## Algebraic K-theory and K-theory of assembler

### **Rixin Fang**

#### Shanghai Center for Mathmatical Sciences Fudan University

September 4, 2023



	Fan	

• • • • • • • • • • • •

## 1 What is K-theory

### 2 Why should we care about K-theory



3 How do we understand K-theory





- The story begin with the study of finite locally free sheaves on schemes, for simplicity we may consider the affine case.
- Let *R* be a commutative ring, *P*(*R*) be the set of all finitely generated projective *R*-modules.
- Now, consider P(R)/ ~ be the set of isomorphism classes of P(R).
   (P(R)/ ~, ⊕) is a commutative monoid.

### Definition

The 0th K theory of R,  $K_0(R) := (P(R)/\sim)^{\text{gp}}$ .

### Remark

The finiteness condition is essential, if we consider all projective module, we will get 0 by Eilenberg Swindle argument. If we consider M(R), all finitely generated R-module, we will get G-theory. In generally,  $G_0(R)$  is different from  $K_0(R)$ .

# $K_1$ and $K_2$

Let  $GL(R) = \bigcup_{n \ge 1} GL_n(R)$ ,  $E(R) \subseteq GL(R)$  be the subgroup generated by elementary matrices.

### Definition

$$K_1(R) := \operatorname{GL}(R)^{\operatorname{ab}} \simeq \operatorname{GL}(R)/E(R).$$

### Definition

Let St(R) be the Steinberg group of R, roughly St(R) =<  $x_{i,j}(r) >_{i>0,j>0, i \neq j, r \in R} / \sim$ . There is a natural surjection  $\phi : St(R) \rightarrow E(R)$ . Now,  $K_2(R) := \ker(\phi)$ .

Is there  $K_3, K_4, \ldots$ 

4/22

# Higher algebraic K-theory

- Roughly speaking, higher algebraic K-theory is also the output of group completion, but the input equipped with higher structure in the sense of HTT.
- By abuse of notation, we denote P(R) to be the category of finitely generated projective *R*-modules.

### Definition

A monoidal category is a category C with a functor  $\bigoplus : C \times C \to C$  and an object  $0 \in Obj C$ . And natural isomorphisms

$$\alpha_{X,Y,Z} : (X \oplus Y) \oplus Z \cong X \oplus (Y \oplus Z),$$
$$\lambda_X : 0 \oplus X \cong X,$$
$$\rho_X : X \oplus 0 \cong X.$$

And some compatible conditions that you could imagine should be satisfied.

Rixin Fang

### Definition

A symmetric monoidal category is a monoidal category C, together with natural isomorphism  $\gamma_{X,Y} : X \oplus Y \cong Y \oplus X$ . And some compatible conditions that you could imagine should be satisfied.

It is clear that P(R) is a symmetric monoidal category. using P(R) we can actually get an  $E_{\infty}$ -monoid, a higher version of commutative monoid.

## Definition (Segal)

Γ is the category whose objects are pointed finite sets, whose morphism  $S \to T$  is a map  $\theta : S \to \mathcal{P}(T)$  such that  $\theta(\alpha)$  and  $\theta(\beta)$  are disjoint for  $\alpha \neq \beta$ . The composition of  $\theta : S \to \mathcal{P}(T)$  and  $\varphi : T \to \mathcal{P}(U)$  is  $\psi : S \to \mathcal{P}(U)$  where  $\psi(\alpha) = \bigcup_{\beta \in \theta(\alpha)} \varphi(\beta)$ . We denote **n** to be the set  $\{1, 2, ..., n\}_+$ .

<ロト <回 > < 回 > < 回 > < 回 > <

# Higher algebraic K-theory

## Definition

An  $E_{\infty}$ -monoid is a contravariant functor C form  $\Gamma$  to category of spaces such that:

- $\mathcal{C}(\emptyset_+)$  is contractable.
- for each *n*, the morphism  $p_n : \mathcal{C}(\mathbf{n}) \to \underbrace{\mathcal{C}(\mathbf{1}) \times \cdots \times \mathcal{C}(\mathbf{1})}_{induced}$  induced by

 $i_k : \mathbf{1} \to \{k\} \subseteq \mathbf{n}$  is homotopy equivalence.

### Definition

A grouplike  $E_{\infty}$ -monoid is an  $E_{\infty}$ -monoid such that  $\pi_0(\mathcal{C}(\mathbf{1}))$  is a group.

### Theorem (Segal)

The category of grouplike  $E_{\infty}$ -monoid is equivalence to the category of connective spectra.

