

# 黎曼几何

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# Chapter 1

## Introduction

### 1 Preliminaries

#### 1.1 Topological manifolds

定义 1.1 (拓扑流形). • 局部欧几里得

- Hausdorff
- 第二可数

注记. • Hausdorff 保证 Cauchy 列收敛到唯一点

- 局部欧几里得保证不了分离性
- 任意的一个拓扑空间不一定可以度量化，因此要满足第二可数性
- 参见 Spivak 的第一册的 459 页附录 A，拓扑空间满足前两条的话，可度量化当且仅当第二可数当且仅当 paracompact
- paracompact: 每一个开覆盖都有一个局部有限的细化，refinement 的意思是取的新的开覆盖要么是原来的开集要么是原来的子集，
- paracompactness 告诉我们单位分解的存在性

#### 1.2 Smooth manifolds

定义 1.2. An atlas  $\{(U_\alpha, x_\alpha)\}$  on a manifold is called **differentiable** if all chart transitions

$$x_\beta \circ x_\alpha^{-1} : x_\alpha(U_\alpha \cap U_\beta) \rightarrow x_\beta(U_\alpha \cap U_\beta)$$

are differentiable of class  $C^\infty$  in case of  $U_\alpha \cap U_\beta \neq \emptyset$ .

A maximal differentiable atlas is called a **differentiable structure**.

A **differentiable manifold** of dim  $d$  is a manifold of dim  $d$  with a differentiable structure.

注记.  $\dim \leq 3$  differentiable structure is unique.

Milnor 1956 exotic 7 sphere.

### 1.3 Partition of unity

**引理 1.3.** Let  $M$  be a smooth manifold,  $(U_\alpha)_{\alpha \in A}$  an open covering. Then  $\exists$  a partition of unity subordinate to  $(U_\alpha)$ . That is  $\exists$  a locally finite refinement  $(V_\beta)_{\beta \in B}$  of  $(U_\alpha)_{\alpha \in A}$  and  $C_0^\infty$  functions  $\varphi_\beta : M \rightarrow \mathbb{R}$  such that

- (1)  $\text{supp } \varphi_\beta \subset V_\beta, \forall \beta \in B$
- (2)  $0 \leq \varphi_\beta(x) \leq 1, \forall x \in M, \forall \beta \in B$
- (3)  $\sum_{\beta \in B} \varphi_\beta(x) = 1, \forall x \in M$

### 1.4 Tangent vector

Smooth curve  $\gamma : (a, b) \rightarrow M$   
 $x \in \Omega \subset \mathbb{R}^d$   
 $x = (x^1, \dots, x^d)$   
 $T_x \Omega = \left\{ v^i \frac{\partial}{\partial x^i} = (v^1, \dots, v^d), v^i \in \mathbb{R} \right\}$

## 2 Riemannian metric

$$\gamma : (a, b) \rightarrow M$$

$$\int_a^b |\gamma'(t)| dt = \text{length}(\gamma)$$

Hilbert space  $\Rightarrow$  Riemannian geometry

Banach space  $\Rightarrow$  Finsler geometry

Just for the purpose of

$$\gamma'(t), (v, x)$$

$$\|\gamma'(t)\|^2 = g_{ij} v^i v^j = (v^1, \dots, v^d) \begin{pmatrix} g_{ij} \\ \vdots \\ v^d \end{pmatrix}$$

bilinear form,  $(g_{ij})$  positive definite, symmetric

matrix

$$(U, g) w^i \frac{\partial}{\partial y^i} = w^i \frac{\partial x^j}{\partial y^i} \frac{\partial}{\partial x^j}$$

$$h_{ij}(y(p)) = g_{kl}(x(p)) \frac{\partial x^k}{\partial y^i} \frac{\partial x^l}{\partial y^j}$$

$(g_{ij})$  (0, 2) tensor! And we assume its coefficients are smooth on  $x(U)$

**定义 2.1.** A Riemannian metric  $g$  on a smooth manifold  $M$  is a smooth (0, 2)-tensor satisfying

$$g(X, Y) = g(Y, X), \quad g(X, X) \geq 0 \& g_p(X, X) = 0 \iff X(p) = 0$$

for any smooth tangent vector field  $X, Y$ .

A Riemannian manifold is a smooth manifold with a Riemannian metric.

**例子 2.2.**  $\mathbb{R}^n$

- $(g_{ij}) = (\delta_{ij})$
- 球面几何  $(g_{ij}) = \frac{4}{(1 + \sum_{i=1}^n (x^i)^2)^2} (\delta_{ij})$
- 双曲几何  $(g_{ij}) = \frac{4}{(1 - \sum_{i=1}^n (x^i)^2)^2} (\delta_{ij})$

### 2.1 Existence of Riemannian metric

**定理 2.3.** A smooth manifold has a Riemannian metric.

*Extrinsic proof.* Whitney embedding

$f : M^n \rightarrow N^{n+k}$  smooth immersion ( $df_p$  is injective)

Let  $(N, g_N)$  be a Riemannian metric

Pull-back metric  $f^*g_N$  on  $M$

$$(f^*g_N)_p(X_p, Y_p) = g_N(df_p(X_p), df_p(Y_p))$$

□

*Intrinsic proof.*  $U_p$  coordinate neighborhood.  $\{U_p, p \in M\}$  open cover.

paracompact  $\implies$  WLOG, let  $\{U_\alpha\}$  be a locally finite covering of  $M$  by coordinate neighborhood.

Partition of unity  $\{\varphi_\alpha\}$  subordinate to  $\{U_\alpha\}$ .

$x: U_\alpha \rightarrow x(U_\alpha) \subset \mathbb{R}^n$

$$g_p(X, Y) = \sum_{\alpha} \varphi_\alpha(p)(g_\alpha)_p(X, Y).$$

□

**定义 2.4.** Let  $(M, g_M), (N, g_N)$  be two Riemannian manifolds.  $\varphi: M \rightarrow N$  is called an **isometry** if  $\varphi$  is a diffeomorphism and  $\varphi^* g_N = g_M$ .

## 2.2 黎曼度量张量 $\rightsquigarrow$ 度量

**定义 2.5.** A function  $d: M \times M \rightarrow \mathbb{R}$  is called a metric if

- (i)  $d(p, q) \geq 0$ , and  $d(p, q) = 0 \iff p = q$ .
- (ii)  $d(p, q) = d(q, p)$ .
- (iii)  $d(p, q) \leq d(p, r) + d(r, q)$ ,  $\forall r \in M$ .

Let  $(M, g)$  be a Riemannian manifold, for any  $p, q \in M$ , consider

$$C_{p,q} = \{\gamma : [a, b] \rightarrow M \mid \gamma \text{ piecewise smooth regular curve with } \gamma(a) = p, \gamma(b) = q\}.$$

Define  $d(p, q) = \inf \{Length(\gamma) \mid \gamma \in C_{p,q}\}$ .

The following questions are immediate

- (1) Is  $C_{p,q}$  empty?
- (2) Is  $d(p, q) < +\infty$ ?
- (3) Is  $d$  a metric?
- (4) Can the infimum be attained?

Let  $E_p = \{q \in M : p, q \text{ can be connected by a curve } \in C_{p,q}\}$ . It is easy to show by connectedness argument that  $E_p = M$ . So  $C_{p,q}$  could not be empty.

Take  $\gamma \in C_{p,q}$ , we can cover it by finite coordinate charts. So we just need to show any piecewise smooth curve contained in a coordinate chart has finite length.

$$Length(\gamma) = \int_a^b \sqrt{g_{ij} \frac{\partial x^i \circ \gamma}{\partial t} \frac{\partial x^j \circ \gamma}{\partial t}} dt$$

### 引理 2.6.

Next we show  $d(p, q)$  is a metric. It is obvious from definition that  $d(p, q) \geq 0$  and  $d(p, q) = d(q, p)$ . Because we consider piecewise smooth curve, triangle inequality is also easy. If  $p \neq q$ , we can find a coordinate chart  $U$  of  $p$  such that  $q \notin U$ .

## 2.3 度量 $\rightsquigarrow$ 黎曼度量张量

<https://mathoverflow.net/questions/45154/riemannian-metric-induced-by-a-metric>

# Chapter 2

## 寻找最短线

**定义 0.1.** 设  $c: [a, b] \rightarrow M$  是一条光滑曲线.  $c$  的一个 (单参数) 变分是指一个光滑映射

$$F: [a, b] \times (-\varepsilon, \varepsilon) \rightarrow M, \quad (t, s) \mapsto F(t, s)$$

满足  $F(t, 0) = c(t)$ . 记  $\frac{\partial F}{\partial t} = dF\left(\frac{\partial}{\partial t}\right), \frac{\partial F}{\partial s} = dF\left(\frac{\partial}{\partial s}\right)$  (注意该记法与将  $dc\left(\frac{d}{dt}\right)$  记作  $c'(t)$  的习惯相同). 称沿  $c$  的向量场  $V(t) := \frac{\partial F}{\partial s}(t, 0)$  为变分场.

### 1 例子

#### Euclidean geometry

$$(r, \theta)$$

$$g = dr \otimes dr + r^2 d\theta \otimes d\theta$$

$$\gamma: [a, b] \rightarrow M, \gamma(a) = p, \gamma(b) = q$$

$$Length(\gamma) = \int_a^b \sqrt{g(\gamma'(t), \gamma'(t))} dt$$

$$(r(t), \theta(t)), r'(t) \frac{\partial}{\partial t} + \theta'(t) \frac{\partial}{\partial \theta}$$

$$\begin{aligned} Length(\gamma) &= \int_a^b \sqrt{g(\gamma'(t), \gamma'(t))} dt \\ &= \int_a^b \sqrt{r'(t)^2 + r(t)^2 \theta'(t)^2} dt \\ &\geq \int_a^b |r'(t)| dt \\ &\geq \left| \int_a^b r'(t) dt \right| \\ &= |r(b) - r(a)| \end{aligned}$$

= holds iff  $\theta'(t) \equiv 0, \gamma(t)$  monotonic.

$$S^2 \subset \mathbb{R}^3$$

$$\begin{aligned}\varphi &\in (-\frac{\pi}{2}, \frac{\pi}{2}), \theta \in (0, 2\pi) \\ \left\{(\varphi, \theta) \mid \varphi \in (-\frac{\pi}{2}, \frac{\pi}{2}), \theta \in (0, 2\pi)\right\} \\ g &= d\varphi \otimes d\varphi + \cos^2 \varphi d\theta \otimes d\theta\end{aligned}$$

## 2 弧长泛函与能量泛函

设  $(M, g)$  是一个黎曼流形.

