

# Lecture 9

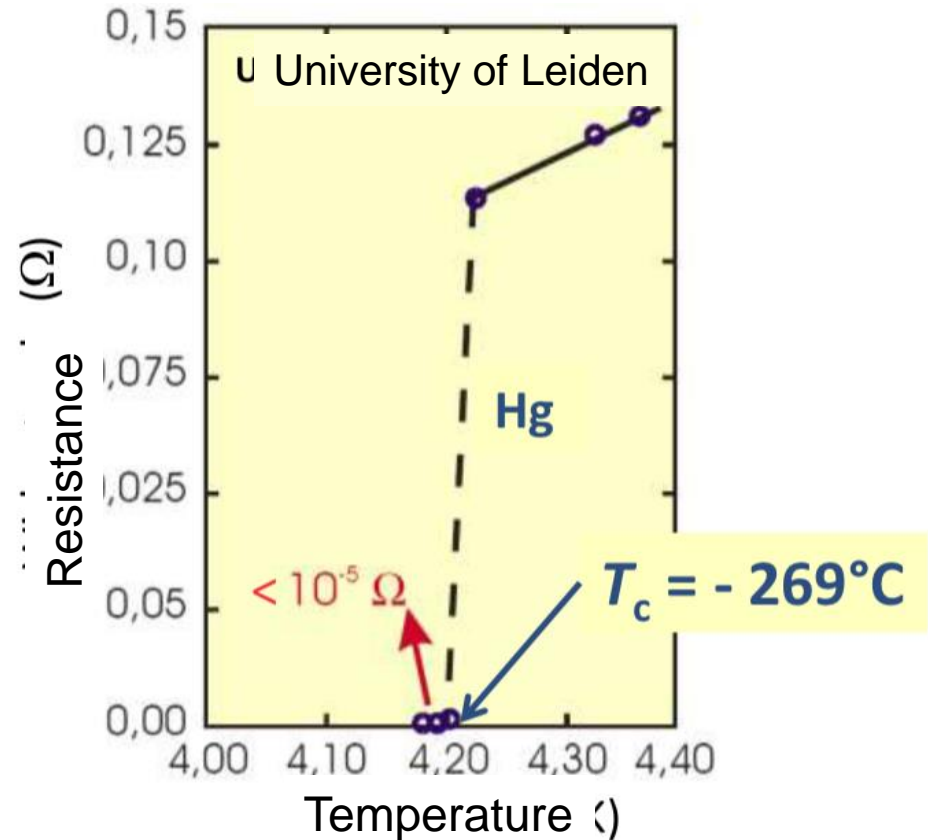
## Superconductors

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- Superconductivity
- Semiclassical theory
- Berry curvature effects
- Summary

# Discovery of Superconductivity

Heike Kammerlingh Onnes (1853-1926)

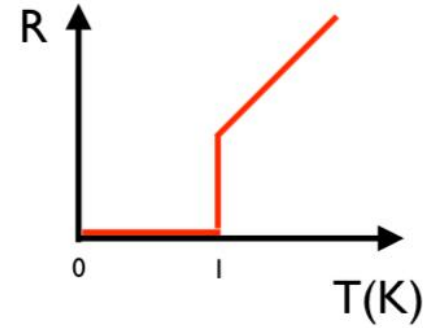
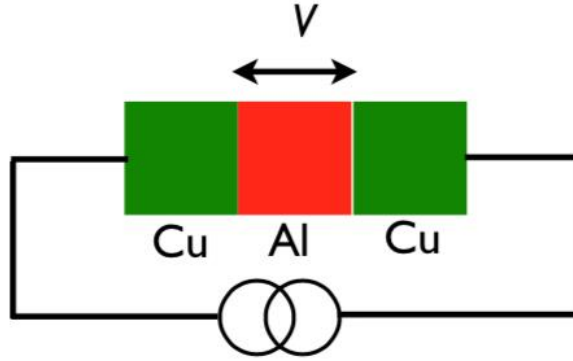


H. K. Onnes, *Comm. Leiden* 120b, 122b, 124c (1911)

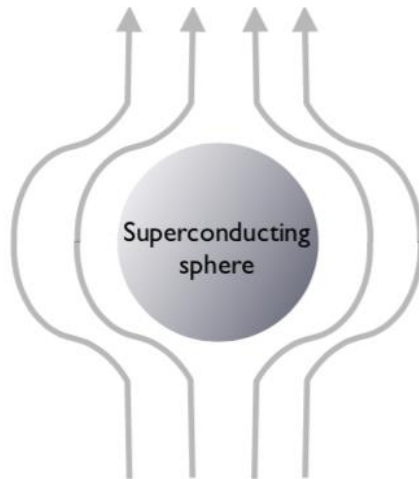
**Nobel Price in Physics 1913**

*"for his investigations on the properties of matter at low temperatures which led, inter alia to the production of liquid helium"*