**Rixin Fang** 

# Higher algebraic K-theory

Let  $Mon_{E_{\infty}}$  to be the category of  $E_{\infty}$ -monoid,  $Mon_{E_{\infty}}^{gp}$  be the category of grouplike  $E_{\infty}$ -monoid.

#### Theorem

The inclusion  $Mon_{E_{\infty}}^{gp} \subset Mon_{E_{\infty}}$  admits a left adjoint, called group completion.

Now, we can conclude as follows.

- First, we have a monoidal category P(R).
- There is a way to get an E<sub>∞</sub>-monoid from P(R), namely consider the summing functor and compose the nerve functor.
- Then we use the group completion to get a grouplike  $E_{\infty}$ -monoid.
- By the theorem of Segal, we actually get a connective spectrum, we denote this spectrum to be K(R).

## More concrete

Let us consider  $S = P(R)^{\simeq}$  be the sub groupoid of P(R). We know BS = |NS| is a homotopy associative H space. There is a group completion  $B(S^{-1}S)$  of BS, and  $B(S^{-1}S)$  is the 0th space of K(R) defined before.

#### Theorem

We have a homotopy equivalence  $B(S^{-1}S) \simeq K_0(R) \times B(GL(R))^+$ .

### Definition

The plus construction of pair  $(B \operatorname{GL}(R)), E(R))$  is a space  $B(\operatorname{GL}(R))^+$  and a map  $f : B(\operatorname{GL}(R)) \to B(\operatorname{GL}(R))^+$  such that  $\pi_1(B \operatorname{GL}(R)^+) \cong \operatorname{GL}(R)/E(R)$ , and for any local system L on  $B(\operatorname{GL}(R))^+$  $H_*(B(\operatorname{GL}(R)), f^*L) \cong H_*(B(\operatorname{GL}(R))^+, L)$ . And  $B(\operatorname{GL}(R))^+$  is initial object among these properties.

9/22

- Let  $\mathcal{M}$  be an exact category, i.e. a full sub category of an abelian category, with a collection of (admissible) short exact sequences satisfies some conditions.
- In our case  $\mathcal{M}$  will be P(R), and admissible exact sequences will be all exact sequences.
- Let Q be the Q-construction of  $\mathcal{M}$ , the objects of  $\mathcal{M}$  can be represented by. And morphisms are defined in a more subtle way.
- Now,  $\Omega|BQM|$  is homotopy equivalence to  $K_0(R) \times B(GL(R))^+$ .
- And actually, algebraic K theory can be defined for a stable  $\infty\text{-}\mathsf{category}$  using Waldhausen construction.
- So we can actually consider K(S), by consider the ∞-category of (compact) S-modules which is a stable ∞-category. And for commutative ring R, HR to be the Eilenberg-Maclane spectrum, we should consider the ∞-category of HR-modules.

# K-theory of assembler

Now let us consider the Grothendieck ring of varieties over k.

### Definition

 $K_0(\operatorname{Var}_k) := \mathbb{Z}[\operatorname{Var}_k]/R$ .  $\mathbb{Z}[\operatorname{Var}_k]$  is the free abelian group generated by isomorphism class of varieties over k. And R is the subgroup of  $\mathbb{Z}[\operatorname{Var}_k]$ generated by  $[X] - [Y] - [X \setminus Y]$ , where Y is a closed subvariety of X. And the multiplication of  $K_0(\operatorname{Var}_k)$  is defined to be  $[X] \cdot [Y] := [(X \times_k Y)_{\text{red}}]$ . We denote the class of  $\mathbb{A}^1_k$  in  $K_0(\operatorname{Var}_k)$  as  $\mathbb{L}$ , called *Lefschetz motive*.  $\mathcal{M}_k := K_0[\operatorname{Var}_k][\mathbb{L}^{-1}]$ .

#### Definition

The Higher K-theory of varieties is a spectrum  $K(\mathcal{V}_k)$ , with  $\pi_0(K(\mathcal{V}_k)) \cong K_0(\operatorname{Var}_k)$ .



# K-theory of assembler

### Remark

- $K(\mathcal{V}_k)$  can be constructed using  $\Gamma$ -space.
- $\mathcal{V}_k$  is an assembler, i.e. a site with some conditions.
- It turns out that  $X : S \to W(S \land C)$  is a  $\Gamma$ -category for any assembler C, S is a pointed finite set.
- Now, K(C<sub>k</sub>) is the spectrum obtained from this Γ-space, whose 0th space is |NW(1 ∧ V<sub>k</sub>)|.