**定义 2.1.** 称光滑曲线  $\gamma: [a, b] \rightarrow M$  是正则的如果  $\|\gamma'(t)\| \neq 0, \forall t \in I$ .

分段光滑（正则）曲线

**定义 2.2.** If  $\gamma: I \rightarrow M$  is a smooth regular curve and if  $p: I' \rightarrow I$  is a smooth map with non-zero derivative, then we say that  $\gamma \circ p: I' \rightarrow M$  is a reparametrization of  $\gamma: I \rightarrow M$ .

It is easy to check that any reparametrization of a smooth regular curve is still a smooth regular curve and this defines an equivalent relationship on the space of all smooth regular curves to  $M$ .

We will use **parametrized curve** to refer to a smooth regular curve and **curve without parametrization** to refer to an equivalent class of smooth regular curves under reparametrization.

Let  $\gamma: [a, b] \rightarrow M$  be a parametrized curve, we can define its length

$$L(\gamma) = \int_a^b \|\gamma'(t)\| dt := \int_a^b \sqrt{g(\gamma'(t), \gamma'(t))} dt.$$

It is easy to check that

**引理 2.3.** If  $\gamma \circ p: I' \rightarrow M$  is a reparametrization of  $\gamma: I \rightarrow M$ , then  $L(\gamma \circ p) = L(\gamma)$ .

So we can actually define length for curves without parametrization.

### 弧长参数化

There is always a canonical representative element for any equivalent class of smooth regular curves under reparametrization.

**命题 2.4.** Suppose  $\gamma: I \rightarrow M$  is a parametrized curve.

(1)  $p: I \rightarrow [0, L(\gamma)], t \mapsto \int_a^t \|\gamma'(s)\| ds$  is a smooth map with non-zero derivative.

(2) Suppose  $\gamma \sim \gamma'$ , then  $\gamma \circ p^{-1} = \gamma' \circ p'^{-1}$  as maps from  $[0, L(\gamma)]$  to  $M$ .

We call  $\gamma \circ p^{-1}$  the **arclength reparametrization** of  $\gamma$ .

**命题 2.5.**  $\gamma: I \rightarrow M$  is parametrized with arclength iff  $\|\gamma'(t)\| \equiv 1$ .

### 能量泛函

**定义 2.6.** 设  $\gamma: [a, b] \rightarrow M$  是分段光滑正则曲线, 定义

$$E(\gamma) = \frac{1}{2} \int_a^b \langle \gamma'(t), \gamma'(t) \rangle dt$$

### 3 能量泛函的变分 I

设  $(M, g)$  是一个黎曼流形. 设  $\gamma: [a, b] \rightarrow M$  是一条光滑曲线,  $F$  是  $\gamma$  的一个变分.

任给  $y(t)$  满足  $y(a) = y(b) = 0$ ,

$$\begin{aligned} 2E(\gamma_\varepsilon) &= \int_a^b g_{ij}(x(t) + \varepsilon y(t)) \frac{dx^i}{dt} (x^i(t) + \varepsilon y^i(t)) \frac{dx^j}{dt} (x^j(t) + \varepsilon y^j(t)) dt \\ 0 &= \left. \frac{d}{d\varepsilon} \right|_{\varepsilon=0} 2E(\gamma_\varepsilon) = \int_a^b g_{ij,k}(x) y^k \frac{dx^i}{dt} \frac{dx^j}{dt} dt + \int_a^b g_{ij}(x) \frac{dy^i}{dt} \frac{dx^j}{dt} dt + \int_a^b g_{ij}(x) \frac{dx^i}{dt} \frac{dy^j}{dt} dt \\ \int_a^b g_{ij}(x) \frac{dx^i}{dt} \frac{dy^j}{dt} dt &= - \int_a^b \frac{d}{dt} \left( g_{ij}(x) \frac{dx^i}{dt} \right) y^j dt = - \int_a^b g_{ij,k}(x) \frac{dx^k}{dt} \frac{dx^i}{dt} y^j dt - \int_a^b g_{ij}(x) \frac{d^2 x^i}{dt^2} y^j dt \\ \int_a^b g_{ij}(x) \frac{dy^i}{dt} \frac{dx^j}{dt} dt &= - \int_a^b \frac{d}{dt} \left( g_{ij}(x) \frac{dx^j}{dt} \right) y^i dt = - \int_a^b g_{ij,k}(x) \frac{dx^k}{dt} \frac{dx^j}{dt} y^i dt - \int_a^b g_{ij}(x) \frac{d^2 x^j}{dt^2} y^i dt \\ 0 &= \int_a^b \left( g_{ij,k}(x) \frac{dx^i}{dt} \frac{dx^j}{dt} - g_{ik,j}(x) \frac{dx^j}{dt} \frac{dx^i}{dt} - g_{kj,i}(x) \frac{dx^i}{dt} \frac{dx^j}{dt} - 2g_{ik}(x) \frac{d^2 x^i}{dt^2} \right) y^k dt \\ g_{ij,k}(x) \frac{dx^i}{dt} \frac{dx^j}{dt} - g_{ik,j}(x) \frac{dx^j}{dt} \frac{dx^i}{dt} - g_{kj,i}(x) \frac{dx^i}{dt} \frac{dx^j}{dt} - 2g_{ik}(x) \frac{d^2 x^i}{dt^2} & \\ 2g_{ik}(x) \frac{d^2 x^i}{dt^2} + (g_{ik,j} + g_{kj,i} - g_{ij,k}) \frac{dx^i}{dt} \frac{dx^j}{dt} &= 0 \\ \frac{d^2 x^i}{dt^2} + \frac{1}{2} g^{kl} (g_{ik,j} + g_{kj,i} - g_{ij,k}) \frac{dx^i}{dt} \frac{dx^j}{dt} &= 0 \end{aligned}$$

**定义 3.1.** 设  $(M, g)$  是黎曼流形,  $(U, x)$  是一个坐标卡,  $g$  在  $(U, x)$  下的分量表示为  $(g_{ij})$ , 定义  $U$  上的一族函数  $\Gamma_{ij}^k = \frac{1}{2} g^{kl} (g_{jl,i} + g_{il,j} - g_{ij,l})$ , 称作第二类 Christoffel 符号.

**命题 3.2.**

$$(1) \quad \Gamma_{ij}^k = \Gamma_{ji}^k$$

$$(2) \quad g_{ij,l} = g_{kj}\Gamma_{il}^k + g_{ik}\Gamma_{jl}^k$$

$$\text{命题 3.3. } \tilde{\Gamma}_{ij}^k = \Gamma_{\alpha\eta}^\gamma \frac{\partial x^\alpha}{\partial \tilde{x}^i} \frac{\partial x^\eta}{\partial \tilde{x}^j} \frac{\partial \tilde{x}^k}{\partial x^\gamma} + \frac{\partial \tilde{x}^k}{\partial x^\gamma} \frac{\partial^2 x^\gamma}{\partial \tilde{x}^i \partial \tilde{x}^j}$$

$$\text{命题 3.4. } \frac{d^2 x^k}{dt^2} + \Gamma_{ij}^k \frac{dx^i}{dt} \frac{dx^j}{dt} = 0 \text{ 是定义在流形上的方程.}$$

**定义 3.5.** A parametrized curve  $\gamma: [a, b] \rightarrow M$  satisfies the equation above is called a geodesic.

**命题 3.6.** Geodesics are parametrized proportionally by arclength

证明.

$$\begin{aligned} \frac{d}{dt} \left( g_{ij}(x(t)) \frac{dx^i}{dt} \frac{dx^j}{dt} \right) &= g_{ij,l} \frac{dx^l}{dt} \frac{dx^i}{dt} + 2g_{ij} \frac{d^2 x^i}{dt^2} \frac{dx^j}{dt} \\ &= g_{ij,l} \frac{dx^l}{dt} \frac{dx^i}{dt} \frac{dx^j}{dt} + 2g_{ij} \left( -\Gamma_{kl}^i \frac{dx^k}{dt} \frac{dx^l}{dt} \right) \frac{dx^j}{dt} \\ &= (g_{ij,l} - 2g_{kj}\Gamma_{il}^k) \frac{dx^l}{dt} \frac{dx^i}{dt} \frac{dx^j}{dt} \end{aligned}$$

$$\text{Claim } g_{ij,l} = g_{kj}\Gamma_{il}^k + g_{ik}\Gamma_{jl}^k$$

$$RHS = \frac{1}{2} g_{kj} g^{kp} (g_{pl,i} + g_{ip,l} - g_{il,p}) + \frac{1}{2} g_{ik} g^{kp} (g_{pl,j} + g_{jp,l} - g_{jl,p})$$

$$= \frac{1}{2}(g_{il,i} + g_{ij,l} - g_{il,j}) + \frac{1}{2}(g_{il,j} + g_{ji,l} - g_{jl,i}) = g_{ij,l}$$

□

**定理 3.7.**  $\forall p \in M, \exists \mathcal{U}_{V,\delta} = \{(q, v) \mid p, q \in V \subset M \text{ open}, v \in T_q M, \|v\| < \delta, \delta > 0\}$

and a  $\varepsilon > 0$  and  $C^\infty$  map  $\gamma : (-\varepsilon, \varepsilon) \times \mathcal{U}_{V,\delta} \rightarrow M$  s.t.  $\forall (q, v) \in \mathcal{U}_{V,\delta}$ , the curve  $t \mapsto \gamma(t, q, v)$  is the unique geodesic satisfying  $r(0, q, v) = q, r'(0, q, v) = v \in T_q M$

3月4日 22分27秒

**引理 3.8** (Homogeneity of geodesic). If the geodesic  $\gamma(t, q, v)$  is defined on  $t \in (-\varepsilon, \varepsilon)$ , then the geodesic  $\gamma(t, q, \lambda v), \lambda \in \mathbb{R}^+$  is defined on the interval  $t \in (-\frac{\varepsilon}{\lambda}, \frac{\varepsilon}{\lambda})$  and

$$\gamma(t, q, \lambda v) = \gamma(\lambda t, q, v).$$

### 废稿

Consider the length functional  $L: C_{p,q} \rightarrow \mathbb{R}$ .