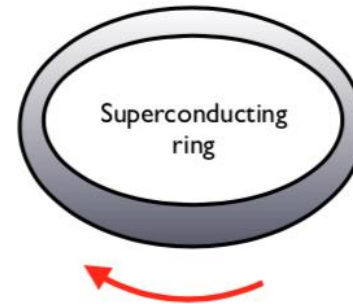
# Characters of Superconductivity



Zero resistance

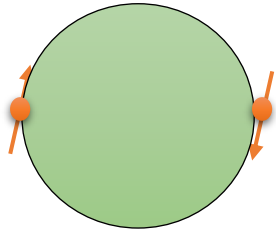


Meissner effect  
(perfect diamagnetism)  
**equilibrium** effect



Persistent currents  
**metastable** effect

# Microscopic theory



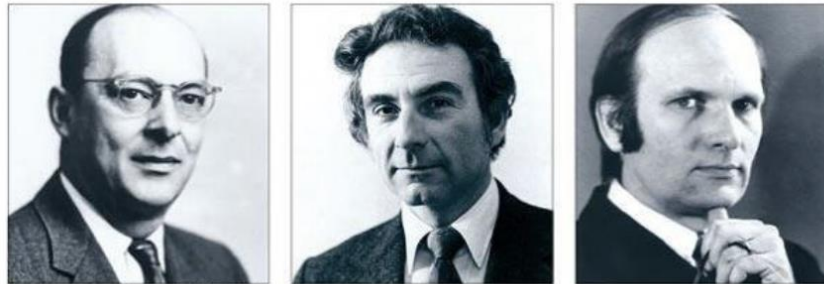
Cooper pair:

$$\phi(\mathbf{r}_1\sigma_1, \mathbf{r}_2\sigma_2) = (\downarrow\uparrow - \uparrow\downarrow)\phi(\mathbf{R}, \mathbf{r})$$

spin-singlet       $\mathbf{r} = \mathbf{r}_1 - \mathbf{r}_2$       S or D wave

Energy can be lowered below 2 x fermi energy, if interaction is attractive, which can be mediated by phonons, etc.

Bardeen -Cooper -Schrieffer (1957)



<http://eng.super-kics.or.kr/infos/history>

$$\Psi = \prod_k (\mu_k + v_k c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger) |vac\rangle$$

# Bogoliubov de-Gennes theory

- Interaction Hamiltonian

$$H = \sum_{\mathbf{k}\sigma} \xi_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + \frac{1}{N} \sum_{\mathbf{k}\mathbf{k}'} V_{\mathbf{k}\mathbf{k}'} c_{\mathbf{k}\uparrow}^\dagger c_{-\mathbf{k}\downarrow}^\dagger c_{-\mathbf{k}'\downarrow} c_{\mathbf{k}'\uparrow}$$

- Mean-field approximation

$$H = \sum_{\mathbf{k}\sigma} \xi_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} - \sum_{\mathbf{k}} \left( \Delta_{\mathbf{k}} c_{\mathbf{k}\uparrow}^\dagger c_{-\mathbf{k}\downarrow}^\dagger + \Delta_{\mathbf{k}}^* c_{-\mathbf{k}\downarrow} c_{\mathbf{k}\uparrow} \right) + \sum_{\mathbf{k}} \Delta_{\mathbf{k}} \langle c_{\mathbf{k}\uparrow}^\dagger c_{-\mathbf{k}\downarrow}^\dagger \rangle$$

- Bogoliubov transformation

$$c_{\mathbf{k}\uparrow} = u_{\mathbf{k}}^* \gamma_{\mathbf{k}\uparrow} + v_{\mathbf{k}} \gamma_{-\mathbf{k}\downarrow}^\dagger$$

