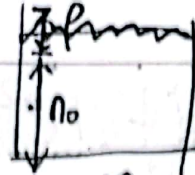


近似 $n = n_0 + p$



和以前的处理不同 $\psi = e^{i\theta} \sqrt{n}$

textbook中 n 和 θ 是数 ψ 还是玻色子.

$$[\psi(x), \psi^\dagger(y)] = \delta(x-y) \Rightarrow [n(x), \theta(y)] = i\delta(x-y)$$

$\psi = e^{i\theta} \sqrt{n}$

构成完整描述

$$\hat{H} = \int dx \frac{\hbar^2}{2m} \left(\frac{d}{dx} \psi^\dagger \right) \left(\frac{d}{dx} \psi \right) + g \int (\psi^\dagger(x) \psi(x))^2 dx$$

极限下 $n \doteq n_0 + p$, $|p| \ll n_0$

$$= \int dx \frac{\hbar^2 n_0}{2m} (\partial_x \theta)^2 + g \int (n_0 + p)^2 dx \quad \text{交叉项 } \int n_0 p dx = 0$$

$$= (\text{const}) + \int dx \frac{\hbar^2 n_0}{2m} (\partial_x \theta)^2 + g \int p^2 dx$$

两个自由度 $\left\{ \begin{array}{l} \text{相位场} \\ \text{密度场} \end{array} \right.$

$\int g n_0^2 dx$ 为常数

显然 $p(x) = \frac{1}{\sqrt{L}} \sum_q e^{iqx} p_q$ 代回

$$\theta(x) = \frac{1}{\sqrt{L}} \sum_q e^{iqx} \theta_q$$

$$\text{得 } H = \frac{\hbar^2 n_0}{2m} \sum_q q^2 \theta_q \theta_{-q} + g \sum_q p_q p_{-q}$$

很像 $H = \frac{p^2}{2m} + \frac{1}{2} m \omega^2 x^2$ 条件: $[x, p] = i\hbar$

考虑 p 与 θ 的对易关系.

$$[p(x), \theta(y)] = \frac{1}{L} \sum_{q, q'} [p_q, \theta_{q'}] e^{iqx + iq'y}$$

$$\text{令 } L=1 \quad = i\delta(x-y) = i \sum_q e^{iq(x-y)}$$

唯一解: $q \neq q' = 0$

$$[p(x), \theta(y)] = \sum_q [p_q, \theta_q] \cdot e^{iq(x-y)} \Leftrightarrow$$

$$[p_q, \theta_q] = i$$

注: $q \theta_q \theta_{-q} = (-q)^2 \theta_q \theta_q$ 坐标.

$$H = \sum_{q>0} \frac{\hbar^2 n_0 q^2}{m} \theta_q^* \theta_q + g p_q^* p_q \quad \leftarrow \text{动量}$$

其中 $[p_q, \theta_q^*] = i$



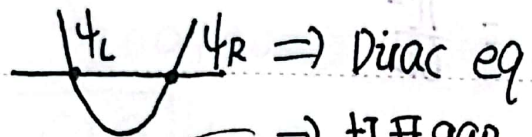
条件: $[p_q, p_{q'}] = 0$, $[\theta_q, \theta_{q'}] = 0$

$\Leftrightarrow \omega_q = c|q|$ (无能隙激发)

结论: g 不能打开 gap, 只改变 v (声速)

关联函数 $\langle \psi(x) \psi(y) \rangle \sim \frac{1}{|x-y|}$ 与费米子关联函数相同.