### Definition

Let C be an assembler. We define W(C) to have objects  $\{A_i\}_{i \in I}$ , where I is a finite set and all  $A_i \in \text{Obj } C^\circ$ . A morphism  $f : \{A_i\}_{i \in I} \to \{B_i\}_{j \in J}$  is a map  $f : I \to J$  and morphisms  $f_i : A_i \to B_{f(i)}$ . Such that  $\{f_i : A_i \to B_j\}_{i \in f^{-1}(j)}$  is a finite disjoint covering family in C.

(日)

かへで 12/22

### Theorem

- Let R be the ring of integers of an algebraic number field, then  $K_0(R) \simeq \mathbb{Z} \oplus Pic(R), K_1(R) \simeq R^{\times}.$
- K<sub>2</sub>(Q) ≃ Z/2 ⊕ ⊕<sub>p odd prime</sub>(F<sub>p</sub>)<sup>×</sup>. And actually each factor corresponds to tame symbol on Q<sub>p</sub> which is related to Hilbert symbol, and from this calculation we can reprove Hilbert reciprocity law.

### Theorem

For a quasi-projective smooth variety X over a field. We have following isomorphism

$$K_i(X)\otimes \mathbb{Q}\simeq \bigoplus CH^q(X,i)\otimes \mathbb{Q}$$

q



### Vandiver conjecture

Let *p* be an irregular prime, i.e.  $p|\#Pic(\mathbb{Z}[\zeta_p])$ . Then  $Pic(\mathbb{Z}[\zeta_p + \zeta_p^{-1}])$  has no *p*-torsion. And it turns out, this conjecture related to  $K_{4n}(\mathbb{Z})$ . If up to 2-torsion groups  $K_{4n}(\mathbb{Z}) = 0, n \neq 0$ , then the conjecture holds.

### Theorem (Barratt-Priddy-Quillen-Segal)

If we consider the category of finite sets, the K-theory spectrum is exactly the sphere spectrum S.

## Theorem (Bhatt, Morrow and Scholze)

For any quasiregular semiperfect  $\mathbb{F}_p$ -algebra S, the K-theory  $K_*(S; \mathbb{Z}_p)$  vanishes in odd degrees, while

$$K_{2i}(S;\mathbb{Z}_p)\cong A_{\operatorname{crys}}(S)^{\varphi=p^i}.$$

### Example

Let  $S = \mathbb{F}_p[x^{1/p^{\infty}}]/(x)$ , then  $A_{crys}(S)$  is the *p*-adic completion of the subring  $\mathbb{Z}_p[x^{1/p^{\infty}}, \frac{x^i}{i!}]_{i\geq 0} \subset \mathbb{Q}_p[x^{1/p^{\infty}}]$ .



# Why should we care about K-theory

The study of Grothendieck ring of varieties is also important, it has many application in birational geometry. For example, Kontsevich use Hodge motivic measure to prove following fact.

#### Theorem

Let  $X_1, X_2$  be two birational equivalent Calabi-Yau varieties (we only require canonical divisor trivial), then  $X_1$  and  $X_2$  have same Hodge numbers.

## Definition (Hodge measure)

$$\begin{aligned} \mathsf{Hdg} &: \mathsf{K}_0(\mathsf{Var}_k) \to \mathbb{Z}[u, v] \\ & [X] \mapsto \sum_{p,q \ge 0, 0 \le k \le 2 \dim X} (-1)^k h^{p,q}(\mathsf{H}^k(X, \mathbb{Q})) u^p v^q, \end{aligned}$$



# How to understand K-theory

Quillen used the plus construction to compute the K-theory for finite fields.

Theorem (Quillen)

Let  $q = p^k$ , p is a prime number.

$$\mathcal{K}_n(\mathbb{F}_q) = egin{cases} \mathbb{Z}, & ext{if } n = 0; \ 0, & ext{if } n 
eq 0, 2|n; \ \mathbb{Z}/(q^i-1) & ext{if } n = 2i-1. \end{cases}$$

Quillen proved several basic properties using the Q-construction.

### Theorem (localization)

Let A be a Dedekind domain, F be its fraction field. Then there is a long exact sequence

$$\dots \to \bigoplus_{\substack{\mathfrak{m} \text{ is maximal}}} K_n(A/\mathfrak{m}) \to K_n(A) \to K_n(F) \to \bigoplus_{\substack{\mathfrak{m} \text{ is maximal}}} K_{n-1}(A/\mathfrak{m}) \to A$$

## How to understand K-theory

- And Quillen proved that for ring of algebraic integers of number filed *R*, *K*<sub>\*</sub>(*R*) are finitely generated abelian groups.
- With above facts, using the group cohomology we can calculate the rank of  $K_*(R)$ .