我要找  $L$  的最小值点. 一个简单但关键的观察是: 如果  $\gamma$  是连接  $p$  和  $q$  的最短线, 那么它也是连接其上  $p, q$  之间任意两点的最短线. 因此我们可以将问题局部化!

下一个观察是, 作为  $L$  的我要找  $L$  的最小值点, 首先找  $L$  的极小值点.

假设  $\gamma_0 \in C_{p,q}$  是  $L$  的极小值点, 那么对于任意一族曲线  $\gamma_\varepsilon: (-\delta, \delta) \rightarrow C(p, q)$ , 都应有

$$\left. \frac{d}{d\varepsilon} \right|_{\varepsilon=0} L(\gamma_\varepsilon) = 0, \quad \left. \frac{d^2}{d\varepsilon^2} \right|_{\varepsilon=0} L(\gamma_\varepsilon) \geqslant 0.$$

注记.  $\gamma_\varepsilon$  上得附加可微性吧? 不然  $L(\gamma_\varepsilon)$  怎么可导?

### Localizable

Suppose  $\gamma$  is the shortest curve connecting  $p$  and  $q$ , then it is also the shortest curve connecting any two points on  $\gamma$  between  $p$  and  $q$ . WLOG, we can suppose  $p, q$  are in one coordinate chart.

注记. 但这里是不是还需要说明我们不需要考虑那些跑出  $p, q$  落在的坐标卡的那些曲线, 只考虑包含在坐标卡里的那些曲线.

### Energy functional

$$L(\gamma_\varepsilon) = \int_a^b \sqrt{g_{ij}(x \circ \gamma_\varepsilon(t)) \frac{dx^i \circ \gamma_\varepsilon(t)}{dt} \frac{dx^j \circ \gamma_\varepsilon(t)}{dt}} dt$$

要对它求导太麻烦, 为此我们考虑能量泛函  $E(\gamma) = \frac{1}{2} \int_a^b g(\gamma'(t), \gamma'(t)) dt$ .

**引理 3.9.**  $\forall \gamma \in C_{p,q}, \gamma: [a, b] \rightarrow M, we have$

$$L(\gamma)^2 \leqslant 2(b-a)E(\gamma).$$

and “=” holds iff  $\|\gamma'(t)\| \equiv \text{const.}$

证明.

$$L(\gamma) = \int_a^b \|\gamma'(t)\| dt \leq \left( \int_a^b 1^2 dt \right)^{\frac{1}{2}} \left( \int_a^b \|\gamma'(t)\|^2 dt \right)^{\frac{1}{2}} = \sqrt{b-a} \sqrt{2E(\gamma)}.$$

□

容易验证  $E(\gamma)$  只能对于参数化曲线  $\gamma: [a, b] \rightarrow M$  定义, 这与长度泛函是不同的.

If  $\gamma$  is arclength parametrized, then  $L(\gamma)^2 = 2L(\gamma) \cdot E(\gamma) \implies L(\gamma) = 2E(\gamma)$ .

Let us fix some notations. Suppose

$$\begin{aligned} \gamma: [a, b] &\longrightarrow U \subset M^n \xrightarrow{x} x(U) \subset \mathbb{R}^n \\ t &\longmapsto \gamma(t) \in U \longmapsto x(\gamma(t)) =: x(t) \end{aligned}$$

where  $\gamma: [a, b] \rightarrow M$  is a parametrized curve and  $(U, x)$  is a chart.

Given  $y: [a, b] \rightarrow \mathbb{R}^n$  a parametrized curve such that  $y(a) = y(b) = 0$ , define  $\gamma_\varepsilon(t) = x(t) + \varepsilon y(t)$ .

You can believe that for sufficient small  $\delta$ ,  $\gamma_\varepsilon$  is contained in  $x(U)$ ,  $\forall \varepsilon \in (-\delta, \delta)$ .

注记. 一个问题是这样构造出来的  $\gamma_\varepsilon$  是否把所有的这种扰动找全了.

注记. 流形上没有线性结构, 搬到  $\mathbb{R}^n$  上去加!

**命题 3.10** (光滑 + 最短线 + 平行弧长参数  $\implies$  能量泛函临界点). If  $\gamma$  is a  $C^\infty$  shortest curve from  $p$  to  $q$ . (前一句话与参数化无关, 但后一句话给定了一个参数化) Then  $\gamma$  with a parametrization  $\gamma: [a, b] \rightarrow U \subset M$  s.t.  $\|\gamma'(t)\| \equiv \text{const}$  is a critical point of  $E$ , i.e.,  $\frac{d}{d\varepsilon}|_{\varepsilon=0} E(\gamma_\varepsilon) = 0$ .

注记.

- 原则上来说最短线是在所有分段光滑的曲线中找的, 以后会说明最短线一定是光滑的.
- 在不担心这个额外的光滑性假定的条件下, 上面的命题告诉我们, 最短线赋予平行于弧长的参数一定是能量泛函的临界点.

因此如果我们去找能量泛函的临界点, 是不会漏掉最短线的.

证明.  $\gamma$  shortest  $\implies L(\gamma) \leq L(\gamma_\varepsilon)$

$$\begin{aligned} L(\gamma) &= \sqrt{2(b-a)E(\gamma)} \\ L(\gamma_\varepsilon) &\leq \sqrt{2(b-a)E(\gamma_\varepsilon)} \\ \implies E(\gamma) &\leq E(\gamma_\varepsilon) \\ \implies \frac{d}{d\varepsilon}|_{\varepsilon=0} E(\gamma_\varepsilon) &= 0. \end{aligned}$$

□

最短线加弧长参数是临界点, 临界点如果都不是弧长参数就完了, 没听懂.

## 4 指数映射

要根据一点附近的测地线的性质，来确定一个坐标系，使得测地线在这个坐标映射下投到欧氏区域后是直线.

其实拿切空间来做坐标区域应该是个挺自然的想法，毕竟切空间是该处的一阶线性近似

$$\begin{aligned}\exp_p : T_p M &\longrightarrow M \\ v &\longmapsto \gamma(1, p, v)\end{aligned}$$

- 选取 1 能够使测地线走的长度等于  $\|v\|_g$ .

### 指数映射的定义域

3月4日 52分30秒

$V_p := \{v \in T_p M \mid \text{the geodesic } \gamma(t, p, v) \text{ is defined on } [0, 1]\}.$

为了  $\exp_p$  成为坐标映射，我们希望  $V_p$  至少包含以  $O$  为心的一个开球！

3月4日 55分45秒

### 命题 4.1.

- (1)  $V_p$  is star-shaped around  $O \in T_p M$ , i.e.  $\forall v \in V_p, \forall \lambda \in [0, 1]$ , then  $\lambda v \in V_p$ .
- (2)  $\forall p, \exists \varepsilon = \varepsilon(p)$ , s.t.  $\gamma(t, p, v)$  is defined on  $[0, 1]$  once  $\|v\| < \varepsilon$ .

3月4日 1小时1分0秒，反函数定理

3月4日 1小时5分2秒

### 命题 4.2. $d\exp_p = \text{Id}_{T_p M}$ .

由逆映射定理，存在  $p$  点的一个邻域  $U$  使得  $\exp_p^{-1} : U \rightarrow T_p M$  是微分同胚.

距离  $\exp_p^{-1}$  成为坐标映射只差  $T_p M$  到  $\mathbb{R}^n$  的一个同构，任取  $T_p M$  的一组基即可.

### 命题 4.3. $\Gamma_{ij}^k(p) = 0$ .

命题 4.4. 选取  $T_p M$  的一组基  $\{v_1, \dots, v_n\}$ . 断言  $g$  在坐标映射  $\exp_p^{-1} : U \rightarrow T_p M \cong \mathbb{R}^n$  下的分量在  $O$  处的取值  $g_{ij}(O) = g(v_i, v_j)$ .

定义 4.5. 选取  $T_p M$  的一组标准正交基，此时的  $(\exp_p^{-1}, U)$  称为  $p$  的一个法坐标.

3月4日 1小时17分45秒

证明.  $0 = \frac{d^2 x^i}{dt^2} + \Gamma_{jk}^i(x(t)) \frac{dx^j}{dt} \frac{dx^k}{dt}$

□

## 极坐标

$$\begin{aligned} \text{A curve } c(t) &= (r(t), \varphi^1(t), \dots, \varphi^{n-1}(t)) \\ c'(t) &= \left( \frac{dr}{dt}, \frac{d\varphi^1}{dt}, \dots, \frac{d\varphi^{n-1}}{dt} \right) =: (v^1, v^2, \dots, v^n) \\ \|c'(t)\| &= g_{ij}(c(t))v^i v^j = \underbrace{\left( \frac{dr}{dt} \right)^2 + \sum_{i,j=1}^{n-1} g_{\varphi^i \varphi^j} \frac{d\varphi^i}{dt} \frac{d\varphi^j}{dt}}_{\geq 0} \end{aligned}$$

3月8日第二段 11分20秒

**推论 4.6.** For any  $p \in M, \exists \rho > 0$  s.t.  $\forall q$  with  $d(p, q) = \rho$ , there exists a unique shortest curve  $\in C_{p,q}$ .

证明.  $\exists \rho > 0$  s.t.  $B(p, 2\rho)$  lies in a Riemannian polar coordinate neighborhood.

For any curve  $c \in C_{p,q}$

$$c : [0, T] \rightarrow M, c(0) = p, c(T) = q$$

□

**推论 4.7.** 最短线是光滑的.

## 5 一致邻域

3月8日 25分20秒

3月8日 28分56秒

**定义 5.1.** *totally normal neighborhood.*

$\forall p \in M$ , if  $W \ni p$ ,  $W$  is a normal neighborhood of every point  $q \in W$ , then  $W$  is called a **totally normal neighborhood**.

### 5.1 totally normal neighborhood 的存在性

3月8日第二段 35分4秒

**引理 5.2.**

$$d\exp(p, 0_p) : T_{p,0_p}(TM) \longrightarrow T_{p,p}(M \times M)$$

is non singular.