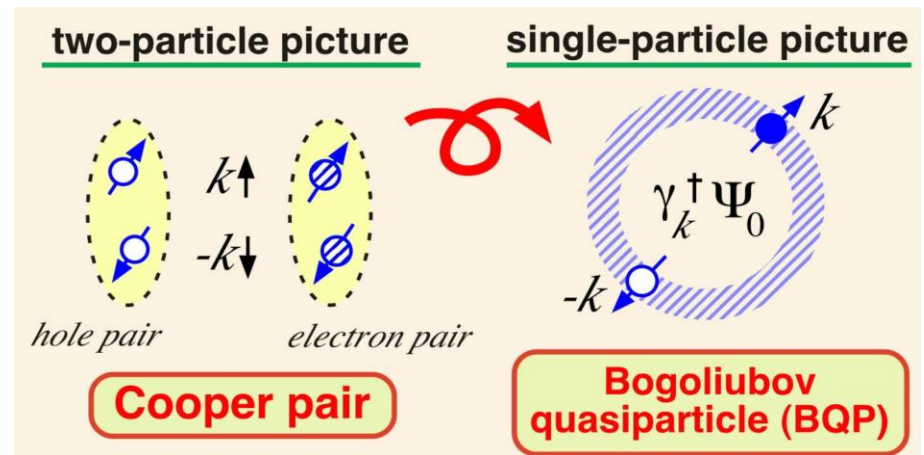
$$c_{-\mathbf{k}\downarrow}^\dagger = u_{\mathbf{k}} \gamma_{-\mathbf{k}\downarrow}^\dagger - v_{\mathbf{k}}^* \gamma_{\mathbf{k}\uparrow}$$

- $\gamma_{\mathbf{k}}$  obey fermionic commutation

$$\{\gamma_{\mathbf{k}}^\dagger, \gamma_{\mathbf{k}'}\} = 1 \quad \{\gamma_{\mathbf{k}}^\dagger, \gamma_{\mathbf{k}}^\dagger\} = 0$$

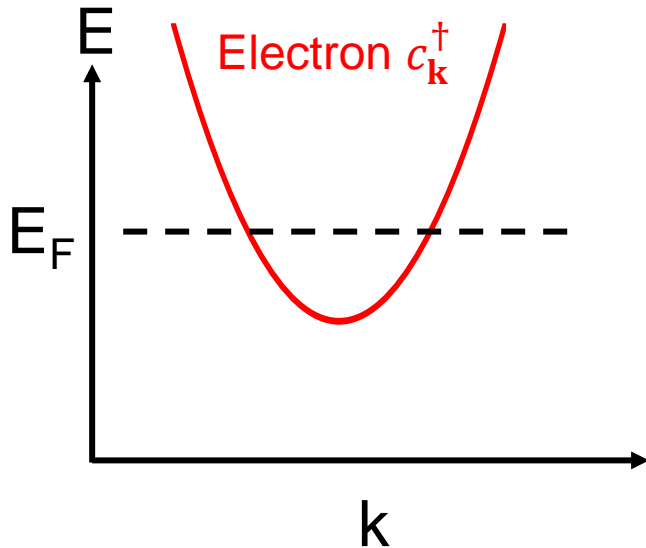
- Diagonalization

$$H = \sum_{\mathbf{k}} E_{\mathbf{k}} (\gamma_{\mathbf{k}\uparrow}^\dagger \gamma_{\mathbf{k}\uparrow} + \gamma_{\mathbf{k}\downarrow}^\dagger \gamma_{\mathbf{k}\downarrow}) + E_g$$

