}{\partial x^2}$$

$$m a \omega^2 = k^2 a^2 q^2, \quad \phi \sim e^{i(qx - \omega t)}, \quad \omega q = v|q|$$

$$N = \sum_q \frac{1}{e^{\beta v|q|} - 1} \sim \int dq \frac{1}{e^{\beta v q} - 1} \Leftrightarrow \text{红外发散} \quad \text{Mermin-Wagner Theorem}$$

通常打开能隙: $m^2 \phi^2$

若 ϕ 为相位, 则不能通过此方式.

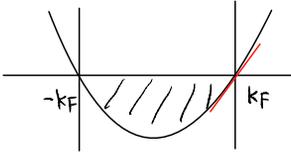
此时用 $\cos \phi$ (Sine-Gordon) 打开能隙.

Boson:

$$1d \text{ chain: } \omega = v|q|, \quad q = \frac{n\pi}{L}, \quad \omega_q = \frac{\pi v}{L} n$$

$$H = \frac{v\pi}{L} \sum_{n \neq 0} b_n^\dagger b_n$$

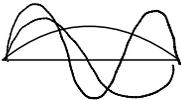
Fermion:



$$H = \int \psi^\dagger \left(-\frac{\hbar^2 d^2}{2m dx^2} - \mu \right) \psi = \left(\frac{\hbar^2 k^2}{2m} - \mu \right) \psi_k^\dagger \psi_k, \quad \mu = \frac{\hbar^2 k_F^2}{2m}$$

定义: $\psi(x) = (e^{ik_F x} \psi_R + e^{-ik_F x} \psi_L)$
 \uparrow 快 \uparrow 慢 (低能激发)

$$N = \sum_{|k| \leq k_F} \frac{L}{2\pi} \int_{-k_F}^{k_F} dk = \frac{L k_F}{\pi} \Rightarrow k_F = \pi \rho, \quad \rho = N/L = 1/a = \pi/a$$



缓变: -阶导, $\frac{\partial}{\partial x} e^{-ax^2} \sim -2ax e^{-ax^2}$

$$\frac{\partial^2}{\partial x^2} e^{-ax^2} \sim a^2 x^2 e^{-ax^2}$$

$$a \ll 1, \frac{-\text{阶导}}{=\text{阶导}} \sim \frac{1}{ax} \rightarrow \infty$$

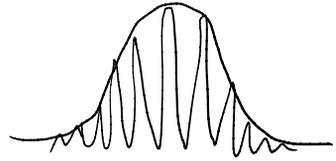
$$H = \int dx \psi^\dagger \left(-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} - \mu \right) \psi$$

$$= \int dx \left[e^{-ik_F x} \psi_R + e^{ik_F x} \psi_L \right] \left(-\frac{\hbar^2}{2m} - \mu \right) \left[e^{ik_F x} \psi_R + e^{-ik_F x} \psi_L \right]$$

$$(fg)'' = f''g + 2f'g' + fg''$$

$$0, \frac{\hbar^2 k_F^2}{2m} - \mu = 0, g \text{ 为慢变.}$$

$$\int e^{-2ik_F x} \psi_L^\dagger \psi_R dx \sim 0, k_F = \pi/a.$$



$$\text{只剩 } 2f'g' \rightarrow \psi_R^\dagger e^{-ik_F x} \left(-\frac{\hbar^2}{m} \right) \frac{d}{dx} \left(e^{ik_F x} \right) \frac{d}{dx} \psi_R.$$

$$= \psi_R^\dagger \left(-\frac{i\hbar^2 k_F}{m} \right) \frac{d}{dx} \psi_R$$

$$= v_F \psi_R^\dagger \left(-i \frac{d}{dx} \right) \psi_R$$

$$\& \text{ Left: } v_F \psi_L^\dagger \left(i \frac{d}{dx} \right) \psi_L.$$

$$H = \hbar \begin{pmatrix} \psi_R^\dagger, \psi_L \end{pmatrix} \begin{pmatrix} -iv \frac{d}{dx} & 0 \\ 0 & iv \frac{d}{dx} \end{pmatrix} \begin{pmatrix} \psi_R \\ \psi_L \end{pmatrix}$$

$$-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} \psi = E\psi \Rightarrow E = \frac{\hbar^2 q^2}{2m}$$

$$v_F \left(-i \frac{d}{dx} \right) \psi = E\psi \Rightarrow E = v_F q.$$

$$\text{Fermion: } E = v_F q = v \left(\frac{\hbar \pi}{L} \right)$$

Exact duality for Fermion/Boson in 1d.

$$\text{i.e. } Z_F = Z_B.$$

$$H = \omega + \omega b^\dagger b$$

1个 Boson 和 $N_F = 1$ 对应

不是一个 Fermi 态.

$$\text{图示法: } H_F = \omega \sum_{n=1}^{+\infty} n f_n^\dagger f_n$$

$$H_B = \omega \sum_{n=1}^{+\infty} n b_n^\dagger b_n + E_0$$

$$\text{Fock 态: } |1000 \dots\rangle \rightarrow \omega \rightarrow n=0$$

$$|0100 \dots\rangle \rightarrow 2\omega \rightarrow n=1$$

$$|001 \dots\rangle \rightarrow 3\omega \rightarrow n=2.$$