3月8日第二段 1小时3分54秒

**定理 5.3.** For any  $p \in M$ ,  $\exists$  a neighborhood  $W$  of  $p$ , and a  $\delta > 0$  such that  $\forall q \in W$ ,  $\exp_q$  is a diffeomorphism on  $B(0_q, \delta) \subset T_q M$  and

3月8日第二段 1小时14分7秒

**推论 5.4.**

## 6 Cut locus 1

3月8日第二段 1小时 25分 32秒，总结

测地线的最大存在区间是开的

3月8日第二段 1小时 28分 5秒

给定  $p \in M, v \in T_p M$ , 有测地线  $\gamma(t, p, v) = \exp_p tv$ .

假设  $[0, b]$  是  $\gamma$  的最大存在区间. 记  $q = \gamma(b, p, v), w = \frac{d}{dt} \Big|_{t=b} \gamma(t, p, v)$ .

存在经过  $q$ , 以  $w$  为初始切向量的测地线  $\tilde{\gamma}$ ,  $\tilde{\gamma}$  在某区间  $(-\varepsilon, \varepsilon)$  上有定义.

断言  $\gamma|_{(b-\varepsilon, b]}$  的反转与  $\tilde{\gamma}|_{(-\varepsilon, 0]}$  的反转都是以  $q$  为起点, 以  $-w$  为初始切向量的测地线.  
这是由链式法则与测地线方程的特点保证的.

由存在唯一性知  $\gamma$  与  $\tilde{\gamma}$  在公共定义域上重合. 这与  $[0, b]$  是  $\gamma$  的最大存在区间矛盾.

测地线是最短线的最大区间相对测地线的最大存在区间是闭的

3月8日第二段 1小时 31分 7秒

由最短线也是连接其上任意两点的最短线, 知测地线是最短线的点是个区间.

$A = \{t > 0 \mid d(p, \gamma(t)) = t \|v\|_g\}$  是闭的.

Either  $A = (0, b)$  or  $A = (0, a]$  for some  $0 < a < b$ .

定义 6.1.

- 如果  $A = (0, a]$ , 则称  $\gamma(a)$  是  $p$  沿测地线  $\gamma$  的割点.
- 如果  $A = (0, b)$ , 则称  $p$  沿测地线  $\gamma$  没有割点.
- 称割点的全体为  $p$  的割迹, 记作  $C(p)$ .

- 定义  $\tau: \{v \in T_p M \mid \|v\|_g = 1\} \rightarrow \mathbb{R}, \tau(v) = \begin{cases} a & \text{if } \exp_p(av) \text{ is a cut point of } p \\ b & \text{if } p \text{ has no cut point along } t \mapsto \exp_p(tv) \end{cases}$

定义 6.2.

- Define a map  $\tau: S_p \rightarrow \mathbb{R} \cup \{\infty\}$

$$\forall v \in S_p, \tau(v) = \begin{cases} a & \text{if } \exp_p(av) \text{ is a cut point of } p \\ \infty & \text{if } p \text{ has no cut point along } t \mapsto \exp_p(tv) \end{cases}$$

$$E(p) = \{tv \mid v \in S_p, 0 \leq t < \tau(v)\}$$

$$\tilde{C}(p) = \{tv \mid v \in S_p, t = \tau(v)\}$$

$$C(p) = \{\text{cut points of } p\} = \exp_p(\tilde{C}(p))$$

$[0, b)$  is the maximal interval on which  $t \mapsto \exp_p tv$  is defined.

命题 6.3.  $\forall p, q \in M, \exists$  two shortest curve connecting  $p$  and  $q$ ,

推论 6.4.  $\exp_p: E(p) \rightarrow \exp_p(E(P)) \subset M$  is injective.

证明. Suppose  $\exists V, W \in E(p)$  s.t.  $\exp_p(V) = \exp_p(W) = q$ .

$$t \mapsto \exp_p \left( t \frac{v}{\|v\|} \right)$$

$$t \mapsto \exp_p \left( t \frac{w}{\|w\|} \right)$$

Contradiction.

□

**推论 6.5.**  $\exp_p(E(P)) \cap C(p) = \emptyset$ .

证明. Suppose  $\exists v \in \tilde{C}(p), W \in E(p)$  s.t.  $\exp_p V = \exp_p W = q$

Contradiction.

□

Question:  $\exp_p(E(p)) \cup C(p) = M$ ?

$$\mathbb{R}^2 \setminus \{0\}$$

$$\forall q \in \exp_p(E_p) \cup C(p) = M?$$

## 7 Hopf-Rinow Theorem

3月11日27分14秒

任给  $p_0, q \in M, d(p_0, q) = r_0$ . 我们想要找  $p_0, q$  之间的最短线.

我们知道局部上总是可以做的, 问题是  $p_0, q$  可能离得很远.

思路是一步一步走.

选取以  $p_0$  为中心的一个 normal ball  $B(p_0, \rho_0)$ , 若  $q \in B(p_0, \rho_0)$ , 结束.

若  $q \notin B(p_0, \rho_0)$ , 假设  $p_0, q$  之间存在最短线  $\gamma$ , 易知

- $\gamma \cap \partial B(p_0, \rho_0) = \{pt\} =: \{p_1\}$ .

- $d(p_0, q) = \min_{p \in \partial B(p_0, \rho_0)} d(p, q)$ .

从  $p_1$  出发, 我们可以找一个 normal ball  $B(p_1, \rho_1)$ , 并重复上述操作.

问题是: (1)  $p_0$  到  $p_2$  的分段曲线是最短的吗? (2) 最终能达到  $q$  吗?

- $d(p_1, q) = r_0 - \rho_0$

- 假如  $d(p_1, q) < r_0 - \rho_0$ , 那么可以找到一条连接  $p_0, q$  的长度小于  $r_0$  的曲线, 矛盾.

- 假如  $d(p_1, q) > r_0 - \rho_0$ . 任选连接  $p_0, q$  的曲线  $\gamma$ ,  $Length(\gamma) \geq \rho_0 + d(p_1, q)$ .

取下确界, 得  $r_0 \geq \rho_0 + d(p_1, q) > r_0$ , 矛盾.

- $d(p_0, p_2) = \rho_0 + \rho_1$

- $d(p_0, p_2) \leq d(p_0, p_1) + d(p_0, p_2) = \rho_0 + \rho_1$ .

- $d(p_0, p_2) \geq d(p_0, q) - d(p_2, q) = r - (r - \rho_0 - \rho_1) = \rho_0 + \rho_1$ .

因此, 走了  $n$  步之后,  $p_0$  和  $p_n$  之间的连线仍是最短的.

3月11日55分24秒名场面: 方向决定道路, 道路决定命运.

容易举出一些例子使得 (2) 不成立, 为此我们附加一些额外的条件.

3月11日59分19秒

定义 7.1.

- injective radius at  $p \in M$ :  $i(p) = \sup \left\{ \rho > 0 \mid \exp_p|_{B(O, \rho)} \text{ is a diffeomorphism} \right\}$ .
- injective radius of  $M$ :  $i(M) = \inf_{p \in M} i(p)$ .

$M$  compact  $\implies i(M) > 0$ .

3月11日1小时4分52秒

Given  $p \in M$ ,

1. Assumption I:  $\overline{B_p(r)}$  is compact ( $\iff$  All closed bounded subsets of  $M$  is compact).
2. Assumption II:  $(M, g)$  is a complete metric space.
3. Assumption III:  $\exp_p(p)$  is defined on the whole space  $T_p M$ .

这三个条件都可以保证 (2). 下面用 Assumption III 推 (2).

3月21日1小时11分43秒

证明.  $p, V \in T_p M$   $c(t) = \exp_p tV$

Aim:  $c(r) = \exp_p(rV) = q$

Consider the set  $I := \{t \in [0, r] \mid d(c(t), q) = r - t\}$

□

1小时24分33秒

事实上, 上面几种假定是等价的, 这就是 Hopf-Rinow 定理.

3月15日2分31秒

**定理 7.2** (Hopf-Rinow, 1931). *Let  $(M, g)$  be a Riemannian manifold, TFAE*

- (1)  $(M, d_g)$  is a complete metric space.
- (2) All closed bounded subsets of  $M$  is compact.
- (3)  $\exists p \in M$ ,  $\exp_p$  is defined on the whole  $T_p M$ .
- (4)  $\forall p \in M$ ,  $\exp_p$  is defined on the whole  $T_p M$ .

Moreover, each of the statements (1) – (4) implies

- (5)  $\forall p, q \in M$  can be joined by a shortest curve.

**注记.** 原始论文: *Ueber den Begriff der vollständigen differentialgeometrischen Fläche*.

证明.

- (3)  $\implies$  (2)

Claim:  $\forall r > 0, \overline{B(p, r)}$  is compact.

For any bounded closed subset  $K$ ,  $\exists r_k$  such that  $K \subset \overline{B(p, r_k)}$ .

FACT:  $\overline{B(p, r)} = \exp_p(\overline{B(O_p, r)})$

- $\exp_p(\overline{B(O_p, r)}) \subset \overline{B(p, r)}$
- $\forall v \in \overline{B(O_p, r)}, d(p, \exp_p v) \leq r \implies \exp_p v \in \overline{B(p, r)}$
- $\forall q \in \overline{B(p, r)}$ ,

- (2)  $\implies$  (1)

- (1)  $\implies$  (4)

Suppose  $\exists p \in M$  and  $v \in T_p M$  such that the geodesic  $t \mapsto \exp_p tv$  is defined on the maximal interval  $[a, b)$ ,  $b < \infty$ .

For any  $\{t_n\} \subset [a, b)$  such that  $t_n \rightarrow b$ ,  $d(\exp_p t_n v, \exp_p t_m v) \leq \|v\|_g |t_n - t_m|$  and then  $\{\exp_p t_n v\}$  is a Cauchy sequence.

$\exists p_0 \in M$ ,  $\lim_{n \rightarrow +\infty} \exp_p t_n v = p_0$ , i.e.  $\forall \delta > 0, \exists N$  such that  $\exp_p t_n v \in B(p_0, \delta), \forall n \geq N$ .

□

**引理 7.3.** 内容...

## 8 Cut locus 2

3月15日39分24秒

**定理 8.1.** Let  $(M, g)$  be a complete Riemannian manifold, then

$$M = \exp_p(E(p)) \sqcup c(p).$$

**定理 8.2.** Let  $(M, g)$  be a complete Riemannian manifold.

Let  $\gamma: [a, b] \rightarrow M$  be a normal geodesic with  $p = \gamma(0)$ ,  $v$

证明. Choose a sequence of parameters

$$a_1 > a_2 > a_3 > \cdots, \quad \lim_{i \rightarrow +\infty} a_i = a.$$

By completeness,  $\exists v_i \in T_p M, \|v_i\| = 1$  such that

$$\gamma_i(t) = \exp_p t v_i, t \in [0, b_i]$$

is a shortest curve from  $p$  to  $\gamma(a_i)$ , where  $b_i = d(p, \gamma(a_i))$ .