总结:



\Rightarrow 打开 gap $\psi_R^\dagger \psi_L^\dagger \Rightarrow$ 超导

$\int v \cos(2k_F x) + \psi^\dagger \psi \Rightarrow$ 能带

相变过程 $\rightarrow (\frac{v}{2} \psi_R^\dagger \psi_L + h.c.)$

\Rightarrow 1D BEC $\begin{cases} \psi^\dagger = \int p e^{-i\theta} \\ \psi = e^{i\theta} \int p \end{cases} \Rightarrow [p, \theta] = i\delta(x-y)$ 粒子数, 相位



证据: 1d T. F/B Anyon \Rightarrow 相似性质
流体/Bose描述

Jordan - wigner \Rightarrow 玻色性 \Rightarrow string

$b_i = \exp[i \sum_{j=-\infty}^{i-1} \pi c_j^\dagger c_j]$ c_i 连续化 $\Rightarrow \pi \int_{-\infty}^x \rho(x) dx$

① string F \Rightarrow spin, Bose $\Rightarrow \int p e^{-i\theta}$ phase fluctuation

类比: $\psi_F(x) = \exp[i\pi \int_{-\infty}^x \rho(x) dx] e^{-i\theta(x)} \int p(x)$

$\psi_F(x) = \int p_0 e^{+i\pi \int_{-\infty}^x \rho(x) dx} = i\theta(x)$

$= \int p_0 e^{i\Phi}$

$(\Phi = \pi \int_{-\infty}^x \rho(x') dx' - \theta)$ Base field

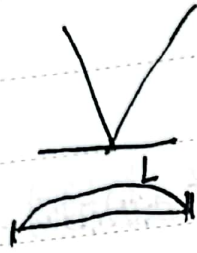
$e^{i\pi c} = e^{-i\pi c^\dagger}$

$\rho_{iL} = \rho - i\pi$ (+ 对应左右行波)



② $Z_B = Z_F$ (另一个证据: 直接计算)

Fermion $E_k = v|k|$, $k = \frac{n\pi}{L}$



$$Z_F = \left[\prod_{n=1}^{+\infty} (1 + e^{-\beta \frac{n\pi v}{L}}) \right]^2 = e^{-\beta F}$$

② 左右行波

$$-\beta F = 2 \sum_{n=1}^{+\infty} \ln(1 + e^{-\beta \frac{\pi v}{L} n})$$

$$\text{令 } a = \beta \frac{\pi v}{L} < 1$$

$$= 2 \int_0^{+\infty} \ln(1 + e^{-ax}) dx$$

$$= \frac{2}{a} \int_0^{+\infty} \ln(1 + e^{-x}) dx$$

$$= \frac{2}{a} \cdot \frac{\pi^2}{12} = \frac{\pi^2}{6a}$$

Boson field $E_k = v|k|$

$$Z_B = \prod_{n=1}^{+\infty} \frac{1}{1 - e^{-\beta \frac{n\pi v}{L}}} = e^{-\beta F}$$

$$-\beta F = - \sum_{n=1}^{+\infty} \ln(1 - e^{-an})$$

$$= - \int_0^{+\infty} \ln(1 - e^{-ax}) dx$$

$$= - \frac{1}{a} \int_0^{+\infty} \ln(1 - e^{-x}) dx$$

$$= \frac{\pi^2}{6a}$$

\Rightarrow 证明 C_v 所有性质都一样

1933-1934 F. Bloch: Sound Wave 理论

\Rightarrow 1953年 Tomonaga 朝永振一郎

Interacting fermion \Rightarrow Bose 激发

缺点: 过度近似

\Rightarrow 1963 Luttinger

1) Mahan 书

2) Tomonaga 论文 \Rightarrow "Remarks on Bloch sound waves applied to many fermion problem" 1950. 重点: 图像 \Rightarrow 公式



Tomonga - Luttinger liquid.