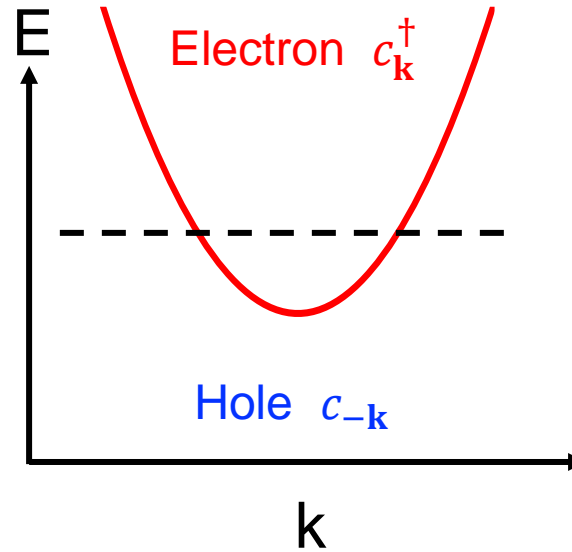


# Nambu-Dirac picture

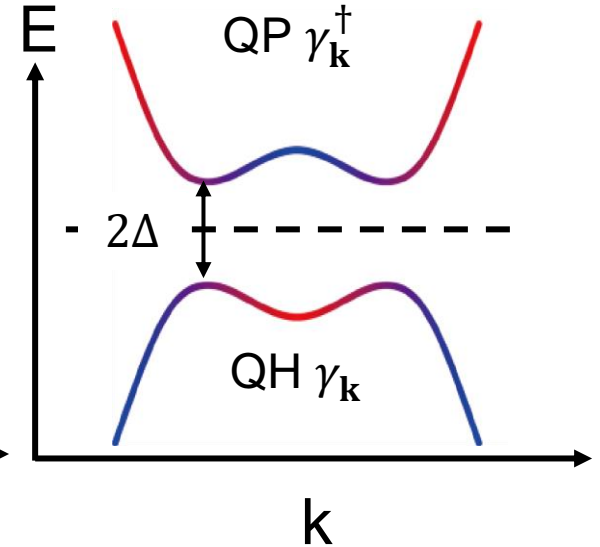
Electron band



Particle-Hole redundancy



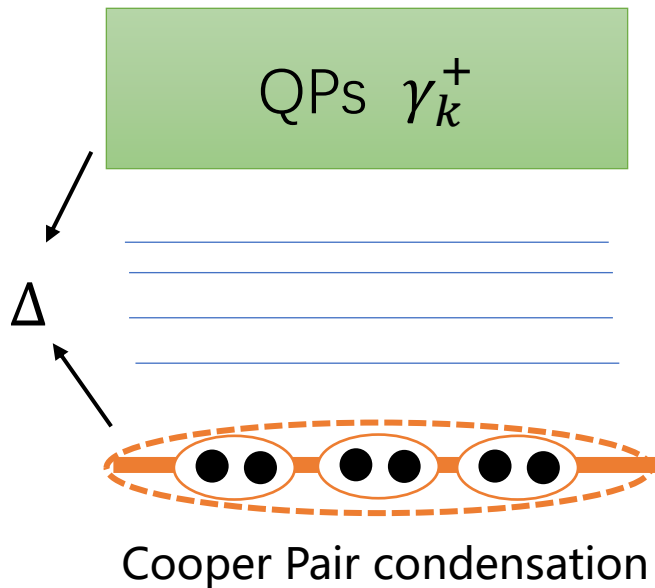
Quasiparticle band



- BCS ground state is given by filling the quasi-hole band:

$$H = \sum_{\mathbf{k}} E_{\mathbf{k}} \gamma_{\mathbf{k}\sigma}^\dagger \gamma_{\mathbf{k}\sigma} + E_g \longrightarrow \gamma_{\mathbf{k}\sigma} |\Psi\rangle = 0 \longrightarrow |\text{BCS}\rangle = \mathcal{N} \prod_{\sigma\mathbf{k}} \gamma_{\sigma\mathbf{k}} |0\rangle$$

# Topological and Geometrical effects



Quasiparticles: Berry curvatures

Edge/Bound states: Majorana etc

Condensate topology: p+ip, d+id, etc

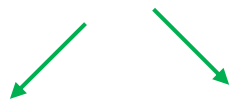
Expected applications in:

- Topological superconductors
- Non-centrosymmetric superconductors
- Triplet superconductors
- Multiband superconductors

# Semiclassical quasiparticle dynamics

Construct a quasiparticle wave packet

$$|\Psi_\sigma(\mathbf{r}_c)\rangle = \int [d\mathbf{k}] \alpha(\mathbf{k}, t) \gamma_{\sigma\mathbf{k};\mathbf{r}_c}^\dagger |G\rangle$$



$\mathbf{k}_c$

$\mathbf{r}_c$

Center of WP in real and momentum space

Semiclassical equations of motion and Berry curvatures:

$$\dot{\mathbf{r}} = \nabla_{\mathbf{k}} E + \underbrace{\mathbf{k} \times (\nabla_{\mathbf{k}} \rho \times \nabla_{\mathbf{k}} \theta)}_{\Omega_{\mathbf{k}}} + \underbrace{\nabla_{\mathbf{k}} (\rho \mathbf{v}^s - \mathbf{B} \times \tilde{\mathbf{d}}) \cdot \dot{\mathbf{r}} - \dot{\mathbf{r}} \cdot \nabla_{\mathbf{r}} (\rho \nabla_{\mathbf{k}} \theta)}_{\Omega_{\mathbf{r}\mathbf{k}}}$$

$\dot{\mathbf{k}}$

$$= -\nabla_{\mathbf{r}} E + \dot{\mathbf{r}} \times \underbrace{\left( e\rho\mathbf{B} - \nabla_{\mathbf{r}}\rho \times \mathbf{v}^s + \nabla_{\mathbf{r}} \times (\mathbf{B} \times \tilde{\mathbf{d}}) \right)}_{\Omega_{\mathbf{r}}} - \underbrace{\nabla_{\mathbf{r}} (\rho \nabla_{\mathbf{k}} \theta) \cdot \dot{\mathbf{k}} + \dot{\mathbf{k}} \cdot \nabla_{\mathbf{k}} (\rho \mathbf{v}^s - \mathbf{B} \times \tilde{\mathbf{d}})}_{\Omega_{\mathbf{k}\mathbf{r}}}$$