Notice that  $v_i \neq v$ .

$$\lim_{i \rightarrow +\infty} p_i =$$

□

## 9 黎曼覆盖映射

## 10 Existence of shortest curves in given homotopy class

- isometry: 微分同胚, 度量等于拉回
- 

3月15日1小时26分15秒

**定理 10.1.** Let  $(M, g)$  be compact.

Then every homotopy class of closed curves in  $M$  contains a curve which is shortest in its homotopy class and a geodesic.

**引理 10.2.** Let  $(M, g)$  be compact,  $\exists \rho_0 > 0$  such that for any  $\gamma_0, \gamma_1: S^1 \rightarrow M$  be closed curves with  $d(\gamma_0(t), \gamma_1(t)) \leq \rho_0, \forall t \in S^1$  we have  $\gamma_0$  and  $\gamma_1$  are homotopic.

**引理 10.3.** A shortest curve in a homotopy class is geodesic.

证明. Let  $(\gamma)_{n \in \mathbb{N}}$  is a minimizing sequence for length in the homotopy class.

All are parametrized proportional to arc length.

We can find  $0 = t_0 < t_1 < \dots < t_n < t_{n+1} = 2\pi$  with the property that

$$\text{Length}(\gamma_n|_{t_i, t_{i+1}}) \leq \frac{\rho_0}{2}$$

□

## 11 title

### 11.1 前情回顾

#### Riemannian Covering map

$\pi: (\tilde{M}, \pi^*g) \rightarrow (M, g)$  smooth map

locally Riemannian isometry

isometry  $\varphi: (M, g_M) \rightarrow (N, g_N)$  is called an isometry if  $\varphi$  is diffeomorphism and  $g_M = \varphi^*g_N$

locally isometry  $\varphi: (M, g_M) \rightarrow (N, g_N)$  smooth map,  $\forall p \in M \exists U \in p$  such that  $\varphi|_U: U \rightarrow \varphi(U)$  is an isometry 问题: 对  $\varphi(U)$  有没有要求

locally Riemannian isometry  $\varphi: (M, g_M) \rightarrow (N, g_N)$  smooth map,  $\forall p \in M, d\varphi_p: T_p M \rightarrow T_{\varphi(p)} N$  is a linear isometry.

**命题 11.1.** Let  $\varphi: (M, g_M) \rightarrow (N, g_N)$  be a locally Riemannian isometry.

(1)  $\varphi$  maps geodesics to geodesics.

(2) For any  $\tilde{p}, \tilde{v} \in T_{\tilde{p}} M$ , we have

$$\varphi \circ (\exp_{\tilde{p}} \tilde{v}) = \exp_{\varphi(\tilde{p})} (d\varphi_{\tilde{p}}(\tilde{v})).$$

$$\begin{array}{ccc} T_{\tilde{p}} M & \xrightarrow{d\varphi(\tilde{p})} & T_{\varphi(\tilde{p})} N \\ \downarrow & & \downarrow \\ M & \xrightarrow{\varphi} & N \end{array}$$

(3)  $\varphi$  is distance non-increasing.

$$\forall \tilde{p}, \tilde{q}, d_N(\varphi(\tilde{p}), \varphi(\tilde{q})) \leq d_M(\tilde{p}, \tilde{q})$$

(4)  $\varphi$  is bijective, then it is distance preserving.

**定理 11.2.** ( $M, g_M$ ) complete Riemannian manifold,  $p, q \in M$ . Every homotopy class of paths from  $p$  to  $q$  contains a shortest curve.

证明. Assume that  $(M, g_M)$  complete  $\Rightarrow (\tilde{M}, \pi^*g)$  is complete.

□

**命题 11.3.** Let  $\pi: (\tilde{M}, \tilde{g}) \rightarrow (M, g)$  is a Riemannian covering map, then  $(M, g)$  complete iff  $(\tilde{M}, \tilde{g})$  complete.

证明.

ete  $\Rightarrow (\tilde{M}, \tilde{g})$  complete  $\forall \tilde{p} \in \tilde{M}, \tilde{v} \in T_{\tilde{p}}\tilde{M}, t \mapsto \exp_{\tilde{p}} t\tilde{v}$

$$p = \pi(\tilde{p}), v = d\pi(\tilde{p})(\tilde{v})$$

geodesic  $t \mapsto \exp_p tv$  is defined on  $[0, \infty)$

path lifting,  $\exists \tilde{\gamma}$  a path in  $\tilde{M}$  such that  $\tilde{\gamma}(0) = \tilde{p}, \pi \circ \tilde{\gamma} = \gamma$

$$\frac{d\tilde{\gamma}}{dt}|_{t=0} = \tilde{v}$$

ete  $\Rightarrow (M, g)$  complete  $\forall p \in M, \forall v \in T_p M, t \mapsto \exp_p tv$

□

**命题 11.4.** Let  $\pi: (\tilde{M}, \tilde{g}) \rightarrow (M, g)$  is a local Riemannian isometry. Suppose  $(\tilde{M}, \tilde{g})$  complete.

Then  $(M, g)$  is complete and  $\pi$  is a Riemannian covering map.

证明. (1)  $\pi$  is surjective.

$$\forall \tilde{p} \in \tilde{M}, p = \pi(\tilde{p}) \in M$$

$\forall q \in M, \exists$  a shortest geodesic  $\gamma$  from  $p$  to  $q$ .

Let  $\tilde{\gamma}$  be the lifting of  $\gamma$  starting at  $\tilde{p} = \tilde{\gamma}(0)$

$$\pi \circ \tilde{\gamma} = \gamma, q = \gamma(t_0), \pi \circ \tilde{\gamma}(t_0) = \gamma(t_0) = q$$

(2) evenly covered

$$p \in U, \pi^{-1}(U) = \bigsqcup_{\alpha \in \Lambda} \tilde{U}_\alpha$$

$\pi: \tilde{U}_\alpha \rightarrow U$  diffeomorphism

Normal ball  $B(p, \varepsilon)$

$\tilde{U}_\alpha = B(\tilde{p}_\alpha, \varepsilon)$  metric ball

(a)  $\tilde{U}_\alpha \cap \tilde{U}_\beta = \emptyset, \forall \alpha \neq \beta$

$$d(\tilde{p}_\alpha, \tilde{p}_\beta) \geq 2\varepsilon$$

(b)  $\pi^{-1}(U) = \bigcup_{\alpha \in \Lambda} \tilde{U}_\alpha$

- $\forall \tilde{q} \in \tilde{U}_\alpha$  for some  $\alpha \in \Lambda$

- $\exists$  a geodesic  $\tilde{\gamma}$  of length  $< \varepsilon$  from

□

$(U, x)$

$$\frac{d^2x^i(t)}{dt^2} + \Gamma_{jk}^i(x(t)) \frac{dx^j}{dt} \frac{dx^k}{dt} = 0, i = 1, \dots, n$$

## 12 能量泛函的变分 II

$$\begin{aligned}
 \frac{dE}{ds} &= \frac{1}{2} \int_a^b \frac{\partial}{\partial s} \left\langle \frac{\partial F}{\partial t}, \frac{\partial F}{\partial t} \right\rangle dt \\
 &= \int_a^b \left\langle \nabla_{\frac{\partial}{\partial s}} \frac{\partial F}{\partial t}, \frac{\partial F}{\partial t} \right\rangle dt \\
 &= \int_a^b \left\langle \nabla_{\frac{\partial}{\partial t}} \frac{\partial F}{\partial s}, \frac{\partial F}{\partial t} \right\rangle dt \\
 &= \int_a^b \frac{\partial}{\partial t} \left\langle \frac{\partial F}{\partial s}, \frac{\partial F}{\partial t} \right\rangle dt - \int_a^b \left\langle \frac{\partial F}{\partial s}, \nabla_{\frac{\partial}{\partial t}} \frac{\partial F}{\partial t} \right\rangle dt \\
 E'(0) &= \langle V, T \rangle \Big|_a^b - \int_a^b \langle V, \nabla_T T \rangle dt
 \end{aligned}$$

$\frac{\partial F}{\partial t}$  视作沿曲线  $F(t, \cdot)$  的向量场  
 $\frac{\partial F}{\partial t}$  视作沿  $F$  的向量场

3月29日1小时35分41秒

### 12.1 Gauss 引理

3月29日1小时36分33秒

### 12.2 第二变分公式

3月29日1小时51分30秒

# Chapter 3

## 联络、平行移动和协变导数

### 1 仿射联络

Aim: Given  $A \in \Gamma(\bigotimes^{r,s} TM)$ , define the derivative of  $A$  along  $X_p \in T_p M$  which lies in  $\bigotimes^{r,s} T_p M$ .

#### (0,0) tensor field

3月22日10分27秒

Given  $f \in C^\infty(M)$ ,  $X_p \in T_p M$ . Suppose  $\gamma: (-\varepsilon, \varepsilon) \rightarrow M$  satisfies  $\gamma(0) = p, \gamma'(0) = X_p$ . Then  
$$X_p f = \lim_{t \rightarrow 0} \frac{f(\gamma(t)) - f(\gamma(0))}{t} \stackrel{(U,x)}{\equiv} \lim_{t \rightarrow 0} \frac{f(x^1(t), \dots, x^n(t)) - f(x^1(0), \dots, x^n(0))}{t} = \frac{\partial f}{\partial x^i} \Big|_{x=p} \frac{dx^i}{dt} \Big|_{t=0}.$$

#### (1,0) tensor field

3月22日14分0秒

$Y \in \Gamma(TM)$

$D_{X(p)} Y = \lim_{t \rightarrow 0} \frac{Y(\gamma(t)) - Y(\gamma(0))}{t}, \gamma(0) = p, \gamma'(0) = X(p)$   
 $M = \mathbb{R}^n$ , directional derivative

- (1)  $D_{\alpha v} Y = \alpha D_v Y, \forall \alpha \in \mathbb{R}$
- (2)  $D_{v_1+v_2} Y = D_{v_1} Y + D_{v_2} Y$
- (3)  $D_v(Y_1 + Y_2) = D_v Y_1 + D_v Y_2$
- (4)  $D_v(fY) = v(f)Y_f D_v Y$  (Leibniz)

3月22日 21分36秒

**定义 1.1** (Affine connection). *An affine connection  $\nabla$  on a smooth manifold  $M$  is a map:*

$$\begin{aligned}\nabla: \Gamma(TM) \times \Gamma(TM) &\longrightarrow \Gamma(TM) \\ (X, Y) &\longmapsto \nabla(X, Y) = \nabla_X Y\end{aligned}$$

satisfying the following properties

- (1)  $\nabla_{fX+gY}Z = f\nabla_X Z + g\nabla_Y Z, \forall f, g \in C^{\infty(M)}, \forall X, Y, Z \in \Gamma(TM)$ , i.e.  $\nabla$  is tensorial in  $X$ .
- (2)  $\nabla_X(Y + Z) = \nabla_X Y + \nabla_X Z$ , i.e.  $\nabla$  is  $\mathbb{R}$  linear in  $Y$
- (3)  $\nabla_X(fY) = X(f)Y + f\nabla_X Y$

The vector field  $\nabla_X Y$  is called the covariant derivative (协变导数) of  $Y$  along  $X$  with respect to the connection  $\nabla$ .