Introduction: 出发点 Fermi liquid Theory (单电子近似)
 $m \Rightarrow m^*$ move independently, no correlation

而 $|id|$ 无单粒子激发, 只有集体行为

主要思想: $N_F = 1$, $V \sum_k f_{k+q}^\dagger f_k \leftrightarrow \omega_{b^\dagger b}$

$$\left(\begin{array}{ccccccc} 1 & 1 & 0 & 0 & 0 & 0 & 0 \end{array} \right) V \frac{\pi}{L} \leftrightarrow \omega$$

$$\left(\begin{array}{ccccccc} 1 & 0 & 1 & 0 & 0 & 0 & 0 \end{array} \right) V \frac{2\pi}{L} \leftrightarrow 2\omega$$

$$\left(\begin{array}{ccccccc} 1 & 0 & 0 & 1 & 0 & 0 & 0 \end{array} \right) V \frac{3\pi}{L} \leftrightarrow 3\omega$$

$$\left[C_i^\dagger C_j \right] \left| 100000 \right\rangle$$

算子 $C_i^\dagger C_j$

$C_i^\dagger C_j$ $\left\{ \begin{array}{l} \text{Fermion} \Rightarrow \text{一个空间到另一个空间} \\ \text{Boson} \Rightarrow \text{提升} \end{array} \right\}$ Tomonga 证明

费米 $\rightarrow P_q = \sum_n C_n^\dagger C_{n+q} \propto b_q \leftarrow$ 近似

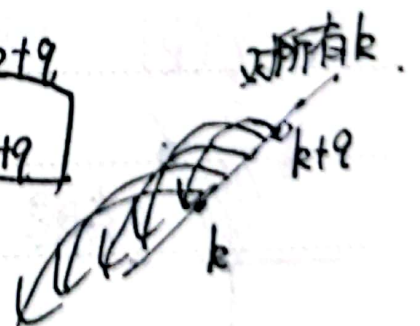
$$P_q^\dagger \propto b_q^\dagger$$

Bose 等效于 particle-hole pair

$$\rho(x) = \psi^\dagger(x) \psi(x), \quad \psi(x) = \frac{1}{\sqrt{L}} \sum_k e^{ikx} C_k$$

$$\rho(x) = \frac{1}{L} \sum_{k, k'} e^{-ikx + ik'x} C_k^\dagger C_{k'}$$

$$\begin{aligned} \text{令 } k' = k+q \text{ 则 } \rho(x) &= \frac{1}{L} \sum_k \sum_{q'} e^{iq'x} C_k^\dagger C_{k+q'} \\ &= \frac{1}{L} \sum_{q'} e^{iq'x} \left[\sum_k C_k^\dagger C_{k+q'} \right] \\ &= \frac{1}{L} \sum_{q'} e^{iq'x} P_{q'} \end{aligned}$$



$$P_q = \sum_k C_k^\dagger C_{k+q}$$

明确意义 $\rightarrow P_q \propto b_q, P_q^\dagger \propto b_q^\dagger$

(2) 计算二者对易关系 $[P_q, P_{q'}] = ?$

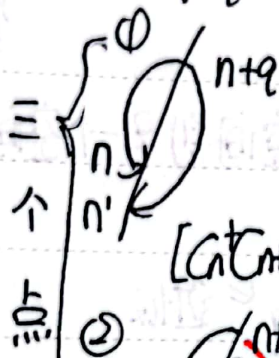
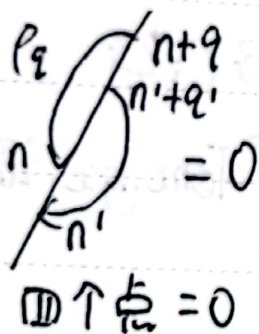
基本公式 $[C_i^\dagger C_j, C_k^\dagger C_l]$



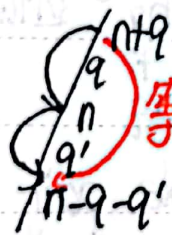
$$\begin{aligned}
& [C_i^\dagger C_j, C_k^\dagger C_l] \\
&= C_i^\dagger C_j C_k^\dagger C_l - C_k^\dagger C_l C_i^\dagger C_j \\
&= C_i^\dagger (\delta_{jk} \pm C_k^\dagger C_j) C_l - C_k^\dagger (\delta_{li} \pm C_i^\dagger C_l) C_j \\
&= \pm C_i^\dagger C_k^\dagger C_j C_l \pm C_k^\dagger C_i^\dagger C_l C_j + \delta_{jk} C_i^\dagger C_l - \delta_{li} C_k^\dagger C_j
\end{aligned}$$