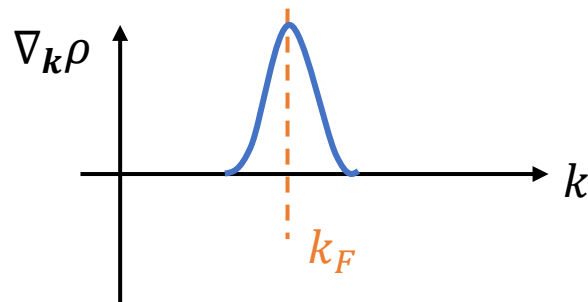
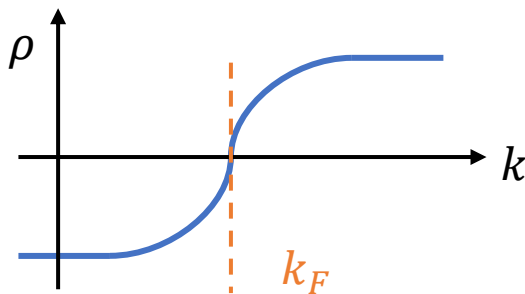
# Momentum-space Berry Curvature

The Berry curvature in the momentum space

$$\Omega_{\mathbf{k}} = -\nabla_{\mathbf{k}}\rho \times \nabla_{\mathbf{k}}\theta$$

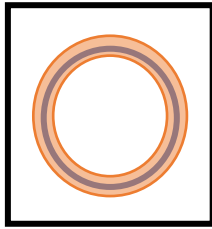
effective charge  $\rho = \frac{\xi_{\mathbf{k}}}{E_{\mathbf{k}}}$       SC phase  $\theta = \frac{1}{2} \arg \Delta$

- The formula is simplified by neglecting band geometry
- Effective charge changes sharply across Fermi surface

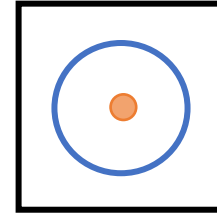


# Geometry and topology

- Berry curvature  $\Omega_{\mathbf{k}}$  concentrates around the Fermi surface



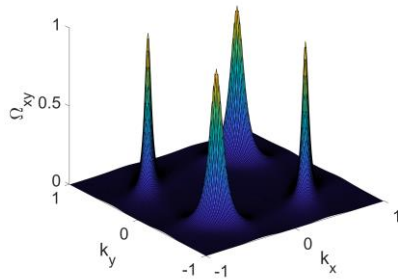
Contrast:  $\mathbf{B}_{\mathbf{k}} = -\nabla_{\mathbf{k}} \times \nabla_{\mathbf{k}} \theta$   
 S. Murakami et al., PRL 90, 057002 (2003)



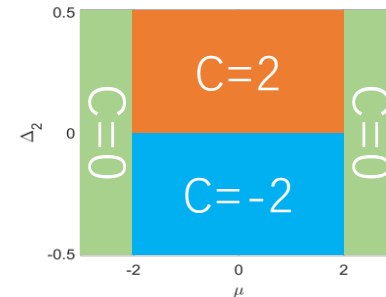
- Example: Square lattice with d+id Pairing

Yang et al PRB 98, 104515 2018

$$\hat{H} = \sum_{\sigma, \mathbf{k}} \xi_{\mathbf{k}} c_{\sigma \mathbf{k}}^{\dagger} c_{\sigma \mathbf{k}} + \sum_{\mathbf{k}} [(\Delta_{\mathbf{k}} + i\Delta'_{\mathbf{k}}) c_{\uparrow \mathbf{k}}^{\dagger} c_{\downarrow -\mathbf{k}}^{\dagger} + h. c.]$$



Berry curvature  $\Omega_{\mathbf{k}}$



Chern number  $\mathcal{C}$

# Real-space Berry Curvature

The Berry curvature in the real space:

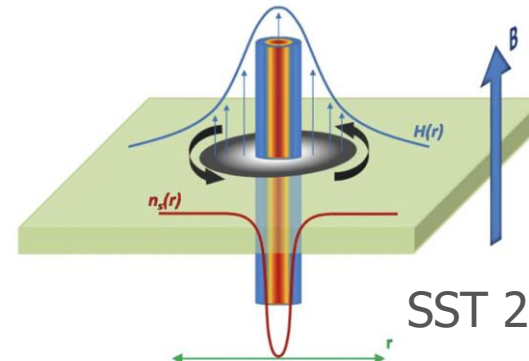
$$\mathbf{\Omega}_r = e\rho\mathbf{B} - \nabla_r\rho \times \mathbf{v}^s + \nabla_r \times (\mathbf{B} \times \tilde{\mathbf{d}})$$