3月22日 30分7秒

注记.

- (1) covariant differentiation (协变微分)

$$\begin{aligned}\nabla: \Gamma(TM) &\longrightarrow \Gamma \otimes \Gamma(T^*M) \\ Y &\longmapsto \nabla Y: \Gamma(T^*M) \times \Gamma(TM) \longrightarrow \mathbb{R} \\ (\alpha, X) &\longmapsto \nabla Y(\alpha, X) = \alpha(\nabla_X Y)\end{aligned}$$

- (2)  $\mathbb{R}^n$ , directional derivation

- (3)  $M, (U_\alpha, X_\alpha)$

$\nabla_X Y(p) \xrightarrow{p \in U_\alpha}$  directional derivative

$p \in (U, x), (V, y)$

$Y = f^i \frac{\partial}{\partial x^i}$  in  $(U, x)$

$\nabla_X Y(p) = D_{X(p)} f^i \frac{\partial}{\partial x^i}$

$Y = g^j \frac{\partial}{\partial y^j}$

$\nabla_X Y(p) = D_{X(p)} g^j \frac{\partial}{\partial y^j}$

$D_{X(p)} f^i \frac{\partial}{\partial x^i} = D_{x(p)} g^j \frac{\partial x^k}{\partial y^j} \frac{\partial}{\partial x^k}$

$= D_{X(p)} \left( f^k \frac{\partial y^j}{\partial x^k} \right) \frac{\partial x^k}{\partial y^j} \frac{\partial}{\partial x^k}$

$= D_{X(p)} (f^k) \frac{\partial y^i}{\partial x^k} \frac{\partial x^k}{\partial y^i} \frac{\partial}{\partial x^k} + f^k D_{X(p)} \left( \frac{\partial y^j}{\partial x^l} \right) \frac{\partial}{\partial x^k}$

$= D_{X(p)} f^k \frac{\partial}{\partial x^k} + f^l \frac{\partial x^k}{\partial y^l} D_{X(p)} \left( \frac{\partial y^j}{\partial x^l} \right) \frac{\partial}{\partial x^k}$

$$\begin{aligned}
&= f^l(D_{X(P)} \left( \frac{\partial x^k}{\partial y^i} \frac{\partial y^j}{\partial x^l} \right) - D_{X(p)} ( )) \\
&= -f^l \frac{\partial y^j}{\partial x^l} D_{X(p)} \left( \frac{\partial x^k}{\partial y^j} \right) \frac{\partial}{\partial x^k}
\end{aligned}$$

**Existence**

3月22日 45分33秒

 $M, (U_\alpha)_{\alpha \in A}$ partition of unity  $(V_\beta)_{\beta \in B}$ locally finite refinement  $(\varphi_\beta)_{\beta \in B}$ 

$$\nabla_X Y(p) := \sum_{\beta \in B} \varphi_\beta(p) D_X^{V_\beta} Y$$

$$\begin{aligned}
\nabla_X(fY) &= \sum_{\beta \in B} \varphi_\beta(p) \left( X(f)Y + f D_X^{V_\beta} Y \right) \\
&= \sum_{\beta \in B} \varphi_\beta(p) X(f)(p)Y(p) + f \nabla_X Y = Xf \cdot Y + f \nabla_X Y
\end{aligned}$$

**引理 1.2.** If  $\nabla^{(1)}, \dots, \nabla^{(k)}$  are affine connections on  $M$  and  $f_1, \dots, f_k \in C^\infty(M)$  such that  $\sum_{i=1}^k f_i(x) = 1, \forall x \in M$ . Then  $\sum_{i=1}^k f_i \nabla^{(i)}$  is an affine connection on  $M$ .

**Locality**

3月22日 1小时0分58秒

**命题 1.3.** For any open  $U \subset M$ , if  $X|_U = \tilde{X}|_U$  and  $Y|_U = \tilde{Y}|_U$  Then

$$\nabla_X Y|_U = \nabla_{\tilde{X}} \tilde{Y}|_U$$

证明. Aim  $\nabla_X Y|_U = \nabla_{\tilde{X}} \tilde{Y}|_U = \nabla_{\tilde{X}} \tilde{Y}|_U$ (1) Claim If  $X|_U \equiv 0$ , then  $\nabla_X Y|_U = 0$ . $\forall p \in U, \exists$  compact  $V \subset U$  such that  $f \in C_0^\infty(U), f = 1$  on  $V$ We have  $(1-f)X = X$ 

$$\nabla_X Y(p) = \nabla_{(1-f)X} Y(p) = 1 - f(p) \nabla_X Y(p).$$

(2) Claim If  $Y|_U = 0$ , then  $\nabla_X Y|_U = 0$ 

$$(1-f)Y = Y$$

$$\nabla_X Y(p) = \nabla_X (1-f)Y(p) = X(1-f)(p)Y(p) - (1-f(p)) \nabla_X Y(p) = 0$$

□

$$\begin{aligned}
\nabla_X Y(p), p \in (U, x), X &= X^i \frac{\partial}{\partial x^i}, Y = Y^j \frac{\partial}{\partial x^j} \\
\nabla_X Y(p) &= \nabla_{X^i \frac{\partial}{\partial x^i}} \left( Y^j \frac{\partial}{\partial x^j} \right) (p)
\end{aligned}$$

$$\begin{aligned}
&= X^i \nabla_{\frac{\partial}{\partial x^i}} \left( Y^j \frac{\partial}{\partial x^j} \right) = X^i \frac{\partial Y^j}{\partial x^i} \frac{\partial}{\partial x^j} + X^i(p) Y^j(p) \nabla_{\frac{\partial}{\partial x^i}} \frac{\partial}{\partial x^j}(p) \\
&= \left( X^i \frac{\partial Y^k}{\partial x^i} X^i Y^j \alpha_{ij}^k \right) \frac{\partial}{\partial x^k}
\end{aligned}$$

**命题 1.4.** If  $X(p) = \tilde{X}(p)$ , then  $\nabla_X Y(p) = \nabla_{\tilde{X}} Y(p)$ .

$\forall v \in T_p M$ ,  $\nabla_v Y(p) = \nabla_X Y(p)$  such that  $X(p) = v$ . 问题: 如果  $Y$  在某点处为零, 对他求协变导数是否不依赖于联络的信息.

**命题 1.5.** Let  $\gamma: (-\varepsilon, \varepsilon) \rightarrow M$  be a  $C^\infty$  curve on  $M$  with  $\gamma(0) = p, \gamma'(0) = v$ . Suppose  $Y(\gamma(t)) = \tilde{Y}(\gamma(t)), t \in (-\varepsilon, \varepsilon)$ , then  $\nabla_v Y(p) = \nabla_v \tilde{Y}(p)$ .

## 2 向量丛上的联络

### 2.1 联络在局部标架下的表示：联络形式

### 3 诱导联络

#### 3.1 沿曲线的协变导数

**定义 3.1.** 给定  $C^\infty$  曲线  $c: [a, b] \rightarrow M$ , 称  $V: [a, b] \rightarrow M$  是沿  $c$  的向量场, 如果  $V(t) \in T_{c(t)}M$ . 我们称  $V$  是光滑的, 如果对任意的  $f \in C^\infty(M)$ , 函数  $V(t)f$  是光滑的. 记沿  $c$  的光滑向量场全体为  $\Gamma(TM|_c)$ , 它显然是  $C^\infty([a, b])$  模.

在一个坐标卡  $(U, x)$  中,  $V(t)$  能够被表达为

$$V(t) = V^i(t) \frac{\partial}{\partial x^i} \Big|_{c(t)}.$$

容易验证  $V$  是光滑的  $\iff V^i(t)$  是光滑的,  $1 \leq i \leq n$ .

**命题 3.2.** 设  $M$  是光滑流形,  $\nabla$  是其上的仿射联络. 存在唯一的  $\frac{D}{dt}: \Gamma(TM|_c) \rightarrow \Gamma(TM|_c)$  满足

$$(1) \quad \frac{D(V + W)}{dt} = \frac{DV}{dt} + \frac{DW}{dt}$$

$$(2) \quad \frac{D(fV)}{dt} = \frac{df}{dt}V + f \frac{DV}{dt}, \forall f \in C^\infty([a, b])$$

$$(3) \quad \text{如果存在 } X \in \mathfrak{X}(M) \text{ 使得 } V(t) = X(c(t)), \text{ 那么 } \frac{DV}{dt} = \nabla_{c'(t)}X.$$

#### 3.2 诱导联络

设  $M, N$  是光滑流形,  $\varphi: N \rightarrow M$  是光滑映射. 称  $V: N \rightarrow M$  是沿  $\varphi$  的向量场, 如果  $V(x) \in T_{\varphi(x)}M$ .  $(M, \nabla)$

$\frac{DV}{dt}$ ,  $V$  vector field along a curve  $c$   
induced connection

$c: (-\varepsilon, \varepsilon) \rightarrow M$

Let  $\varphi: N \rightarrow M$   $C^\infty$  map.

A  $C^\infty$  vector field along  $\varphi$ .