讨论 $[P_q, P_{q'}] = \frac{1}{n n'} [C_n^\dagger C_{n+q}, C_{n'}^\dagger C_{n'+q'}]$

$$\begin{aligned}
&= \frac{1}{n} C_n^\dagger C_{n+q} \Big|_{n'=n+q} - C_{n'}^\dagger C_{n+q} \Big|_{n'+q'=n} \\
&= \frac{1}{n} (C_n^\dagger C_{n+q+q'} - C_{n-q'}^\dagger C_{n+q})
\end{aligned}$$



$$[C_n^\dagger C_{n+q}, C_{n'}^\dagger C_{n+q}] = 0$$

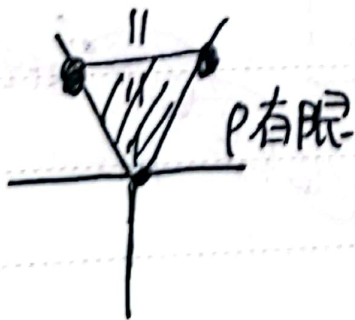


等价于

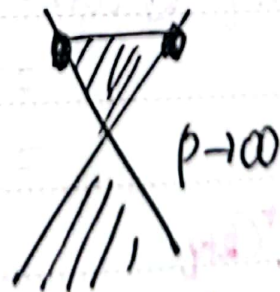
如果可以挪动 $n-q' \Rightarrow n$

Tomonaga (不可挪)

$$E_k = v|k|$$

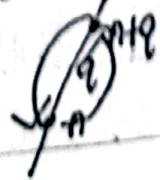


Luttinger Liquid (可挪)



$$[P_q, P_{q'}] = 0 \text{ 例外 } q' = -q$$

$$[P_q, P_{-q}] \text{ 图像} = \frac{1}{n} C_n^\dagger C_n - C_{n+q}^\dagger C_{n+q}$$



$$[p_q, p_{-q}] = A, \quad \langle G | [p_q, p_{-q}] | G \rangle = \langle A | G | A \rangle$$

$$= \sum_n \langle G | C_n^\dagger C_n - C_{n+q}^\dagger C_{n+q} | G \rangle$$

$$= \sum_{n \leq n_F} 1 - \sum_{n+q \leq n_F} 1$$

$$\begin{array}{c} \text{|||||} \\ \hline -\infty \quad n_F \end{array} \quad \begin{array}{c} \text{|||||} \\ \hline -\infty \quad n_F - q \end{array}$$

$$= -q \Rightarrow A \neq 0.$$

$$\approx -q + \text{fluctuation}.$$

$$[p_q, p_{-q}] = -q \text{ 类似 } [b, b^\dagger] = 1 \quad \checkmark_q \quad q < 0$$

$$\text{令 } p_q = \sqrt{q} b_q, \quad p_{-q} = \sqrt{q} b_q^\dagger$$

对应于产生、湮灭玻色子。

$$H_0 = v \sum_n \frac{\pi}{L} C_n^\dagger C_n, \quad p_q = \sum_m C_m^\dagger C_{m+q} \begin{cases} F: m+q \rightarrow m \\ B: p\text{-H pair} \end{cases}$$

$$[H_0^F, p_q] = v \sum_{nm} \frac{\pi}{L} [C_n^\dagger C_n, C_m^\dagger C_{m+q}]$$

$$\Downarrow \text{等价} = v \sum_{nm} \frac{\pi}{L} (C_n^\dagger C_{m+q} |_{n=m} - C_m^\dagger C_n |_{m+q=n})$$