Supercurrent

Charge dipole

Supercurrent velocity

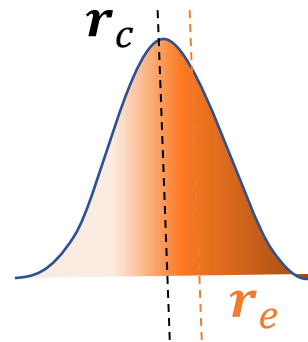
$$\mathbf{v}^s = \nabla_r\theta - e\mathbf{A}$$



SST 27, 063001 (2014)

Dipole moment from the charge distribution of the wave packet

$$\tilde{\mathbf{d}} = \frac{1}{2}(\rho^2 - 1)\nabla_k\theta$$



# Quasiparticle properties

Anomalous thermal Hall	$\kappa_{xy} = \frac{1}{T} \int [d\mathbf{k}] \Omega_{\mathbf{k}} \int_{E_{\mathbf{k}}}^{\infty} d\eta \eta^2 f'(\eta, T)$ <p style="text-align: right;"><math>f</math>: Fermi distribution function</p>
Anomalous Nernst	$\alpha_{xy}^e = -\int [d\mathbf{k}] \frac{\partial g}{\partial T} (\rho \Omega_{\mathbf{k}} + \partial_{k_x} d_y)$ <p style="text-align: right;"><math>g = T \ln(1 - f(\mathbf{k}, T))</math></p>
Anomalous spin Nernst	$\alpha_{xy}^s = -\int [d\mathbf{k}] \frac{\partial g}{\partial T} (\mathbf{s} \Omega_{\mathbf{k}} + \partial_{k_x} \mathbf{d}_y^s)$
Phase-space measure	$\mathcal{D}(\mathbf{r}, \mathbf{k}) = 1 + \text{Tr} \Omega_{\mathbf{k}\mathbf{r}} - \Omega_{\mathbf{r}} \cdot \Omega_{\mathbf{k}}$
Density of states	$n(\mathbf{r}, \omega) = \int [d\mathbf{k}] \mathcal{D}(\mathbf{r}, \mathbf{k}) [ \mu ^2 \delta(\omega - E) +  \nu ^2 \delta(\omega + E)]$
Momentum space	$n(\mathbf{k}) = \iint d\omega d\mathbf{r} \mathcal{D}(\mathbf{r}, \mathbf{k}) [ \mu ^2 \delta(\omega - E) +  \nu ^2 \delta(\omega + E)]$

Z. Wang, L. Dong, C. Xiao and Q. Niu, PRL 126, 187001 (2021)

C. Xiao and Q. Niu, PRB 104, L241411 (2021)

# Example: Anomalous Thermal Hall Effect

Semiclassical energy current:

$$\mathbf{j}^E(\mathbf{r}) = \int_{\mathbf{k}_c} Df(E) E \dot{\mathbf{r}}_c |_{\mathbf{r}_c=\mathbf{r}}$$

Intrinsic thermal Hall current:

$$\mathbf{j}^E = -\nabla T \times \frac{\partial}{\partial T} \int_{\mathbf{k}} h \boldsymbol{\Omega}_{\mathbf{k}} \quad h(E, T) = - \int_E^{\infty} d\eta f(\eta) \eta$$

Thermal Hall conductivity:

$$\kappa_{xy}^q = \frac{2}{T} \int [d\mathbf{k}] \Omega_{\mathbf{k}} \int_{E_{\mathbf{k}}}^{\infty} d\eta \eta^2 f'(\eta, T)$$

# Quantized thermal Hall conductivity

Our formula  $\kappa_{xy}^q$  accounts for the quasiparticles beyond the condensate, it is reasonable to make the connection of

$$\kappa_{xy}^q + \kappa_{xy}^0 = \kappa_{xy}^{BdG}$$

The diagram shows the equation  $\kappa_{xy}^q + \kappa_{xy}^0 = \kappa_{xy}^{BdG}$  at the top. Three arrows point downwards from the terms: a left arrow from  $\kappa_{xy}^q$  points to the word "quasiparticle" in orange; a central arrow from  $\kappa_{xy}^0$  points to the word "Condensate" in blue; and a right arrow from  $\kappa_{xy}^{BdG}$  points to the words "BdG picture" in green.