### Theorem (Motivic spectral sequence)

For any coefficient group A, and any smooth scheme X over a field k, there is a spectral sequence, natural in X and A:

$$E_2^{p,q} = H^{p-q}(X, A(-q)) \Rightarrow K_{-p-q}(X; A).$$

If X = Spec(k) and  $A = \mathbb{Z}/m$ , where  $1/m \in k$ , then the  $E_2$  terms are just the étale cohomology groups of k, truncated to lie in the octant  $q \leq p \leq 0$ .

## Definition

If A is an  $E_{\infty}$ -ring spectrum, then THH(A) is a  $\mathbb{T}$ -equivariant  $E_{\infty}$ -ring spectrum with a non-equivariant map  $A \to \text{THH}(A)$  of  $E_{\infty}$ -ring spectra, and THH(A) is initial with these properties.

Moreover, if  $C_p \subset \mathbb{T}$  is the cyclic subgroup of order p, then there is a natural Frobenius map

$$\varphi_p : \mathrm{THH}(A) \to \mathrm{THH}(A)^{tC_p}$$

that is a map of  $E_{\infty}$ -ring spectra which is equivariant for the  $\mathbb{T}$ -actions.

$$\mathrm{TC}^{-}(A) = \mathrm{THH}(A)^{h\mathbb{T}}, \mathrm{TP}(A) = \mathrm{THH}(A)^{t\mathbb{T}} = \mathrm{cofib}\left(\mathsf{Nm}\right).$$

$$\mathsf{Nm}:-_{h\mathbb{T}}\to-^{h\mathbb{T}}$$

## How to understand K-theory

If we consider *p*-adic case, then

$$\mathrm{TC}(A;\mathbb{Z}_p) = \mathsf{fib}(\mathsf{can}_p - \varphi_p : \mathrm{TC}^-(A;\mathbb{Z}_p) \to \mathrm{TP}(A;\mathbb{Z}_p))$$

There is a map from K-theory to TC, called cyclotomic trace map, we denote the fiber of cyclotomic trace as  $K^{inv}$ .

### Theorem (Dundas-Goodwillie-McCarthy)

Let  $R \to R'$  be a ring map which is surjection with nilpotent kernel. Then  $K^{inv}(R) \to K^{inv}(R')$  is an equivalence.

### Theorem (Clausen, Mathew and Morrow)

Let S be a ring which is henselian along pS, and S/pS is semiperfect. Then the trace map induce isomorphism  $K_*(S; \mathbb{Z}_p) \simeq \mathrm{TC}_*(S; \mathbb{Z}_p)$ , for  $* \ge 0$ .

	Fa	

## Theorem (Zakharevich)

There is a spectral sequence for  $\pi_*K(\mathcal{V}) = K_*(\mathcal{V})$ , the first page of the spectral sequence is

$$\mathsf{E}^{1}_{p,q} = \pi_{p}(\mathsf{K}(\mathcal{V}^{q,q-1})) \simeq \bigoplus_{\alpha \in B_{q}} \pi_{p}(\Sigma^{\infty}_{+}B\operatorname{Aut} \alpha) \Longrightarrow \mathsf{K}_{p}(\mathcal{V}).$$

We can define the "filtration" for  $K_0(Var_k)$ .

$$F_n := \mathbb{Z}[X | \dim X \le n] / < [X] - [X \setminus Y] - [Y] >,$$

where the generators are isomorphism classes of varieties with dimension  $\leq n, Y$  is a closed subvariety of X. There is a map, namely

$$\psi_n: F_n \to K_0(\operatorname{Var}_k)$$
$$[X] \to [X].$$

The above theorem were used to prove that  $\exists N \geq 0, \psi_N$  is not injective. 21

## Question

- Is it possible to define THH for assembler of varieties?
- Is there any chance that tilting functor preserves K-theory?
- Let  $\mathcal{P}$  be the set of prime numbers. Fix an ultrafilter  $\mathcal{U}$  for  $\mathcal{P}$ , Ax-Kochen theorem says

$$(\prod_{p\in\mathcal{P}}\mathbb{Q}_p)/\mathcal{U}\equiv (\prod_{p\in\mathcal{P}}\mathbb{F}_p(t))/\mathcal{U}.$$

What about their K-theories?