$$[H_0^B, p_q] \text{ 价} = v \sum_n \frac{\pi}{L} (C_n^\dagger C_{n+q} - C_{n+q}^\dagger C_n)$$

$$= v \sum_n \left(\frac{\pi}{L} - \frac{(n+q)\pi}{L} \right) C_n^\dagger C_{n+q}$$

$$= -v \frac{\pi q}{L} \sum_n C_n^\dagger C_{n+q}$$

$$p_q^\dagger = -v \frac{\pi q}{L} p_q$$

$$\text{use } [p_q, p_q] = -q, \quad [p_q, p_{q'}] = 0 \text{ if } q = -q'$$

$$H_0^B = \sum_p A_p p_p^\dagger p_p$$

$$[H_0^B, p_q] = \sum_p A_p [p_p^\dagger p_p, p_q]$$

$$= -A_q p_q q$$

$$\text{综上所述: } [H_0^F, p_q] = -v \frac{\pi q}{L} p_q, \quad [H_0^B, p_q] = -A_q p_q q$$



核心: P_q 令 $A_q = v(\frac{\pi}{L})$ = 者就无法区别.

$H_F = H_0^B + V$, $iP_q = [H_F, P_q]$ // = 者结果相同.

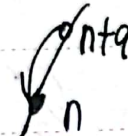
$H_B = \sum_p A_p P_p^\dagger P_p + V$, $iP_q = [H_B, P_q]$

可用玻色模型替代费米模型.

$iA = [H_F, A] \neq [H_B, A]$.

任何物理量 $\langle \psi | \psi \rangle$ 关联

$\langle 0 \rangle = \frac{\int D\phi \ 0 e^{-S}}{\int D\phi e^{-S}}$

$P_q = \sqrt{q} b_q$ 

$P_q^\dagger = P_{-q} = \sqrt{q} b_q^\dagger$

$H_0^B = \sum_p A_p P_p^\dagger P_p = \sum_{q \in \mathbb{Z}} \frac{N\pi}{L} q b_q^\dagger b_q \Leftrightarrow$ base field.

时间节点: 1933-1934 Bloch C_v / Free Energy 相等

1950 Tomonaga

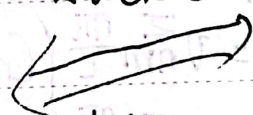
1963 Luttinger

$P_q = \sum_n C_n^\dagger C_{n+q} \propto \sqrt{q} b_q^\dagger$

量子化结果
↓ 对应

$H_F = V \psi^\dagger (-i\frac{\partial}{\partial x}) \psi \Rightarrow k V C_k^\dagger C_k$

$\mathcal{L}_F = \psi^\dagger (\text{Dirac eq}) \psi$



$\mathcal{L}_B = \frac{1}{2} (\frac{\partial \phi}{\partial t})^2 - \frac{v^2}{2} (\frac{\partial \phi}{\partial x})^2$

1975 用于 spin model, xxz ...

1980 Haldane 直接从 $\mathcal{L}_F \rightarrow \mathcal{L}_B[\phi]$

$\psi = e^{i\phi} = e^{i(\phi - \theta)}$

Witten Non-Abelian Bosonization

$P_q = \sum_n C_n^\dagger C_{n+q}$



类似于 Cooper 对

$\begin{cases} F: \\ B: \end{cases}$

$[H_F, P_q] = vq P_q$

$[H_B, P_q] = vq P_q$

$\int_0^{+\infty} \ln(1+e^{-x}) dx = \frac{\pi^2}{12}$

$\int_0^{+\infty} -\ln(1-e^{-x}) dx = \frac{\pi^2}{6}$

$\psi_F \sim e^{i\Phi_B}$

$\mathcal{L} = \frac{1}{2} (\partial \phi)^2 + A \cos(\phi)$

↓ \mathbb{R}^d