Comparing with the previous BdG result obtained with Green function method

$$\kappa_{xy}^{BdG} = \frac{1}{T} \int [d\mathbf{k}] \Omega_{\mathbf{k}} \left( \int_{E_{\mathbf{k}}}^{\infty} - \int_{-E_{\mathbf{k}}}^{\infty} \right) \eta^2 f'(\eta, T)$$

Sumiyoshi et al., JPSJ 82, 023602 (2013)

We can obtain the condensate result of

$$\kappa_{xy}^0 = -\frac{1}{T} \int [d\mathbf{k}] \Omega_{\mathbf{k}} \int_{-\infty}^{\infty} d\eta \eta^2 f'(\eta, T) = \frac{\pi C k_B T}{6\hbar}$$

# Supercurrent

We can regard the supercurrent as the charge current carried by the filled quasi-hole band:

$$\mathbf{j} = -e \int d\mathbf{k} (\rho \dot{\mathbf{r}}_c)$$

Doppler shift from the supercurrent velocity:  $E_{\mathbf{k}} = E_{0\mathbf{k}} + \mathbf{v}_s \cdot \frac{\partial \xi_{\mathbf{k}}}{\partial \mathbf{k}}$

$$\begin{aligned} \dot{\mathbf{k}}_c &= 0 \\ \dot{\mathbf{r}}_c &= \frac{\partial E_{0\mathbf{k}}}{\partial \mathbf{k}} + \frac{\partial}{\partial \mathbf{k}} (\mathbf{v}_s \cdot \frac{\partial \xi_{\mathbf{k}}}{\partial \mathbf{k}}) \end{aligned} \quad \rightarrow \quad \begin{aligned} \mathbf{j} &= e \tilde{n}_s \cdot \mathbf{v}_s \\ &\downarrow \\ &\int d\mathbf{k} \frac{\rho \partial^2 \xi}{\partial k_\alpha \partial k_\beta} \end{aligned}$$

SC density tensor

For an inhomogeneous system with  $\partial E / \partial \mathbf{r}_c \neq 0$ ,

$$\begin{aligned} \dot{\mathbf{k}}_c &= -\frac{\partial E}{\partial \mathbf{r}_c} \\ \dot{\mathbf{r}}_c &= \frac{\partial E}{\partial \mathbf{k}_c} - \dot{\mathbf{k}}_c \times \boldsymbol{\Omega}_{\mathbf{k}} = \frac{\partial E_{0\mathbf{k}}}{\partial \mathbf{k}} + \frac{\partial E}{\partial \mathbf{r}_c} \times \boldsymbol{\Omega}_{\mathbf{k}} \end{aligned} \quad \rightarrow \quad \mathbf{j}_{sH} = -e \int d\mathbf{k} \sum_{\sigma} \rho_{\mathbf{k}} \left[ \frac{\partial E}{\partial \mathbf{r}_c} \times \boldsymbol{\Omega}_{\mathbf{k}} \right]$$

Hall-type supercurrent

# Toy Models

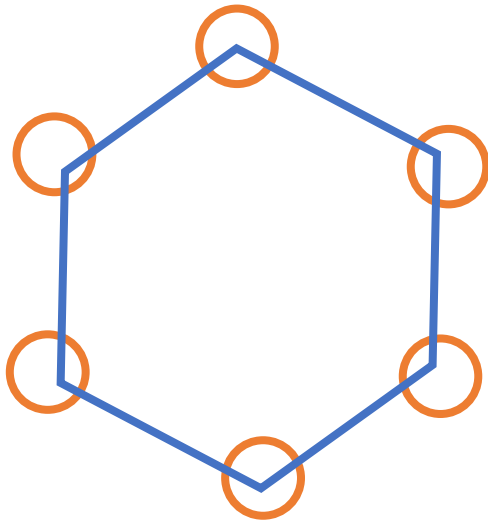
Honeycomb lattice with d+id pairing

$$\hat{H} = \sum_{\sigma, \mathbf{k}} \xi_{\mathbf{k}} c_{\sigma \mathbf{k}}^\dagger c_{\sigma \mathbf{k}} + \sum_{\mathbf{k}} [\Delta_{\mathbf{k}} c_{\uparrow \mathbf{k}}^\dagger c_{\downarrow -\mathbf{k}}^\dagger + h. c.]$$

$$\Delta(\mathbf{k}) = \sum_{i=1}^3 \Delta_i \cos(\mathbf{k} \cdot \mathbf{R}_i - \varphi_{\mathbf{k}})$$

$$(\Delta_1, \Delta_2, \Delta_3) \equiv (2\Delta, -\Delta + i\sqrt{3}\Delta', -\Delta - i\sqrt{3}\Delta')$$

Jiang et al, PRB 77, 235420 (2008)