$x \in N \mapsto V(x) \in T_{\varphi(x)}M$

$\varphi(x) \in M$ , frame field  $E_i$  in a neighborhood

$V(x) = \sum V^i(x)E_i(\varphi(x))$  其中  $V^i$  看作  $N$  上的函数

Given  $u \in T_x N$ ,  $\tilde{\nabla}_u V = \sum u(V^i)E_i(\varphi(x)) + V^i(x)\nabla_{d\varphi(x)(u)}E_i(\varphi(x))$

induced connection

更多内容可参考刘老师 18 年的某次作业

## 4 平行移动

上节的铺垫使得我们能够讨论平行性.

**定义 4.1.** 称沿曲线  $c: [a, b] \rightarrow M$  的向量场  $V$  是平行的如果  $\frac{DV}{dt} \equiv 0$ .

3月22日第二段8分8秒

给定一个切向量  $V_a \in T_{c(a)}M$ , 假使能够找到一个沿  $c$  的平行切向量场  $V$  满足  $V(a) = V_a$ , 我们便可认为  $V_a$  沿曲线  $c$  平行地移动到了  $c(t)$  处成为  $V(t)$ .

我们问  $V$  是否存在? 在多大的范围内存在? 这等价于去解方程  $\frac{DV}{dt} = 0$ .

回忆测地线方程是一个非线性 ODE, 因此我们只有解的局部存在性. 而这里  $\frac{DV}{dt} = 0$  是一个线性方程, 从而可保证解整体存在.

**命题 4.2.** 设  $c: [a, b] \rightarrow M$  是光滑曲线. 设  $V_0 \in T_{c(t_0)}M$ ,  $t_0 \in [a, b]$ , 那么存在唯一的沿  $c$  平行的向量场  $V$  使得  $V(t_0) = V_0$ .

证明.  $c(I) \subset (U, x)$

□

3月22日第二段14分35秒

**命题 4.3.** Let  $c$  be a  $C^\infty$  curve with  $c(0) = p, c'(0) = X(p)$

Let  $Y \in \Gamma(TM)$

$$\text{Then } \nabla_{X(p)} Y = \lim_{h \rightarrow 0} \frac{P_{c,0,h}^Y(c(h)) - Y(c(0))}{h}$$

证明. Let  $V_1, \dots, V_n$  be parallel vector fields along  $c$  which is linearly independent.

$Y(c(t)) = f^i(t)V_i(t)$ , 这是一件非常方便的事情

$$\begin{aligned} RHS &= \lim_{h \rightarrow 0} \frac{f_i(h)V_i(0) - f^i(0)V_i(0)}{h} = \frac{df^i}{dh} \Big|_{h=0} V_i(0) \\ &= \frac{D}{dt}(f^i(t)V_i(t)) \Big|_{t=0} \\ &= \frac{DY}{dt}(0) = \nabla_{\frac{dc}{dt}(0)} Y = \nabla_{X(p)} Y \end{aligned}$$

□

## 5 张量场的协变导数

**定理 5.1.** 设  $M$  是光滑流形,  $\nabla$  是其上的仿射联络, 那么存在唯一的映射

$$\nabla: \Gamma(TM) \times \Gamma\left(\bigotimes^{r,s} TM\right) \rightarrow \Gamma\left(\bigotimes^{r,s} TM\right)$$

满足

$$(1) \quad \nabla_{fX+gY} A = f\nabla_X A + g\nabla_Y A$$

$$(2) \quad \nabla_X(A_1 + A_2) = \nabla_X A_1 + \nabla_X A_2$$

$$(3) \quad \nabla_X(fA) = (Xf)A + f\nabla_X A$$

(4) 当  $A \in C^\infty(M)$  或  $\Gamma(TM)$  时,  $\nabla$  与给定的仿射联络一致.

$$(5) \quad \nabla_X(A_1 \otimes A_2) = (\nabla_X A_1) \otimes A_2 + A_1 \otimes \nabla_X A_2$$

$$(6) \quad C(\nabla_X A) = \nabla_X(CA), \text{ 其中 } C: \Gamma\left(\bigotimes^{r,s} TM\right) \rightarrow \Gamma\left(\bigotimes^{r-1,s-1} TM\right)$$

证明.  $A \in \Gamma\left(\bigotimes^{r,s} TM\right)$

$$A = A_{j_1 j_2 \dots j_s}^{i_1 i_2 \dots i_r} Y_{i_1} \otimes Y_{i_2} \otimes \dots \otimes Y_{i_r} \otimes \omega^{j_1} \otimes \dots \otimes \omega^{j_s}$$

$$\nabla_X A = \sum \nabla_X$$

$$= \sum X(A_{j_1 \dots j_s}^{i_1 \dots i_r}) Y_{i_1}$$

线性, Leibniz

唯一的问题是如何对微分 1 形式求导

$$\omega \in \Omega^1(M) = \Gamma(T^*M)$$

$$\nabla_X \omega?$$

$$\forall Y \in \Gamma(TM), \omega(Y) \in C^\infty(TM)$$

$$X(\omega(Y)) = \nabla_X(\omega(Y)) = \nabla_X(C(\omega \otimes Y)) = C(\nabla_X(\omega \otimes Y))$$

$$C(\nabla_X \omega \otimes Y + \omega \otimes \nabla_X Y)$$

$$\nabla_X(\omega)Y + \omega(\nabla_X Y)$$

$$(\nabla_X \omega) = X(\omega(Y)) - \omega(\nabla_X Y)$$

$\Rightarrow$  uniqueness □

**注记.** (1) is a consequence of the other assumptions.

不是那么令人惊讶, 这是说在这里是多余的, 而不是在仿射联络的最初定义中也是多余的

$$\forall X, Y, Z \in \Gamma(TM)$$

$$f, g \in C^\infty(TM), \omega \in \Gamma(T^*M)$$

$$\text{证明. } (fX + gY)\omega(Z) = \nabla_{fX+gY}\omega(Z) + \omega(\nabla_{fX+gY})Z$$

$$= fX(\omega(Z)) + gY(\omega(Z))$$

□

**推论 5.2.**  $\forall A \in \Gamma\left(\bigotimes^{r,s} TM\right), \omega_\alpha \in \Gamma(T^*M), \alpha = 1, 2, \dots, r, Y_j \in \Gamma(TM), j = 1, \dots, s$

$$\text{We have } (\nabla_X A)(\omega_1, \dots, \omega_s; Y_1, \dots, Y_s)$$

$$= A(\omega_1, \dots, \omega_r, Y_1, \dots, Y_s)$$

locality

$\nabla_X A(p)$  only depends on  $X$  at  $p$  and  $Y$  in  $U \ni p$ .

$(M, \nabla)$

$\varphi: V \rightarrow W$  isomorphism

$\varphi^*: W^* \rightarrow V^*$  isomorphism,  $\alpha \mapsto \varphi^*(\alpha)$

$\forall v \in V, \varphi^*(\alpha)(v) := \alpha(\varphi(v))$

$P_{c,0,t}: T_{c(0)}M \rightarrow T_{c(t)}M$

$\longrightarrow \tilde{P}_{c,0,t}: \bigotimes^{r,s} T_{c(0)}M \rightarrow \bigotimes^{r,s} T_{c(t)}M$

$v_1 \otimes \cdots \otimes v_r \otimes \omega^1 \otimes \cdots \otimes \omega^r \mapsto P_{c,0,t}(v_1) \otimes \cdots \otimes$

Define  $\nabla_{X(p)}A := \lim_{h \rightarrow 0} \frac{\tilde{P}}{h}$

**定义 5.3.** A tensor field is called parallel if  $\nabla_X A = 0, \forall X \in \Gamma(TM)$ .

$$\begin{aligned} c(t) &= (c^1(t), \dots, c^n(t)) \\ \frac{Dc'(t)}{dt} &= \frac{D}{dt} \left( \frac{dc^i(t)}{dt} \frac{\partial}{\partial x^i} \right) \\ &= \frac{d^2c^i(t)}{dt^2} \frac{\partial}{\partial x^i} + \frac{dc^i(t)}{dt} \nabla_{\frac{dc^j}{dt} \frac{\partial}{\partial x^j}} \frac{\partial}{\partial x^i} \\ &= \left( \frac{d^2c^k(t)}{dt^2} \right) \frac{\partial}{\partial x^k} \end{aligned}$$

## 6 Levi-Civita 联络

**定义 6.1.** 设  $(M, g)$  是黎曼流形, 称其上的仿射联络  $\nabla$  为 *Levi-Civita* 联络如果

(1)  $\nabla$  是无挠的, 即  $\nabla_X Y - \nabla_Y X = [X, Y]$ .

(2)  $\nabla$  是度量相容的, 即  $\nabla g = 0$ .

**命题 6.2.**  $\nabla$  是无挠的  $\iff \Gamma_{ij}^k = \Gamma_{ji}^k$ .

证明. 容易看出  $\Gamma_{ij}^k = \Gamma_{ji}^k \iff \nabla_{\partial_i} \partial_j = \nabla_{\partial_j} \partial_i$ . 那么

$$\begin{aligned}\nabla_X Y &= \nabla_{X^i \partial_i} (Y^j \partial_j) \\ &= X^i \frac{\partial Y_j}{\partial x^i} \frac{\partial}{\partial x^j} + X^i Y^j \nabla_{\partial_i} \partial_j \\ &= \nabla_Y X + [X, Y].\end{aligned}$$

□

**命题 6.3.**  $\nabla$  是度量相容的  $\iff g_{ij,l} = g_{ik} \Gamma_{jl}^k + g_{kj} \Gamma_{il}^k$ .

证明. □

**定理 6.4** (黎曼几何基本定理). 任意黎曼流形  $(M, g)$  上存在唯一的 Levi-Civita 联络.

局部坐标下的证明. 假定存在性. 轮换一下就能说明  $\Gamma_{ij}^k = \frac{1}{2} g^{kl} (g_{il,j} + g_{jl,i} - g_{ij,l})$ . □

不用坐标的证明. Suppose existence.