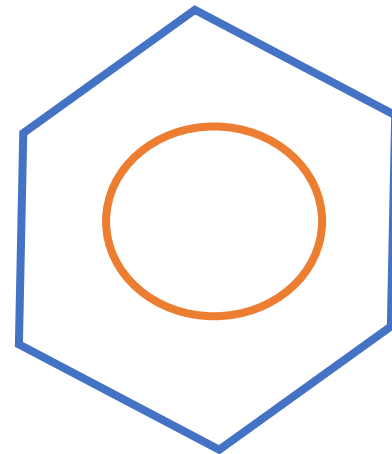
TBG tight-binding model

$$H = -\mu \sum_i \tilde{c}_i^\dagger \tilde{c}_i + t_1 \sum_{\langle i,j \rangle} \tilde{c}_i^\dagger \tilde{c}_j + \sum_{[i,j]} \tilde{c}_i^\dagger [(t_2 \sigma_0 + it_3 \sigma_y) \otimes \sigma_0] \tilde{c}_j + h. c.$$

$$\Delta(\mathbf{k}) = \sum_{i=1}^3 \Delta_i \cos(\mathbf{k} \cdot \mathbf{R}_i - \varphi_{\mathbf{k}})$$

Liu et al, PRL 121, 217001 (2018)

Yuan et al., PRB 98, 045103 (2018)



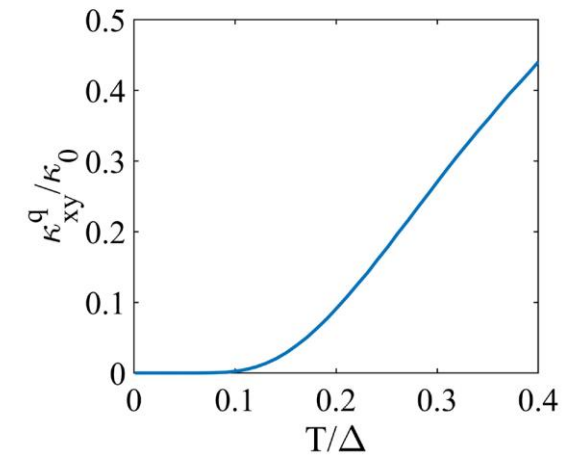
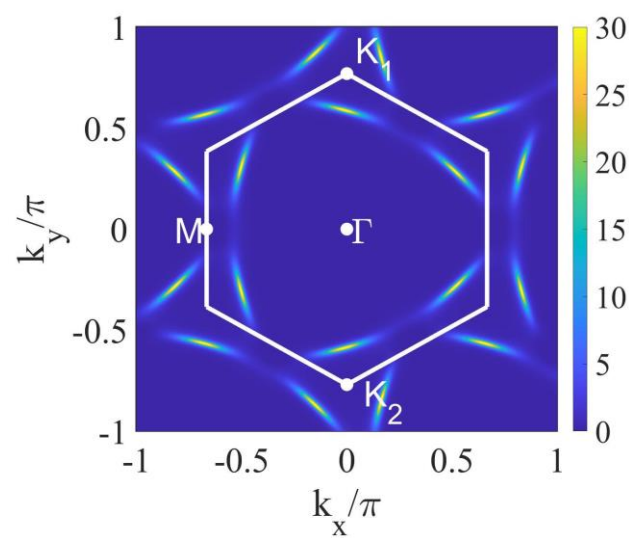
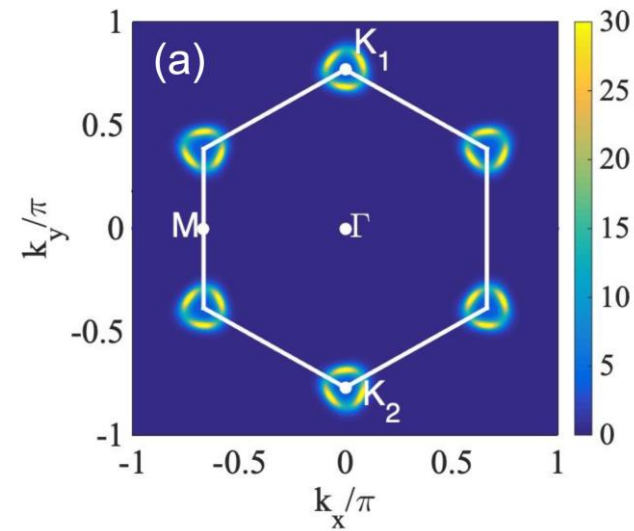


# Berry Curvature distribution

Honeycomb lattice

TBG

Anomalous  
Thermal Hall



# Summary

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- Equation of motion for SC quasiparticles
- Berry curvatures in real, momentum, and phase space
- Berry curvature effects in transport properties