Given  $X, Y \in \Gamma(TM)$ , we can determine  $\nabla_X Y$  by determine  $\langle \nabla_X Y, Z \rangle$  for any  $Z \in \Gamma(TM)$ .

$$\begin{aligned}\langle \nabla_X Y, Z \rangle &\stackrel{(2)}{=} X \langle Y, Z \rangle - \langle Y, \nabla_X Z \rangle \\ &\stackrel{(1)}{=} X \langle Y, Z \rangle - \langle Y, \nabla_Z X + [X, Z] \rangle \\ &= X \langle Y, Z \rangle - \langle Y, [X, Z] \rangle - \langle Y, \nabla_Z X \rangle \\ &\stackrel{(2)}{=} X \langle Y, Z \rangle - \langle Y, [X, Z] \rangle - Z \langle Y, X \rangle + \langle \nabla_Z Y, X \rangle \\ &\stackrel{(1)}{=} X \langle Y, Z \rangle - \langle Y, [X, Z] \rangle - Z \langle Y, X \rangle + \langle \nabla_Y Z + [Z, Y], X \rangle \\ &= X \langle Y, Z \rangle - \langle Y, [X, Z] \rangle - Z \langle Y, X \rangle + \langle X, [Z, Y] \rangle + \langle \nabla_Y Z, X \rangle \\ &\stackrel{(2)}{=} X \langle Y, Z \rangle - \langle Y, [X, Z] \rangle - Z \langle Y, X \rangle + \langle X, [Z, Y] \rangle + Y \langle Z, X \rangle - \langle Z, \nabla_Y X \rangle \\ &\stackrel{(1)}{=} X \langle Y, Z \rangle - \langle Y, [X, Z] \rangle - Z \langle Y, X \rangle + \langle X, [Z, Y] \rangle + Y \langle Z, X \rangle - \langle Z, \nabla_X Y + [Y, X] \rangle \\ 2 \langle \nabla_X Y, Z \rangle &= X \langle Y, Z \rangle + Y \langle Z, X \rangle - Z \langle X, Y \rangle - \langle X, [Y, Z] \rangle + \langle Y, [Z, X] \rangle + \langle Z, [X, Y] \rangle\end{aligned}$$

□

**引理 6.5.** 设  $(M, g)$  是黎曼流形,  $\nabla$  是其上与  $g$  相容的联络. 设  $c: (a, b) \rightarrow M$  是光滑曲线,  $\frac{D}{dt}$  是  $\nabla$  诱导的沿曲线的协变导数. 设  $V(t), W(t)$  是沿  $c$  的光滑曲线, 那么

$$\frac{d}{dt} \langle V(t), W(t) \rangle = \left\langle \frac{DV}{dt}(t), W(t) \right\rangle + \left\langle V(t), \frac{DW}{dt}(t) \right\rangle.$$

证明. 设在坐标邻域  $(U, x)$  中  $V(t) = V^i(t) \frac{\partial}{\partial x^i} \Big|_{c(t)}, W(t) = W^j(t) \frac{\partial}{\partial x^j} \Big|_{c(t)}$ , 那么

$$\begin{aligned} \text{LHS} &= \frac{d}{dt} \left( V^i(t) W^j(t) \left\langle \frac{\partial}{\partial x^i} \Big|_{c(t)}, \frac{\partial}{\partial x^j} \Big|_{c(t)} \right\rangle \right) \\ &= \left\langle \frac{dV^i}{dt}(t) \frac{\partial}{\partial x^i} \Big|_{c(t)}, W(t) \right\rangle + \left\langle V(t), \frac{dW^j}{dt}(t) \frac{\partial}{\partial x^j} \Big|_{c(t)} \right\rangle + V^i(t) W^j(t) \frac{d}{dt} \left\langle \frac{\partial}{\partial x^i} \Big|_{c(t)}, \frac{\partial}{\partial x^j} \Big|_{c(t)} \right\rangle \\ &= \left\langle \frac{dV^i}{dt}(t) \frac{\partial}{\partial x^i} \Big|_{c(t)}, W(t) \right\rangle + \left\langle V(t), \frac{dW^j}{dt}(t) \frac{\partial}{\partial x^j} \Big|_{c(t)} \right\rangle + V^i(t) W^j(t) \frac{d}{dt} g \left( \frac{\partial}{\partial x^i} \Big|_{c(t)}, \frac{\partial}{\partial x^j} \Big|_{c(t)} \right) \\ &= \left\langle \frac{dV^i}{dt}(t) \frac{\partial}{\partial x^i} \Big|_{c(t)}, W(t) \right\rangle + \left\langle V(t), \frac{dW^j}{dt}(t) \frac{\partial}{\partial x^j} \Big|_{c(t)} \right\rangle + V^i(t) W^j(t) c'(t) g \left( \frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j} \right) \\ &= \left\langle \frac{dV^i}{dt}(t) \frac{\partial}{\partial x^i} \Big|_{c(t)}, W(t) \right\rangle + \left\langle V(t), \frac{dW^j}{dt}(t) \frac{\partial}{\partial x^j} \Big|_{c(t)} \right\rangle + V^i(t) W^j(t) \nabla_{c'(t)} g \left( \frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j} \right) \\ &= \left\langle \frac{DV}{dt}, W \right\rangle + \left\langle V, \frac{DW}{dt} \right\rangle \end{aligned}$$

□

注记.

**命题 6.6.** 设  $(M, g)$  是黎曼流形,  $\nabla$  是其上的仿射联络. 那么  $\nabla$  与  $g$  相容当且仅当任意平行移动是等距同构.

证明.  $c: [a, b] \rightarrow M$  curve

$$\mathcal{P}_{c,a,t}: T_{c(a)}M \rightarrow T_{c(t)}M$$

•

- 任意  $X, Y, Z \in \Gamma(TM), \forall p \in M$

□

**命题 6.7.** 设  $\nabla$  是  $M$  上的无挠联络. 设  $s: \mathbb{R}^2 \rightarrow M \in C^\infty$ ,  $V$  是沿  $s$  的光滑向量场. 那么

$$\tilde{\nabla}_{\frac{\partial}{\partial x}} s_* \frac{\partial}{\partial y} = \tilde{\nabla}_{\frac{\partial}{\partial y}} s_* \frac{\partial}{\partial x}.$$

证明. 直接在局部坐标下计算. □

## 7 能量泛函的第二变分公式与曲率张量

## 8 协变微分与 Ricci 恒等式

4月1日1小时23分16秒

### 8.1 局部坐标下的协变微分

## 9 算符

9.1 Hessian

9.2 散度

9.3 梯度

•

9.4 拉普拉斯

## 10 Bianchi 恒等式

- 第一 Bianchi 恒等式的 global 版本、证明和局部版本
- 第二 Bianchi 恒等式的 global 版本、证明和局部版本

**命题 10.1.** 设  $M$  是光滑流形,  $\nabla$  是其上无挠的仿射联络,  $R$  是相应的的曲率张量. 那么对于任意  $X, Y, Z, W \in \Gamma(TM)$ , 我们有

$$(1) \text{ (第一 Bianchi 恒等式)} \quad R(X, Y)Z + R(Y, Z)X + R(Z, X)Y = 0.$$

$$(2) \text{ (第二 Bianchi 恒等式)} \quad (\nabla_X R)(Y, Z)W$$

## 11 Riemann 曲率张量

12 截面曲率

13 高斯绝妙定理

14 Ricci 曲率

15 数量曲率

16 Bochner 公式

# Chapter 4

## Jacobi 场

### 1 Jacobi 场

**定义 1.1.** 设  $\gamma: [a, b] \rightarrow M$  是一条测地线. 对于  $t_0, t_1 \in [a, b]$ , 如果存在沿  $\gamma$  的不恒为零的 Jacobi 场  $U(t)$ , 满足  $U(t_0) = U(t_1) = 0$ , 则称  $t_0, t_1$  是沿  $\gamma$  的共轭值. 将所有这样的 Jacobi 场与恒为零的向量场所构成的线性空间的维数称作  $t_0$  和  $t_1$  作为共轭值的重数. 称  $\gamma(t_0)$  和  $\gamma(t_1)$  为沿  $\gamma$  的共轭点.

## 2 Morse 指标定理

### 3 Cartan-Hadamard 定理

## 4 空间形式

**定义 4.1.** 称常截面曲率的完备黎曼流形为**空间型**.

**引理 4.2.** 内容...

**定理 4.3.** 设  $(M_i^n, g_i)$  是单连通、截面曲率为  $c$  的空间型. 设  $p_i \in M_i$ ,  $\{e_i^1, \dots, e_i^n\}$  是  $T_{p_i} M_i$  的标准正交基, 那么存在唯一的保距映射  $\varphi: M_1 \rightarrow M_2$  使得  $\varphi(p_1) = p_2, \varphi_{*,p}(e_1^j) = e_2^j$ .

## 5 单连通空间形式的等距群

### 5.1 $\mathbb{R}^n$

**命题 5.1.**  $Iso(\mathbb{R}^n) \cong T(n) \rtimes O(n)$ .

证明. 假设  $f \in Iso(\mathbb{R}^n)$  满足  $f(0) = 0$ , 否则考虑  $\tilde{f} = f - f(0)$ .

(1)  $f$  保持内积. 因为  $f$  保持距离, 所以对任意  $x, y \in \mathbb{R}^n$ , 有

$$\|f(x) - f(y)\|^2 = \|x - y\|^2 \implies \langle f(x), f(y) \rangle = \langle x, y \rangle.$$

(2)  $f$  是线性的.

- $\|f(ax) - af(x)\|^2 = \|f(ax)\|^2 + \|af(x)\|^2 - 2\langle f(ax), af(x) \rangle = \|ax\|^2 + \|ax\|^2 - 2\|ax\|^2 = 0$ .
- $\|f(x+y) - f(x) - f(y)\|^2 \xrightarrow{\text{展开}} \dots \xrightarrow{\text{脱 } f} \dots \xrightarrow{\text{合并}} \|x+y-x-y\|^2 = 0$ .

(3)  $f \in O(n)$ .

□

注记. 证明了稍稍强一点的事: 等距  $\implies$  双射.

### 5.2 $\mathbb{S}^n$

**命题 5.2.**  $Iso(\mathbb{S}^n) \cong O(n+1)$ .

证明. <https://math.stackexchange.com/questions/130193/isometries-of-mathbb{S}^n>

□

### 5.3 $\mathbb{H}^n$

## 6 Killing-Hopf 定理

## 7 距离函数

# Chapter 5

## 比较定理

### 1 Sturm 比较定理

## 2 Rauch 比较定理

### 3 Hessian 比较定理

## 4 Laplacian 比较定理

## 5 体积比较定理

## Chapter 6

# 规范理论